

Report to Congressional Committees

July 2022

2020 ELECTIONS

State and Local
Perspectives on
Election
Administration during
the COVID-19
Pandemic

GAO Highlights

Highlights of GAO-22-104731, a report to congressional committees

Why GAO Did This Study

Election officials faced unprecedented challenges in conducting the 2020 elections during the COVID-19 pandemic. The CARES Act provided \$400 million in grants for states to use to prevent, prepare for, and respond to issues related to the pandemic for the 2020 federal election cycle. The EAC was responsible for administering the grants. In addition, the EAC serves as a national clearinghouse and resource for the compilation of information and review of procedures with respect to the administration of federal elections.

The CARES Act includes a provision for GAO to report on its ongoing monitoring and oversight efforts related to the COVID-19 pandemic. This report describes (1) the steps states and local jurisdictions took to prepare for and conduct elections in 2020 during the pandemic and the challenges they reported facing, (2) how states and local jurisdictions reported using CARES Act grant funding, and the challenges they reported facing, and (3) what EAC resources and guidance states and local jurisdictions used and their perspectives on such assistance.

To address all three objectives, GAO conducted two web-based surveys of election officials. One survey was administered to election offices in all 50 states and the District of Columbia, and achieved a response rate of 84 percent. The other survey was administered to a sample of 829 local election jurisdictions. The survey achieved a weighted response rate of 47 percent, and the design and analysis allows GAO to provide national estimates of the perspectives of local election officials.

View GAO-22-104731. For more information, contact Rebecca Gambler at (202) 512-8777 or gamblerr@gao.gov.

July 202

2020 ELECTIONS

State and Local Perspectives on Election Administration during the COVID-19 Pandemic

What GAO Found

GAO surveyed state election offices and local election jurisdictions about steps they took to prepare for and conduct the 2020 elections during the pandemic. The surveys asked questions on steps and challenges in five areas: absentee/mail voting, in-person voting, election supplies, election worker recruitment and training, and voter education and outreach. GAO received survey responses from 43 states and 407 local jurisdictions.

Election Administration Areas about which GAO Surveyed States and Local Jurisdictions











Absentee/mail voting

In-person voting

Election supplies

Recruitment and training

Voter education and outreach

Source: GAO analysis based on information reported by state and local election officials. | GAO-22-104731

Within the area of in-person voting, for example, nearly all states reported taking steps to coordinate with public health agencies, and most coordinated with emergency management agencies, consulted with vendors and experts, and helped local election offices add new polling locations. Nearly all local jurisdictions reported taking steps to prevent the spread of COVID-19, such as by providing protective equipment to election workers. States and local jurisdictions most commonly reported that various issues related to in-person voting—such as funding and understanding guidance—were not challenging. For voter education and outreach, all states reported that they provided information on their elections websites about voting policies and procedures. Nearly all local jurisdictions reported that they answered questions about voting policies and procedures. Additionally, nearly all states and most local jurisdictions reported that false or misleading information about absentee/mail voting was challenging.

Over half of states reported spending CARES Act grants on supplies and equipment, voter education, facilitating absentee/mail voting, and recruiting and training election workers. Most states reported that issues related to grant funding and reporting requirements were challenging, such as submitting required progress reports within 20 days of an election. More than half of local jurisdictions reported spending CARES Act grants on various in-person voting activities, including purchasing protective supplies and cleaning voting locations.

Nearly all states and some local jurisdictions reported that they used U.S. Election Assistance Commission (EAC) information resources and guidance during the pandemic. Nearly all states used information about CARES Act grants; fewer used information on other election administration topics. Most states reported finding EAC's information helpful during the 2020 elections. Most local jurisdictions reported that they did not use EAC information on any of the topics GAO asked about, such as in-person voting. The most common reasons cited were that they were not aware of or did not need the information.

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Abbreviations

EAC U.S. Election Assistance Commission HAVA Help America Vote Act of 2002 MCD Minor Civil Division

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July 11, 2022

Congressional Committees

Amid increasing COVID-19 case levels across the country, election officials faced unprecedented challenges in planning for and conducting elections in 2020 during the pandemic. The CARES Act provided \$400 million in grant funds for states to use to prevent, prepare for, and respond to issues related to the COVID-19 pandemic for the 2020 federal election cycle.¹ The U.S. Election Assistance Commission (EAC) was responsible for distributing CARES Act grant funds to states and overseeing their use in accordance with requirements under the Help America Vote Act of 2002 (HAVA).²

The CARES Act also included a provision for us to monitor and oversee the use of funds made available to prepare for, respond to, and recover from the COVID-19 pandemic.³ This report is a part of our body of work related to the CARES Act and focuses on election officials' perspectives on administering the 2020 elections.⁴ This report addresses the following three questions.

- 1. What steps did states and local jurisdictions take to prepare for and conduct elections in 2020 during the pandemic, and what challenges did officials report?
- 2. How did states and local jurisdictions report using CARES Act grant funding, and what challenges did officials report?

¹See Pub. L. No. 116-136, 134 Stat. 281, 530 (2020).

²The CARES Act provided these funds as HAVA grant funds, supplementing the \$380 million and \$425 million in HAVA grant funds in the Consolidated Appropriation Acts of 2018 and 2020 to help states improve the administration of federal elections, including enhancing election technology and improving election security. See Pub. L. No. 116-93, 133 Stat. 2317, 2461 (2019); Pub. L. No. 115-141, 132 Stat. 348, 561-62 (2018).

³See Pub. L. No. 116-136, § 19010, 134 Stat. at 579-81.

⁴We have issued government-wide reports on the federal response to COVID-19. For the latest report, see GAO, *COVID-19: Current and Future Federal Preparedness Requires Fixes to Improve Health Data and Address Improper Payments*, GAO-22-105397 (Washington, D.C.: April 27, 2022).

3. What EAC resources and guidance did states and local jurisdictions use during the pandemic, and what were their perspectives on such assistance?

To address all three objectives, we conducted two web-based surveys of election officials: one administered to state election offices and one administered to local election jurisdictions. For the state election office survey, we sent our questionnaire to state election offices in all 50 states and the District of Columbia. Forty-three states responded to our survey for a response rate of 84 percent.⁵ For the local election jurisdiction survey, we used a stratified random sample of 829 local election jurisdictions nationwide; 407 local jurisdictions responded to our survey for a weighted response rate of 47 percent.⁶ We surveyed state and local election officials about steps they took regarding absentee/mail voting and in-person voting, obtaining election supplies, recruiting election workers, training election officials and election workers, and conducting voter education and outreach efforts. We also asked respondents whether issues related to each of these various election activities were challenging to them.⁷

When presenting survey results regarding how challenging respondents found these issues, we combined "extremely challenging" and "very challenging" responses, and "moderately challenging" and "somewhat challenging" responses. Additionally, when we report that states or local jurisdictions found selected issues "challenging," we are referring to the

⁶We conducted our surveys from May 2021 through August 2021. For the local election jurisdiction survey, we used a weighted response rate because our survey sample incorporates strata with different probabilities of selection. A weighted response rate more accurately reflects the statistical effect of differing probabilities of selection. To calculate our weighted response rate, we used a standard definition, known as RR2, from the American Association for Public Opinion Research. See American Association for Public Opinion Research, *Standard Definitions: Final Dispositions of Case Codes and Outcome Rates for Surveys*, 9th edition (2016). All survey results presented in the body of this report are generalizable to the population of local election jurisdictions, except where otherwise noted. For more information on our sampling methodology for the local election jurisdiction survey, please see appendix I. Appendix II contains all survey questions and results of responses to the closed-ended questions from our state election office survey, and appendix III contains all survey questions and results of responses to the closed-ended questions from our local election jurisdiction survey.

⁷We asked survey respondents to answer a set of closed-ended questions in each topic area. In this report, we present responses to all questions in each topic area, and use the exact question wording that appeared in the surveys.

⁵In this report, we use the term "states" in reference to the 50 states and the District of Columbia.

aggregated total of "extremely or very challenging" and "moderately or somewhat challenging" responses.

For both surveys, for all the questions on reported challenges, we compared responses to survey questions about challenges in the 2020 general election to similar questions about the 2020 primary elections. When reporting results for the state election office survey, we highlight those issues for which the difference is 5 or greater in the number of states that found the 2020 general election more challenging than the 2020 primary elections and the number that found the general election less challenging than the primary elections. When reporting similar results for the local election jurisdiction survey, we highlight those issues for which the difference in the estimated percentage of respondents that found the 2020 general election more challenging than the 2020 primary elections and the estimated percentage that found the general election less challenging than the primary elections was statistically significant.

In our surveys, we also asked respondents for their perspectives on aspects of the 2020 elections that went particularly well, their use of grant funding provided by the CARES Act, and information and assistance from the EAC, among other topics.

For more information about our scope and methodology, see appendix I.

We conducted this performance audit from May 2020 to July 2022 in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

Background

Overview of Election Administration

The administration of federal and state elections involves various roles, responsibilities, and processes divided between different levels of government. In the United States, federal, state, and local officials share authority to regulate elections. At the federal level, Congress may pass legislation in major areas of the voting process. For example, HAVA established the EAC, a federal agency that serves as a national clearinghouse and resource for the compilation of information and review

of procedures with respect to the administration of federal elections.⁸ Under HAVA, the EAC also administers grant funding for states to improve election administration.⁹

Responsibility for administering and overseeing federal and state elections resides at the state and local levels. State and local election offices are organized in different ways; in some cases, offices with primary responsibility for elections may have responsibility for other areas of government as well. States regulate various election activities, such as absentee and early voting requirements and Election Day procedures, but they generally delegate election administration responsibilities to local jurisdictions.¹⁰

Within each state, responsibility for managing, planning, and conducting elections is largely a local process, residing with about 10,300 local jurisdictions nationwide. Among other things, local election officials register eligible voters; design ballots; educate voters on how to use voting technology; provide information on the candidates and ballot measures; arrange for polling places; recruit, train, organize, and mobilize election workers; prepare and test voting equipment for use; and count

⁸See generally Pub. L. No. 107-252, 116 Stat. 1666 (codified as amended at 52 U.S.C. §§ 20901-21145).

⁹See 52 U.S.C. § 20901. The EAC administers grant funding to all 50 states, the District of Columbia, and the 5 U.S. territories—American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands.

¹⁰In June 2021, we reported on the steps taken by selected states and local jurisdictions to make voting prior to Election Day accessible to voters with disabilities and to make voting information available and accessible, and the challenges in doing so. We also reported on efforts undertaken by the U.S. Department of Justice and the EAC to assist states and localities with voting accessibility. With regard to the EAC, we found that the agency does not have a mechanism for collecting and using feedback from state and local election officials about the usefulness of its existing accessibility resources or additional resource needs. We recommended that the EAC develop and implement a mechanism or mechanisms for collecting and incorporating feedback from election officials on its accessibility resources. EAC identified ongoing and planned steps to improve the feedback process, such as establishing an advisory committee of local election officials whose members will be relevant and comprehensive sources of expert, unbiased analysis and recommendations to the EAC on local election administration topics, including serving voters with disabilities. GAO, Voters with Disabilities: State and Local Actions and Federal Resources to Address Accessibility of Early Voting, GAO-21-352 (Washington, D.C.: Jun. 21, 2021).

ballots.¹¹ The characteristics of local jurisdictions also vary, including by population size and population density, among other factors.

The Voting Process

Voting before Election Day

All states have established alternatives for eligible voters to cast a ballot other than at the polls on Election Day, including absentee/mail voting and early in-person voting. Absentee/mail voting is a method of voting that enables registered voters to cast a ballot by mail, by using a ballot drop box, or by returning a completed absentee/mail ballot to a local election office before or on Election Day. Absentee/mail voting is sometimes also called "mail-in voting" or "vote-by-mail." All states have provisions allowing voters to cast their ballots in this manner, with variations on who may vote absentee/mail, whether the voter needs to provide an excuse for requesting an absentee/mail ballot, and the time frames for applying for and submitting absentee/mail ballots. Further, eight states conduct their elections entirely by mail, wherein ballots are automatically sent to every registered voter. 12

In addition to absentee/mail voting, states vary in the extent to which they allow early in-person voting. In general, early voting allows registered voters from any precinct in the election jurisdiction to cast their vote in person before Election Day without providing an excuse, either at one specific location or at one of several locations.

In-Person Voting on Election Day

For in-person voting on Election Day, election authorities subdivide local jurisdictions into precincts. Voters generally cast their ballots at the polling places for the precincts to which election authorities assign them. In addition, various states provide election jurisdictions the discretion to allow voters to cast their ballots at vote centers, which are polling places

¹¹Jurisdictions call their election workers by different titles, including poll workers, election judges, inspectors, clerks, wardens, captains, and precinct officers.

¹²These states are California, Colorado, Hawaii, Nevada, Oregon, Utah, Vermont and Washington. States that mail ballots to all registered voters may also provide options for in-person voting, both prior to and on Election Day. Among the states that do not routinely conduct their federal elections by mail, several conduct statewide elections by mail in certain circumstances, such as for special elections, or allow counties to decide whether to conduct elections by mail. Additionally, states that do not routinely conduct their elections by mail allow at least some registered voters to cast absentee ballots by mail, but qualification requirements for absentee voting vary.

at which any registered voter in the local jurisdiction, regardless of the precinct in which the voter resides, may vote on Election Day.

Within the polling place, poll workers check in voters and determine their eligibility to vote by verifying their registration using voter lists or poll books—a list of individuals registered to vote within the voting precinct or local jurisdiction. After checking in the voters, poll workers direct them to a voting booth to mark their electronic or paper ballots. The voters then submit the ballots for counting. ¹³ The manner in which votes are cast and counted can vary depending on the voting method and technology employed by the jurisdiction.

Postelection Activities

After the polls close on Election Day, election officials and poll workers secure equipment and ballots, transfer paper ballots or electronic records of vote counts to a central location for counting, and determine the outcome of the election. Votes counted include those cast on Election Day, absentee/mail ballots, early votes (where applicable), and valid provisional ballots. Although preliminary results may be available on election night, typically it takes several days or weeks to finalize election results and certify a winner. Additionally, after an election, many states conduct postelection audits of voting systems as well as recounts that may occur in close races.

CARES Act and EAC Pandemic Assistance

The CARES Act provided \$400 million in grant funds to states. ¹⁶ These funds were to be used to prevent, prepare for, and respond to issues related to the COVID-19 pandemic for the 2020 federal election cycle. As previously stated, the EAC was responsible for distributing the CARES Act grant funds to states and overseeing their use in accordance with requirements under HAVA. The CARES Act also included requirements for states on how to spend and report on grant funding. For example, states were required to submit a report to the EAC within 20 days of each election in the 2020 election cycle, including each state's primary

¹³Electronic ballots are submitted in the voting booth and stored on a memory device using a form of electronic voting equipment.

¹⁴Provisional ballots are those cast at the polls by voters whose eligibility to vote is unclear and to be determined later.

¹⁵According to the National Conference of State Legislatures, in many states, election officials may not begin counting mail and absentee ballots until Election Day by state law, even if those ballots are received prior to Election Day.

¹⁶See Pub. L. No. 116-136, 134 Stat. at 530.

elections with a full accounting of the state's uses of the funding and an explanation of how such uses allowed the state to prevent, prepare for, and respond to coronavirus. Examples of other CARES Act grant funding requirements for states included submitting an annual progress report to the EAC and meeting a 20 percent match of federal grant funds. States could provide CARES Act grant funds as subgrants to local jurisdictions to use during the 2020 elections, and/or use CARES Act grant funds to reimburse local election jurisdictions for certain eligible expenses incurred during the 2020 elections.¹⁷

As we reported in November 2021, the EAC provided various information resources and guidance to state and local election officials to assist with planning and conducting elections in 2020 during the COVID-19 pandemic. ¹⁸ For example, the EAC provided information on its website in the form of documents, recorded videos, and webpages. This information covered topics such as in-person voting, absentee/mail voting, poll worker recruitment and training, and contingency planning. ¹⁹ EAC officials were also available to respond individually to questions they received from state and local election officials.

¹⁷A subgrant is a grant made under an agency grant by the original award recipient to a subrecipient. A reimbursement is a payment received after an agreed upon expense has already been incurred.

¹⁸GAO, Election Assistance Commission: Assessment of Lessons Learned Could Improve Grants Administration, GAO-22-104313 (Washington, D.C.: Nov. 8, 2021).

¹⁹The EAC developed some of the information on its website in response to the pandemic and also collaborated with other entities to create and share pertinent information on its website. Specifically, the EAC served as the chair of the Joint COVID Working Group, which included members from the election infrastructure subsector's Government Coordinating Council–consisting of federal, state, and local government partners, such as the EAC and the Department of Homeland Security's Cybersecurity and Infrastructure Security Agency–and its Sector Coordinating Council–consisting of private sector companies, such as voting equipment vendors. The working group developed, for example, information on in-person voting, absentee/mail voting, and poll worker recruitment and training.

States and Local
Jurisdictions Took
Steps and
Encountered
Challenges in
Preparing for and
Conducting the 2020
Elections during the
Pandemic



Absentee/Mail Voting

Steps and Challenges Identified by States

Nearly all states that responded to our survey²⁰ reported that they coordinated with the United States Postal Service and developed and distributed guidance on absentee/mail voting to local election offices in response to the COVID-19 pandemic.²¹ Most states set up systems for

²⁰For the state election office survey, we sent our questionnaire to state election offices in all 50 states and the District of Columbia. Forty-three states responded to our survey for a response rate of 84 percent. For the purpose of reporting results of the state election office survey, "nearly all" refers to responses given by 35 to 43 states, "most" to responses given by 26 to 34 states, "many" to responses given by 18 to 25 states, "some" to responses given by 9 to 17 states, and "few" to responses given by 1 to 8 states.

²¹In our surveys, we defined absentee/mail voting as "a method of voting that enables registered voters to cast a ballot by mail, by using a ballot drop box, or by returning a completed absentee/mail ballot to a local election office before or on Election Day. Absentee/mail voting is sometimes called "mail-in voting" or "vote-by-mail." In some states, voters are required to provide an excuse to cast an absentee/mail ballot, and, in some others, all registered or active registered voters automatically receive an absentee mail/ballot." We also apply this definition throughout this report.

voters to track the status of their ballots and consulted vendors and experts regarding absentee/mail voting. Some states distributed guidance on absentee/mail voting developed by outside organizations to local jurisdictions. See Table 1.

Table 1: Steps That State Election Offices Took Related to Absentee/Mail Voting for the 2020 Elections in Response to the COVID-19 Pandemic

Steps taken	Number of state election offices
Coordinated with the United States Postal Service	39
Developed and distributed guidance on absentee/mail voting to local election offices	39
Set up a system to allow voters to track the status of their absentee/mail ballots	33
Consulted with vendors regarding absentee/mail voting	33
Consulted with experts—such as academics, nonprofits, election administration associations, or other states—regarding absentee/mail voting	28
Worked with the Governor's office or other executives to make changes to absentee/mail voting requirements	25
Worked with state legislators to make changes to absentee/mail voting requirements	20
Distributed guidance on absentee/mail voting developed by other organizations or agencies to local elections offices	16

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: The table is based on the 43 states that responded to the closed-ended questions in our state election office survey about steps taken related to absentee/mail voting.

Examples of Absentee/Mail Voting Successes Cited by State Election Offices

One state election official reported that the mailing of ballots to all active registered voters for the 2020 general election was very successful. The state saw a record turnout.

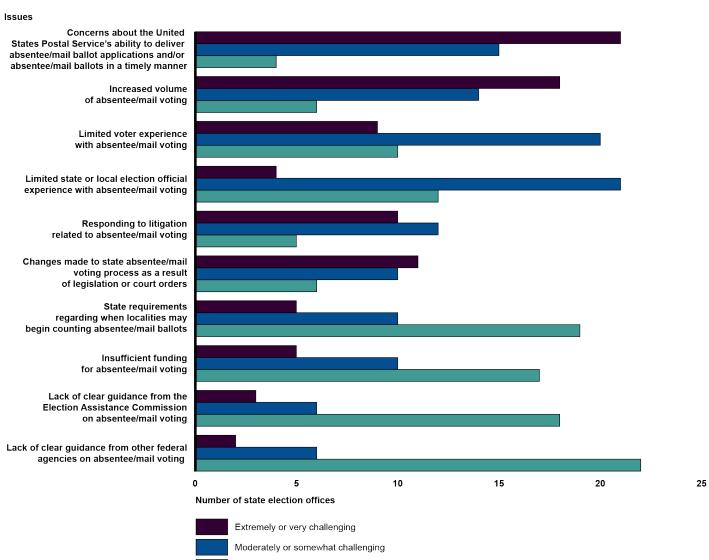
Another state election official reported that the state was able to conduct its first ever all-absentee/mail primary election during the pandemic. This election had very high turnout. Absentee/mail voting was also common during the general election.

Source: 2021 state election office survey responses. | GAO-22-104731

Nearly all states reported that concerns about the United States Postal Service's ability to deliver absentee/mail ballot applications or ballots in a timely manner were challenging during the 2020 general election. ²² Most states reported that an increased volume of absentee/mail voting and voters' limited experience with absentee/mail voting during the general election were also challenging. By contrast, few states reported that understanding guidance on voting from federal agencies other than the EAC was challenging in the general election. See figure 1.

²²In our surveys, we defined the 2020 general election as "encompassing in-person voting, early in-person voting, and absentee/mail voting (in those states that offer early in-person and/or absentee/mail voting) in the period leading up to and on Election Day on November 3, 2020. The 2020 general election process included tabulating votes cast before and on Election Day in accordance with the applicable state laws." We also apply this definition throughout this report. Additionally, for the purposes of this report, we aggregated survey responses of "extremely or very challenging" and "moderately or somewhat challenging" to note when states found issues "challenging."

Figure 1: How Challenging State Election Offices Found Issues Related to Absentee/Mail Voting in the 2020 General Election as a Result of the COVID-19 Pandemic



Source: GAO analysis of 2021 state election office survey results. \mid GAO-22-104731

Notes: Issues are displayed in descending order of most challenging to least challenging, based on the sum of "extremely or very challenging" and "moderately or somewhat challenging" responses for each issue

The figure is based on the 43 states that responded to the closed-ended questions in our state election office survey about challenges related to absentee/mail voting. The figure does not include the number of state election offices that selected "not applicable" or "don't know" or did not respond to these survey questions.

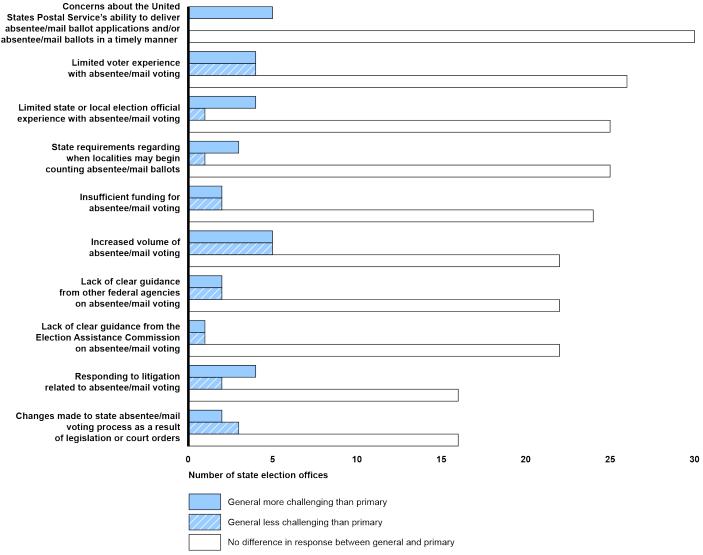
Not at all challenging

We compared state responses to survey questions about the 2020 general election to those about the 2020 primary elections. For each issue, for the largest number of states, there was no difference in their responses to questions about how challenging, if at all, absentee/mail voting issues were during the general election and during the primary elections. However, five states reported that concerns about the United States Postal Service's ability to deliver absentee/mail ballot applications and/or absentee/mail ballots in a timely manner were more challenging during the 2020 general election than during the 2020 primary elections. No states reported that this issue was less challenging during the general election than during the primary elections. See figure 2.

²³In our surveys, we defined the 2020 primary election as "any election held prior to the general election for the purpose of selecting candidates to run for federal office during the general election. For the purposes of this survey, we are only asking about primaries that occurred on or after March 13, 2020—the day the President issued a national emergency declaration regarding the pandemic. The 2020 primary election process included tabulating all votes cast before and on the date of the 2020 primary elections in accordance with the applicable state laws." We also apply this definition throughout this report.

Figure 2: Comparison of State Election Office Responses to Absentee/Mail Voting Issues in the 2020 General Election and 2020 Primary Elections





Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: The figure is based on the 43 states that responded to the closed-ended questions in our state election office survey about challenges related to absentee/mail voting. The figure does not include responses from states that selected "not applicable" or "don't know" or did not respond to these survey questions.

Steps and Challenges Identified by Local Jurisdictions

We estimate²⁴ that most local jurisdictions reconfigured space or arranged for additional space to facilitate social distancing while processing absentee/mail ballots in response to the COVID-19 pandemic.²⁵ Further, many local jurisdictions used United States Postal Service election mail guidance or resources, assigned staff to review voter registration rolls, and installed ballot drop boxes. By comparison, a small percentage of local jurisdictions worked with experts to revise their absentee/mail ballot or envelope designs. See table 2.²⁶

²⁴For the local election jurisdiction survey, we used a stratified random sample of 829 local election jurisdictions nationwide; 407 local jurisdictions responded to our survey for a weighted response rate of 47 percent. Because we followed a probability procedure based on random selections, our sample is only one of a large number of samples that we might have drawn. As each sample could have provided different estimates, we express our confidence in the precision of our particular sample's results as a 95 percent confidence interval. This is the interval that would contain the actual population value for 95 percent of the samples we could have drawn. As a result, we are 95 percent confident that each of the confidence intervals based on our web-based survey includes the true values in the sample population. The local jurisdiction estimates we report are national-level estimates representing the experiences, views, and opinions of all local election jurisdictions nationwide.

²⁵For the purpose of reporting results of the local election jurisdiction survey, "nearly all" refers to responses given by an estimated 81 to 100 percent of local jurisdictions, "most" to responses given by 61 to 80 percent of local jurisdictions, "many" to responses given 41 to 60 percent of local jurisdictions, "some" to responses given by 21 to 40 percent of local jurisdictions, and "a small percentage" to responses given by 1 to 20 percent of local jurisdictions.

²⁶Appendix III contains all survey questions and results of responses to the closed-ended questions from our local election jurisdiction survey.

Table 2: Steps That Local Election Jurisdictions Took Related to Absentee/Mail Voting for the 2020 Elections in Response to the COVID-19 Pandemic

Steps taken	Estimated percentage of local election jurisdictions that took this step
Reconfigured space or arranged for additional space to facilitate social distancing while	72
processing absentee/mail ballots	(66, 77)
Used United States Postal Service election mail guidance or resources	57
	(51, 63)
Installed ballot drop boxes	50
	(44, 56)
Assigned staff to review voter registration rolls prior to sending absentee/mail ballots	46
	(40, 52)
Purchased prepaid postage for voters to return completed absentee/mail ballots	39
	(33, 45)
Assigned staff to review voter registration rolls prior to sending absentee/mail ballot request	37
forms	(32, 43)
Consulted with experts about absentee/mail voting processes, procedures, strategies, and	34
policies	(28, 39)
Set up a system to allow voters to track the status of their absentee/mail ballots	33
	(27, 38)
Purchased equipment to process or tabulate absentee/mail ballots, such as automatic	26
letter openers	(21, 32)
Installed cameras or other security mechanisms to protect ballot drop boxes	23
	(19, 28)
Consulted with vendors regarding absentee/mail voting	21
	(17, 26)
Worked with experts—such as academics, nonprofits, election administration associations,	17
or other election jurisdictions—to revise absentee/mail ballot or envelope designs	(13, 22)

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Notes: Parentheses contain both the upper and lower bounds of the 95 percent confidence interval.

The table is based on responses to the closed-ended questions in our local election jurisdiction survey about steps taken related to absentee/mail voting.

Examples of Absentee/Mail Voting Successes Cited by Local Election Jurisdictions

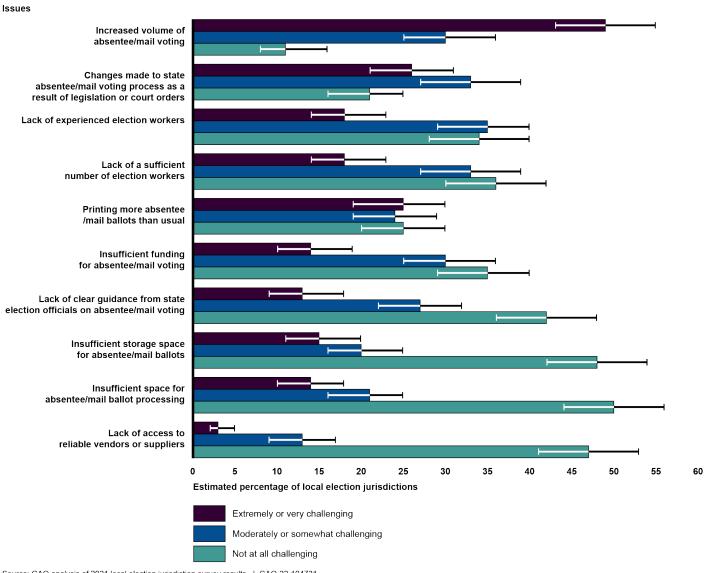
One local election official reported that during the 2020 elections, the return rate on absentee/mail ballots was the highest it had ever been. They attributed this success to the provision of prepaid postage for voters to return ballots and the installation of additional drop boxes.

Another local election official noted that increased rates of absentee/mail voting substantially reduced the number of voters that cast their ballots in person, which allowed the jurisdiction to more quickly and easily tally votes on Election Day.

Source: 2021 local election jurisdiction survey responses. | GAO-22-104731

Most local jurisdictions found that an increased volume of absentee/mail voting was challenging during the general election, and many found that changes made to state absentee/mail voting processes were challenging. By contrast, a small percentage of local jurisdictions reported that a lack of access to vendors was challenging in the general election. See figure 3.

Figure 3: How Challenging Local Election Jurisdictions Found Issues Related to Absentee/Mail Voting in the 2020 General Election as a Result of the COVID-19 Pandemic



Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Notes: Issues are displayed in descending order of most challenging to least challenging, based on the sum of "extremely or very challenging" and "moderately or somewhat challenging" responses for each issue.

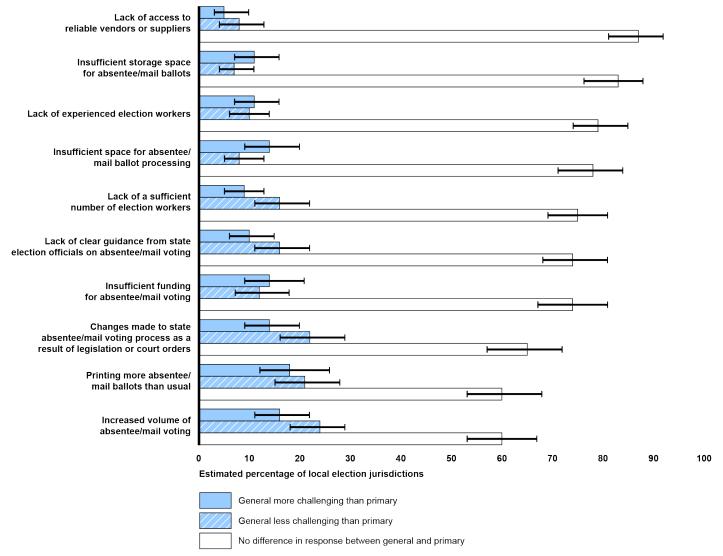
The figure is based on responses to the closed-ended questions in our local election jurisdiction survey about challenges related to absentee/mail voting. The figure does not include the percentage of local election jurisdictions that selected "not applicable" or "don't know" or did not respond.

Brackets contain 95 percent confidence intervals.

We compared local jurisdiction responses to survey questions about the 2020 general election to those about the 2020 primary elections. For each issue, for the largest percentage of local jurisdictions, there was no difference in their responses to questions about how challenging, if at all, absentee/mail voting issues were during the general election and during the primary elections. See figure 4.

Figure 4: Comparison of Local Election Jurisdiction Responses to Absentee/Mail Voting Issues in the 2020 General Election and 2020 Primary Elections



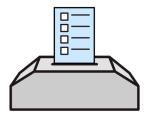


Source: GAO analysis of 2021 local election jurisdiction survey results. \mid GAO-22-104731

Notes: Brackets contain 95 percent confidence intervals.

The differences between (1) the estimated percentage of local election jurisdictions reporting that the 2020 general election was more challenging than the 2020 primary elections and (2) the estimated percentage of local election jurisdictions reporting that the 2020 general election was less challenging than the 2020 primary elections were not statistically significant for any of the issues in the figure.

The figure is based on responses to the closed-ended questions in our local election jurisdiction survey about challenges related to absentee/mail voting. The figure does not include responses from local election jurisdictions that selected "not applicable" or "don't know" or did not respond to these survey questions.



In-Person Voting

Steps and Challenges Identified by States

In response to the COVID-19 pandemic, nearly all states coordinated with public health agencies about in-person voting, and most states coordinated with emergency management agencies, consulted with vendors and experts about in-person voting, and helped local election offices to add new polling locations.²⁷ See table 3.

Table 3: Steps That State Election Offices Took Related to In-Person Voting for the 2020 Elections in Response to the COVID-19 Pandemic

Steps taken	Number of states
Coordinated with state, regional, or local public health agencies about in-person voting	35
Coordinated with state, regional, or local emergency management agencies about in-person voting	34
Consulted with vendors regarding in-person voting	26
Consulted with experts—such as academics, nonprofits, election administration associations, or other states—regarding in-person voting	26
Assisted local election offices in adding new polling locations or voting centers, such as at sports arenas or other large venues	26
Worked with the Governor's office or other executives to make changes to in-person voting requirements during the pandemic	24
Worked with state legislators to make changes to in-person voting requirements during the pandemic	16
Used National Guard personnel to assist with in-person voting	8

Source: GAO analysis of 2021 state election office survey results. \mid GAO-22-104731

Note: The table is based on the 43 states that responded to the closed-ended questions in our state election office survey about steps taken related to in-person voting.

²⁷In our surveys, we defined in-person voting as "a method of voting that includes any type of voting in which a registered voter casts a ballot while physically present at a voting location. In-person voting also includes instances where voters cast their ballots in person before Election Day. Your state may refer to voting that occurs in person before Election Day as early voting, in-person absentee voting, advanced voting, or another similar term." We also apply this definition throughout this report.

Examples of In-Person Voting Successes Cited by State Election Offices

One state election official stated that in-person voting went well in their state because all polling locations were kept open and people were able to social distance.

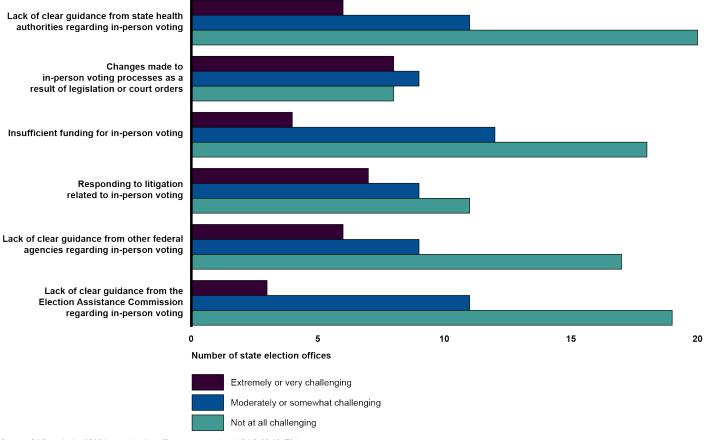
Another state election official stated that everyone worked hard to ensure the safety of voters, poll workers, and staff. This official noted that there were no reported cases of COVID-19 attributed to either the 2020 primary or 2020 general elections in their state.

Source: 2021 state election office survey responses. | GAO-22-104731

The most common response from states to almost all of our survey questions about in-person voting issues during the 2020 general election was that these issues were not challenging. However, for each in-person voting issue asked about in our survey—for example, understanding guidance from state health authorities—some states identified the issue as challenging. See figure 5.

Figure 5: How Challenging State Election Offices Found Issues Related to In-Person Voting in the 2020 General Election as a Result of the COVID-19 Pandemic





Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

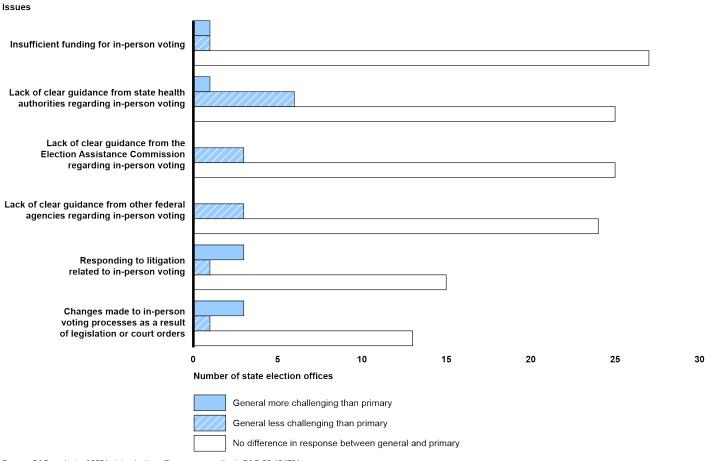
Notes: Issues are displayed in descending order of most challenging to least challenging, based on the sum of "extremely or very challenging" and "moderately or somewhat challenging" responses for each issue.

The figure is based on the 43 states that responded to the closed-ended questions in our state election office survey about challenges related to in-person voting. The figure does not include the number of state election offices that selected "not applicable" or "don't know" or that did not respond to these survey questions.

We compared state responses to survey questions about the 2020 general election to those about the 2020 primary elections. For each issue, for the largest number of states, there was no difference in their responses to questions about how challenging, if at all, in-person voting issues were during the general election and during the primary elections. However, six states reported that understanding guidance from state

health authorities regarding in-person voting was less challenging during the 2020 general election than during the 2020 primary elections. One state reported that this issue was more challenging during the general election than during the primary elections. See figure 6.

Figure 6: Comparison of State Election Office Responses to In-Person Voting Issues in the 2020 General Election and 2020 Primary Elections



Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: The figure is based on the 43 states that responded to the closed-ended questions in our state election office survey about challenges related to in-person voting. The figure does not include responses from states that selected "not applicable" or "don't know" or did not respond to these survey questions.

Steps and Challenges Identified by Local Jurisdictions

Nearly all local jurisdictions took steps to prevent the spread of COVID-19 when preparing for and conducting in-person voting during the pandemic, for example, by providing personal protective equipment to voters and/or election workers or modifying voting locations to facilitate social distancing.²⁸ By contrast, a small percentage of local jurisdictions held inperson voting at sports arenas or other large venues or used a ticketing system to manage lines at voting locations. See table 4.

Table 4: Steps That Local Election Jurisdictions Took Related to In-Person Voting for the 2020 Elections in Response to the COVID-19 Pandemic

Steps taken	Estimated percentage of local election jurisdictions that took this step
Provided personal protective equipment, such as masks or face shields, for voters	94
and/or election workers	(90, 97)
Cleaned and disinfected voting locations following the primary election(s) or general	94
election	(90, 96)
Installed signs or other reminders for voters and election workers to socially distance	92
	(88, 95)
Modified or reconfigured voting locations to facilitate social distancing	88
	(83, 91)
Installed physical barriers between voters and/or election workers	79
	(74, 84)
Restricted the number of people allowed inside voting locations at any one time	71
	(66, 77)
Coordinated with state, regional, or local public health agencies about in-person voting	56
	(50, 62)
Coordinated with state, regional, or local emergency management agencies about in-	55
person voting	(49, 61)
Prepared alternative in-person voting options for voters with COVID-19 or COVID-19	52
symptoms	(46, 58)

²⁸In our surveys, we defined a voting location as "any location where a voter appears in person to cast a ballot. Voting locations include staffed polling precinct/polling places, vote centers, election officials' offices, or other locations sanctioned by the state, county, or local office responsible for conducting elections." Additionally, we defined election workers as "any temporary employee or volunteer working on election-related tasks before or during the election, including, for example, setting up voting locations, closing polling locations, helping voters cast their ballots, tabulating ballots, processing absentee/mail ballots, and/or supervising other election workers. Your state might refer to election workers as election judges, election clerks, election officers, poll workers, or Election Day workers." We also apply these definitions throughout this report.

Steps taken	Estimated percentage of local election jurisdictions that took this step
Provided voters with more opportunities for curbside or drive-thru voting	49
	(43, 56)
Estimated the number of voting machines needed for in-person voting	46
	(40, 52)
Gave voters more opportunities for early in-person voting	38
	(33, 44)
Consulted with experts—such as academics, nonprofits, election administration	37
associations, or other election jurisdictions—about in-person voting	(31, 43)
Improved ventilation or air quality at voting locations	28
	(22, 33)
Consulted with vendors regarding in-person voting	18
	(14, 23)
Added new polling locations or vote centers	14
	(11, 18)
Held in-person voting at sports arenas or other large venues	8
	(5, 11)
Used a ticketing or reservation system to manage lines at voting locations	2
	(1, 5)

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Notes: Parentheses contain both the upper and lower bounds of the 95 percent confidence interval.

The table is based on responses to the closed-ended questions in our local election jurisdiction survey about steps taken related to in-person voting.

Examples of In-Person Voting Successes Cited by Local Election Jurisdictions

One local election official stated that the jurisdiction's commitment to having safety measures in place at polling places, to ensure election judges and voters were safe, was a success for the locality. There was an increase in the number of people voting in-person, and the official felt that the jurisdiction was successful in accurately processing the high volume of in-person ballots.

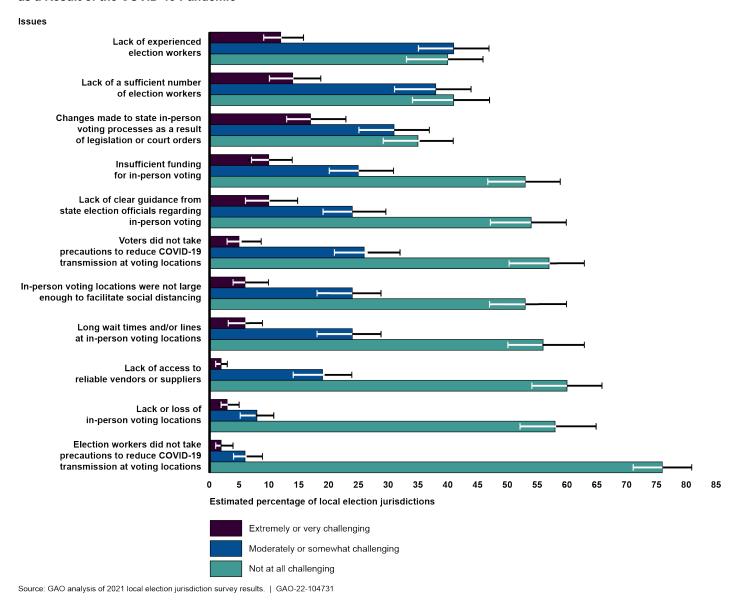
Another local election official stated that the jurisdiction utilized an old bank building as an early vote center for the general election. The official reported that it made social distancing and people management so much better.

Source: 2021 local election jurisdiction survey responses. | GAO-22-104731

The most common response from local jurisdictions to almost all of our survey questions about in-person voting issues during the 2020 general election was that these issues were not challenging. However, many local jurisdictions identified the following issues we asked about as challenging: a lack of experienced election workers, a lack of a sufficient number of

election workers, and changes made to states' in-person voting processes. See figure 7.

Figure 7: How Challenging Local Election Jurisdictions Found Issues Related to In-Person Voting in the 2020 General Election as a Result of the COVID-19 Pandemic



Notes: Issues are displayed in descending order of most challenging to least challenging, based on the sum of "extremely or very challenging" and "moderately or somewhat challenging" responses for each issue.

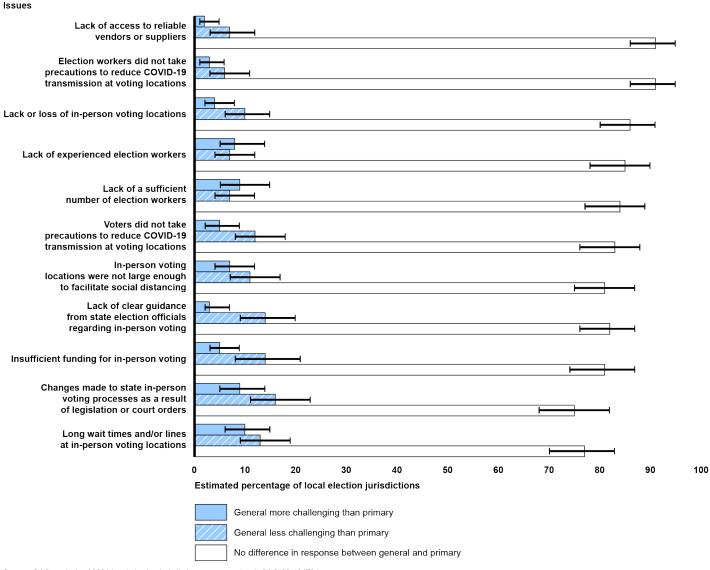
The figure is based on responses to the closed-ended questions in our local election jurisdiction survey about challenges related to in-person voting. The figure does not include the percentage of local election jurisdictions that selected "not applicable" or "don't know" or did not respond to these survey questions.

Brackets contain 95 percent confidence intervals.

We compared local jurisdiction responses to survey questions about the 2020 general election to those about the 2020 primary elections. For each issue, for the largest percentage of local jurisdictions, there was no difference in their responses to questions about how challenging, if at all, in-person voting issues were during the general election and during the primary elections. However, 14 percent of local jurisdictions reported that understanding guidance from state election officials was less challenging during the 2020 general election than during the 2020 primary elections. Three percent of local jurisdictions reported that this issue was more challenging during the general election than during the primary elections. See figure 8.

²⁹The 95 percent confidence intervals for these estimates are (9, 20) and (2, 7) respectively. The difference between these estimates is statistically significant.

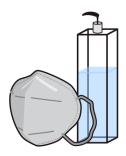
Figure 8: Comparison of Local Election Jurisdiction Responses to In-Person Voting Issues in the 2020 General Election and 2020 Primary Elections



Notes: Brackets contain 95 percent confidence intervals.

With the exception of "lack of clear guidance from state election officials regarding in-person voting," the differences between (1) the estimated percentage of local election jurisdictions reporting that an issue was more challenging in the 2020 general election than in the primary elections and (2) the estimated percentage of local election jurisdictions reporting that an issue was less challenging in the 2020 general election than in the primary elections were not statistically significant for any of the issues in the figure.

The figure is based on responses to the closed-ended questions in our local election jurisdiction survey about challenges related to in-person voting. The figure does not include responses from local election jurisdictions that selected "not applicable" or "don't know" or did not respond to these survey questions.



Election Supplies

Steps and Challenges Identified by States

More than half of the 43 states that responded to our survey assisted local election offices with obtaining all of the types of election supplies we asked about in response to the COVID-19 pandemic, including hand sanitizer, personal protective equipment such as face shields or non-medical-grade masks, and disinfectant wipes or other cleaning supplies. See table 5.

Table 5: Election Supplies That State Election Offices Assisted Local Election Offices With Obtaining for the 2020 Elections in Response to the COVID-19 Pandemic

Steps taken	Number of state election offices
Hand sanitizer	39
Personal protective equipment, such as face shields or non-medical-grade masks	36
Disinfectant wipes or other cleaning supplies	35
Signage or other reminders to encourage social distancing among voters and election workers	31
Disposable shared objects, such as pens or sample ballots	29
Physical barriers, such as plexiglass	26
Medical-grade protective equipment, such as N95 masks	24

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: The table is based on the 43 states that responded to the closed-ended questions in our state election office survey about steps taken related to obtaining election supplies.

Examples of Election Supplies Successes Cited by State Election Offices

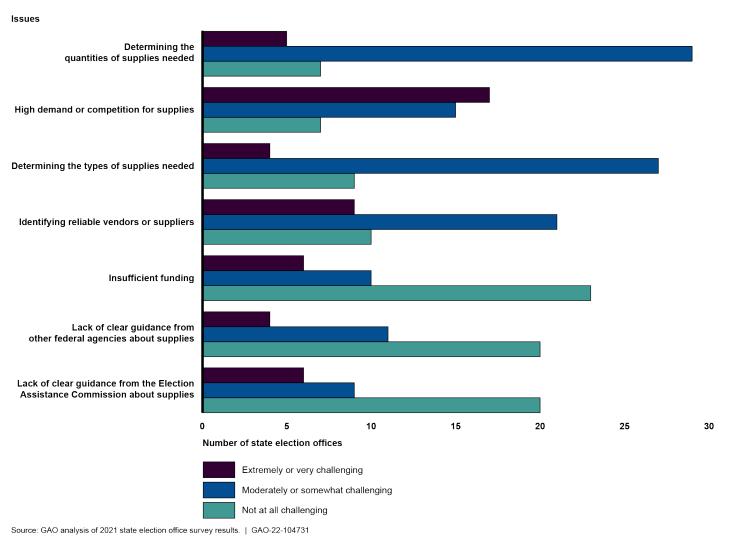
One state election official reported that by the general election, the state had planned and coordinated with other state and federal agencies to ensure each local office had the supplies needed to conduct a safe election with increased vote by mail. Though there were challenges, this state was able to provide the citizens with safe options for voting in person early or on Election Day, or by mail.

Another state election official said that the state was able to obtain and supply personal protective equipment and cleaning supplies to all of its municipalities for the general election.

Source: 2021 state election office survey responses. | GAO-22-104731

Most states found four issues we asked about related to determining the types of supplies, the quantities of supplies needed, addressing high demand, and identifying reliable suppliers challenging. Meanwhile, many states found issues related to funding for supplies and understanding guidance from the EAC and other federal agencies not challenging. See figure 9.

Figure 9: How Challenging State Election Offices Found Issues Related to Assisting Local Election Offices With Obtaining Election Supplies for the 2020 General Election as a Result of the COVID-19 Pandemic



Notes: Issues are displayed in descending order of most challenging to least challenging, based on the sum of "extremely or very challenging" and "moderately or somewhat challenging" responses for each issue.

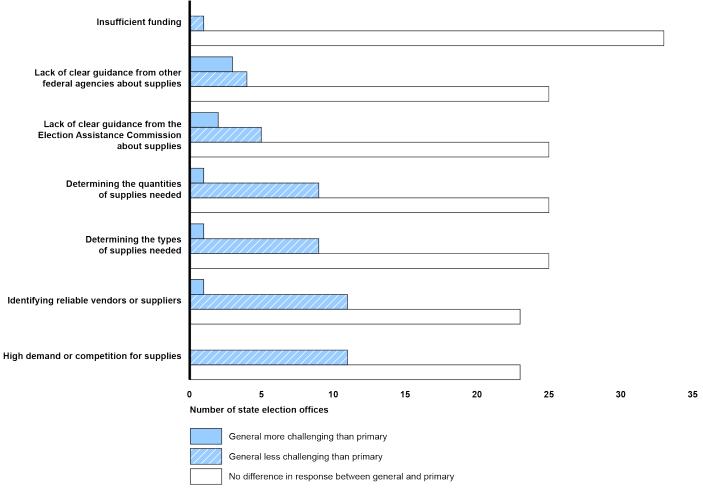
The figure is based on the 43 states that responded to the closed-ended questions in our state election office survey about challenges related to obtaining election supplies. The figure does not include the number of state election offices that selected "not applicable" or "don't know" or did not respond to these survey questions.

We compared states' responses to survey questions about the 2020 general election to those about the 2020 primary elections. (See figure 10.) For each issue, for the largest number of states, there was no difference in their responses to questions about how challenging, if at all, issues related to obtaining election supplies were during the general election and during the primary elections. However:

- Nine states reported that determining the quantity of supplies needed was less challenging during the 2020 general election than during the 2020 primary elections. One state reported that this issue was more challenging during the 2020 general election than during the primary elections.
- Nine states reported that determining the types of supplies needed was less challenging during the 2020 general election than during the 2020 primary elections. One state reported that this issue was more challenging during the 2020 general election than during the primary elections.
- Eleven states reported that identifying reliable suppliers was less challenging during the 2020 general election than during the 2020 primary elections. One state reported that this issue was more challenging during the general election than during the primary elections.
- Eleven states reported that addressing high demand or competition for supplies was less challenging during the 2020 general election than during the 2020 primary elections. No states reported that this issue was more challenging during the general election than during the primary elections.

Figure 10: Comparison of State Election Office Responses to Issues Related to Assisting Local Election Offices With Obtaining Election Supplies during the 2020 General Election and 2020 Primary Elections





Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: The figure is based on the 43 states that responded to the closed-ended questions in our state election office survey about challenges related to obtaining election supplies. The figure does not include responses from states that selected "not applicable" or "don't know" or did not respond to these survey questions.

Steps and Challenges Identified by Local Jurisdictions

Nearly all local jurisdictions obtained a variety of supplies, for example, cleaning supplies and disposable shared objects, to prevent transmission of COVID-19 in response to the pandemic. Meanwhile, less than half of local jurisdictions obtained medical-grade protective equipment, such as N95 masks, for election workers. See table 6.

Table 6: Election Supplies That Local Election Jurisdictions Obtained for the 2020 Elections in Response to the COVID-19 Pandemic

Steps taken	Estimated percentage of local election jurisdictions that obtained specified supplies
Disinfectant wipes or other cleaning supplies	96
	(93, 98)
Hand sanitizer	94
	(90, 96)
Personal protective equipment, such as face shields or non-medical-grade masks, for election	92
workers	(88, 95)
Signage or other reminders to encourage social distancing among voters and election workers	92
	(88, 95)
Disposable shared objects, such as pens or sample ballots	82
	(77, 86)
Physical barriers, such as plexiglass	78
	(73, 83)
Medical-grade protective equipment, such as N95 masks, for election workers	43
	(37, 48)

Notes: Parentheses contain both the upper and lower bounds of the 95 percent confidence interval.

The table is based on responses to the closed-ended questions in our local election jurisdiction survey about steps taken related to obtaining election supplies.

Examples of Election Supplies Successes Cited by Local Election Jurisdictions

One local election official stated that the county government provided the local jurisdiction with all of the personal protective equipment it needed at no cost.

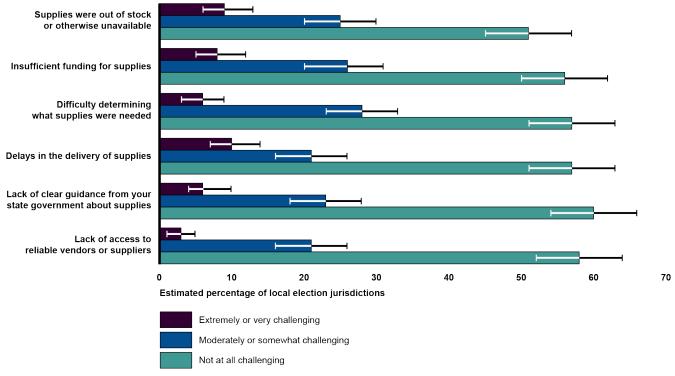
Another local election official stated that all personal protective equipment supplies were visible and accessible at the jurisdiction's polling locations, helping voters to feel safe.

Source: 2021 local election jurisdiction survey responses. | GAO-22-104731

Over half of local jurisdictions reported that all issues we asked about related to obtaining election supplies were not challenging during the 2020 general election. See figure 11.

Figure 11: How Challenging Local Election Jurisdictions Found Issues Related to Obtaining Election Supplies in the 2020 General Election as a Result of the COVID-19 Pandemic





Notes: Issues are displayed in descending order of most challenging to least challenging, based on the sum of "extremely or very challenging" and "moderately or somewhat challenging" responses for each issue

Brackets contain 95 percent confidence intervals.

The figure is based on responses to the closed-ended questions in our local election jurisdiction survey about challenges related to obtaining election supplies. The figure does not include the percentage of local election jurisdictions that selected "not applicable" or "don't know" or did not respond to these survey questions.

We compared local jurisdictions' responses to survey questions about the 2020 general election to those about the 2020 primary elections. (See figure 12.) For each issue, for the largest percentage of local jurisdictions, there was no difference in their responses to questions about how challenging, if at all, issues related to obtaining election supplies were during the general election and during the primary elections. However:

 Twelve percent of local jurisdictions reported that lack of access to reliable vendors or suppliers was less challenging during the 2020

- general election than during the 2020 primary elections. Two percent of local jurisdictions reported that the issue was more challenging during the general election than during the primary elections.³⁰
- Fifteen percent of local jurisdictions reported that the issue of delays in the delivery of supplies was less challenging during the 2020 general election than during the 2020 primary elections. Five percent of local jurisdictions reported that the issue was more challenging during the general election than during the primary elections.³¹
- Seventeen percent of local jurisdictions reported that difficulty in determining what supplies were needed was less challenging during the 2020 general election than during the 2020 primary elections. Five percent of local jurisdictions reported that the issue was more challenging during the general election than during the primary elections.³²
- Twenty-one percent of local jurisdictions reported that the issue of out of stock supplies was less challenging during the 2020 general election than during the 2020 primary elections. Four percent of local jurisdictions reported that the issue was more challenging during the general election than during the primary elections.³³

³⁰The 95 percent confidence intervals for these estimates are (7, 18) and (1, 4) respectively. The difference between these estimates is statistically significant.

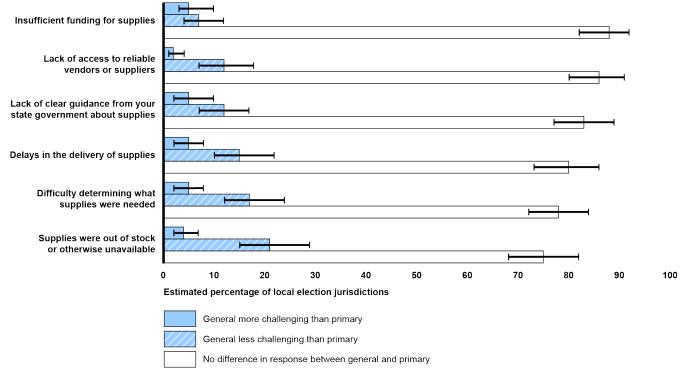
³¹The 95 percent confidence intervals for these estimates are (10, 22) and (2, 8) respectively. The difference between these estimates is statistically significant.

³²The 95 percent confidence intervals for these estimates are (12, 24) and (2, 8) respectively. The difference between these estimates is statistically significant.

³³The 95 percent confidence intervals for these estimates are (15, 29) and (2, 7) respectively. The difference between these estimates is statistically significant.

Figure 12: Comparison of Local Election Jurisdiction Responses Related to Obtaining Election Supplies in the 2020 General Election and 2020 Primary Elections





Notes: Brackets contain 95 percent confidence intervals.

With the exceptions of "insufficient funding" and "lack of clear guidance from your state government about supplies," the differences between (1) the estimated percentage of local election jurisdictions reporting the 2020 general election was more challenging than the 2020 primary elections and (2) the estimated percentage of local election jurisdictions reporting the 2020 general election was less challenging than the 2020 primary election were all statistically significant.

The figure is based on responses to the closed-ended questions in our local election jurisdiction survey about challenges related to obtaining election supplies. The figure does not include responses from local election jurisdictions that selected "not applicable" or "don't know" or did not respond to these survey questions.



Election Worker Recruitment and Training

Steps and Challenges Identified by States

Nearly all states conducted an outreach campaign in response to the COVID-19 pandemic to encourage people to serve as election workers, and provided guidance to help local officials train them. More than half of states took other steps to recruit workers and to train election officials. See table 7.

Table 7: Steps That State Election Offices Took Related to Election Worker Recruitment and Training for the 2020 Elections in Response to the COVID-19 Pandemic

	Steps taken	Number of state election offices
Recruitment	Conducted an outreach campaign to encourage people to serve as election workers	36
	Coordinated with schools, businesses, charitable organizations, or advocacy groups to recruit election workers	32
	Encouraged state government employees to serve as election workers	24
Training	Provided guidance or materials to help local election officials train election workers	37
	Trained local election officials	28

Source: GAO analysis of 2021 state election office survey results. \mid GAO-22-104731

Note: The table is based on the 43 states that responded to the closed-ended questions in our state election office survey about steps taken related to election worker recruitment and training.

Examples of Election Worker Recruitment and Training Successes Cited by State Election Offices

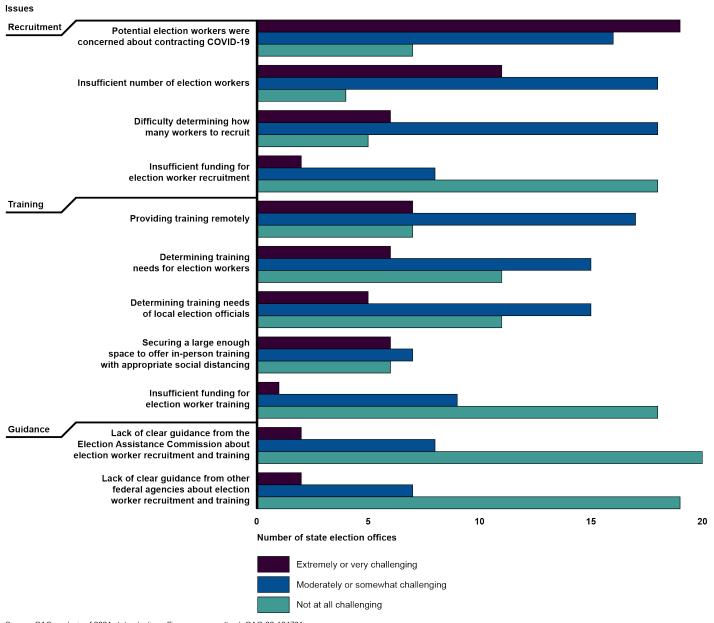
One state election official reported that the state worked with the governor's office to create a program where state employees could receive paid civic duty leave to serve as poll workers. Through this program, the state was able to supply backup poll workers even with only last-minute notice.

Another state election official reported that election officials were able to use remote meeting and training options to ensure continuity of operations despite COVID-19 challenges.

Source: 2021 state election office survey responses. | GAO-22-104731

Nearly all states that responded to our survey found recruiting election workers challenging, due to potential election workers' concerns about contracting COVID-19, and most states found recruiting a sufficient number of election workers challenging. Additionally, many states found providing remote training and determining training needs for election workers and local election officials challenging. See figure 13.

Figure 13: How Challenging State Election Offices Found Issues Related to Election Worker Recruitment and Training for the 2020 General Election as a Result of the COVID-19 Pandemic



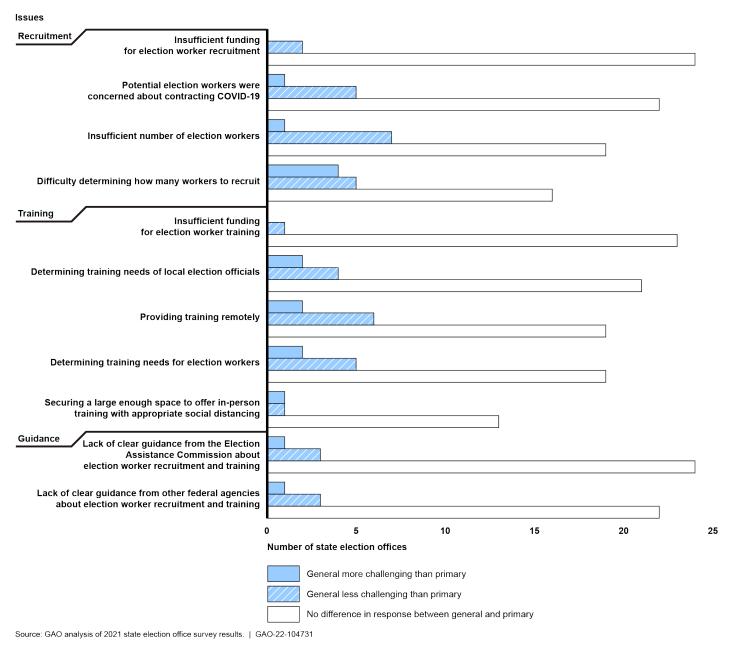
Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Notes: Issues are displayed in descending order of most challenging to least challenging, based on the sum of "extremely or very challenging" and "moderately or somewhat challenging" responses for each issue.

The figure is based on the 43 states that responded to the closed-ended questions in our state election office survey about challenges related to election worker recruitment and training. The figure does not include the number of state election offices that selected "not applicable" or "don't know" or did not respond to these survey questions.

We compared states' responses to survey questions about the 2020 general election to those about the 2020 primary elections. For each issue, for the largest number of states, there was no difference in their responses to questions about how challenging, if at all, recruitment and training issues were during the general election and during the primary elections. However, seven states reported that the issue of an insufficient number of election workers was less challenging during the 2020 general election than during the 2020 primary elections. One state reported that this issue was more challenging during the general election than during the primary elections. See figure 14.

Figure 14: Comparison of State Election Office Responses to Election Worker Recruitment and Training Issues in the 2020 General Election and 2020 Primary Elections



Note: The figure is based on the 43 states that responded to the closed-ended questions in our state election office survey about challenges related to election worker recruitment and training. The figure does not include responses from states that selected "not applicable" or "don't know" or did not respond to these survey questions.

Steps and Challenges Identified by Local Jurisdictions

Local jurisdictions took a range of steps in relation to election worker recruitment and training for the 2020 elections in response to the COVID-19 pandemic. For example, most local jurisdictions recruited backup election workers in case others were unable to work, and a small percentage recruited election workers with medical training to assist voters with COVID-19. Additionally, nearly all local jurisdictions trained election workers on measures to reduce COVID-19 transmission at voting locations and how to protect themselves from COVID-19, and conducted training in socially-distanced settings. See table 8.

Table 8: Steps That Local Election Jurisdictions Took Related to Election Worker Recruitment and Training for the 2020 Elections in Response to the COVID-19 Pandemic

	Steps taken	Estimated percentage of local election jurisdictions that took this step
Recruitment	Recruited backup election workers in case others were unable to work	71
		(66, 77)
	Recruited election workers from populations that do not usually	36
	volunteer, such as young people	(30, 41)
	Worked with schools, businesses, charitable organizations, or	23
	advocacy groups to recruit election workers	(19, 27)
	Offered incentives, such as hazard pay, to election workers	16
		(13, 21)
	Recruited election workers with medical training to assist voters with	5
	COVID-19	(3, 9)
Training	Trained election workers on measures to reduce COVID-19	89
	transmission at voting locations	(84, 92)
	Trained election workers on measures to protect themselves from	86
Tr	COVID-19	(82, 90)
	Trained election workers in socially-distanced settings	82
		(77, 87)
	Conducted some or all election worker training online	48
		(42, 54)
	Trained more election workers than usual to perform tasks in relation to	46
	absentee/mail voting	(40, 52)

Source: GAO analysis of 2021 local election jurisdiction survey results. \mid GAO-22-104731

Notes: Parentheses contain both the upper and lower bounds of the 95 percent confidence interval. The table is based on responses to the closed-ended questions in our local election jurisdiction survey about steps taken related to election worker recruitment and training.

Examples of Election Worker Recruitment and Training Successes Cited by Local Election Jurisdictions

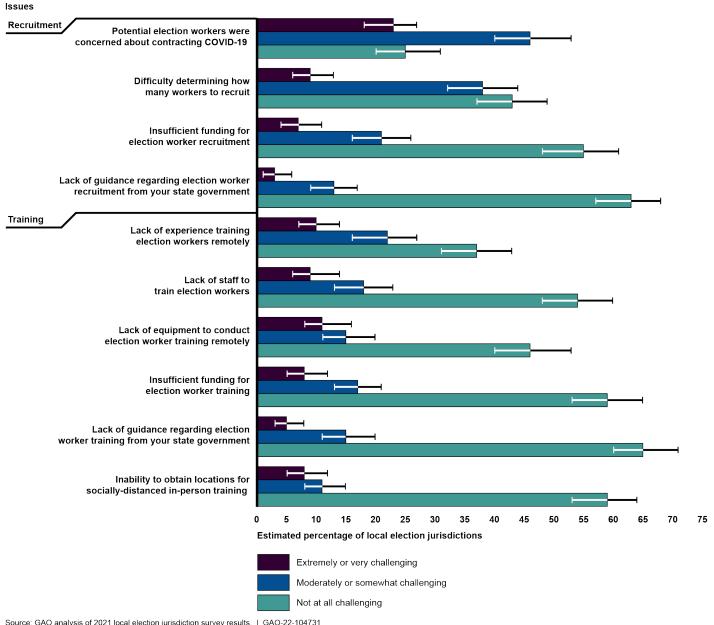
One local election official stated that the jurisdiction still had plenty of poll workers to help work the elections, and that poll workers learning how to operate their new election equipment went well.

Another local election official stated that the jurisdiction had an influx of citizens contacting their office to be election workers. The election official noted that almost all of the jurisdiction's seasoned experienced election workers returned.

Source: 2021 local election jurisdiction survey responses. | GAO-22-104731

Many local jurisdictions found the majority of issues we asked about related to election worker recruitment and training not challenging in the 2020 general election. By contrast, most local jurisdictions found one issue—potential election workers' concern about contracting COVID-19—challenging in the general election. See figure 15.

Figure 15: How Challenging Local Election Jurisdictions Found Issues Related to Election Worker Recruitment and Training in the 2020 General Election as a Result of the COVID-19 Pandemic



Notes: Issues are displayed in descending order of most challenging to least challenging, based on the sum of "extremely or very challenging" and "moderately or somewhat challenging" responses for each issue.

Brackets contain 95 percent confidence intervals.

The figure is based on responses to the closed-ended questions in our local election jurisdiction survey about challenges related to election worker recruitment and training. The figure does not include the percentage of local election jurisdictions that selected "not applicable" or "don't know" or did not respond to these survey questions.

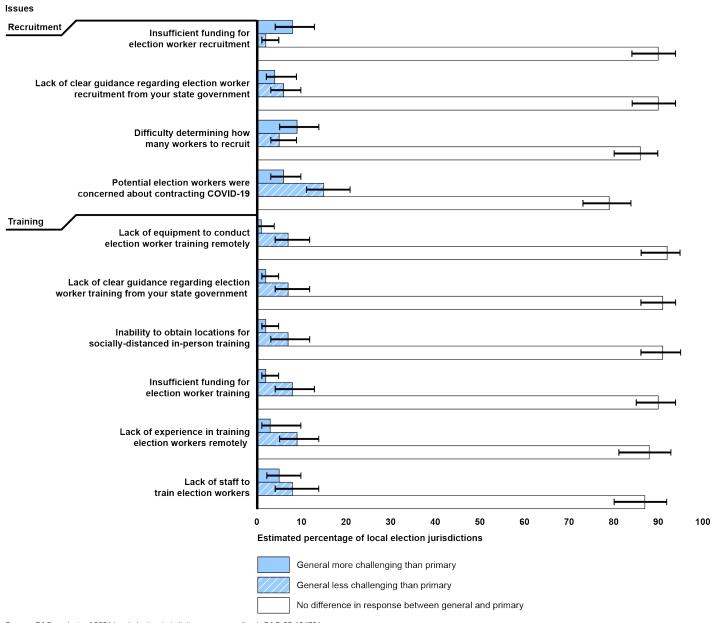
We compared local jurisdictions' responses to survey questions about the 2020 general election to those about the 2020 primary elections. (See figure 16.) For each issue, for the largest percentage of local jurisdictions, there was no difference in their responses to questions about how challenging, if at all, recruitment and training issues were during the general election and during the primary elections. However:

- Fifteen percent of local jurisdictions reported that the issue of potential election workers' concern about contracting COVID-19 was less challenging during the 2020 general election than during the 2020 primary elections. Six percent reported that this issue was more challenging during the general election than during the primary elections.³⁴
- Seven percent of local jurisdictions reported that the lack of equipment to train election workers remotely was less challenging during the 2020 general election than during the 2020 primary elections. One percent reported that this issue was more challenging during the general election than during the primary elections.³⁵

³⁴The 95 percent confidence intervals for these estimates are (11, 21) and (3, 10) respectively. The difference between these estimates is statistically significant.

³⁵The 95 percent confidence intervals for these estimates are (4, 12) and (0, 4) respectively. The difference between these estimates is statistically significant.

Figure 16: Comparison of Local Election Jurisdiction Responses to Election Worker Recruitment and Training Issues in the 2020 General Election and 2020 Primary Elections



Notes: Brackets contain 95 percent confidence intervals.

With the exceptions of "potential election workers were concerned about contracting COVID-19," and "lack of equipment to conduct election worker training remotely," the differences between (1) the estimated percentage of local election jurisdictions reporting the 2020 general election was more

challenging than the 2020 primary elections and (2) the estimated percentage of local election jurisdictions reporting the 2020 general election was less challenging than the 2020 primary elections were not statistically significant.

The figure is based on responses to the closed-ended questions in our local election jurisdiction survey about challenges related to election worker recruitment and training. The figure does not include responses from local election jurisdictions that selected "not applicable" or "don't know" or did not respond to these survey questions.



Voter Education and Outreach

Steps and Challenges Identified by States

All states that responded to our survey reported that they provided information on their elections websites in response to the COVID-19 pandemic to educate voters about voting policies and procedures. In addition, nearly all states took other steps related to education and outreach during the pandemic by, for example, answering questions from people who contacted their offices, or using various forms of media, including social media, to educate voters about voting policies and procedures. See table 9.

Table 9: Steps That State Election Offices Took Related to Voter Education and Outreach for the 2020 Elections in Response to the COVID-19 Pandemic

Steps taken	Number of state election offices that took this step
Provided information on your state's elections website to educate voters about voting policies and procedures	43
Answered questions about voting policies and procedures from people who contacted your office	42
Used social media to educate voters about voting policies and procedures	40
Conducted an outreach campaign using print, electronic, or other media to educate voters about voting policies and procedures	40
Engaged with the news media to educate voters about voting policies and procedures	40
Worked with schools, businesses, charitable organizations, or advocacy groups to educate voters about voting policies and procedures	35
Held or attended meetings or other events to educate voters about voting policies and procedures	31

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: The table is based on the 43 states that responded to the closed-ended questions in our state election office survey about steps taken related to voter education and outreach.

Examples of Voter Education and Outreach Successes Cited by State Election Offices

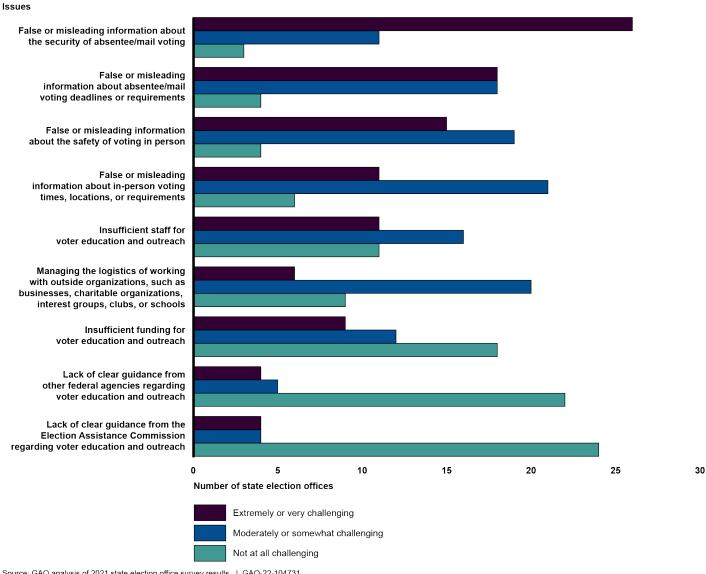
One state election official reported that the state conducted a successful voter education and outreach campaign and that the state had high voter turnout.

Another state election official reported that the state worked with a professional public relations group to assist it in developing and successfully disseminating information about voting.

Source: 2021 state election survey responses. | GAO-22-104731

Nearly all states that responded to our survey reported that false or misleading information about absentee/mail voting—particularly about the security of this type of voting, and related deadlines and requirements—was challenging in the general election. By contrast, few states found understanding guidance issued by the EAC to be challenging in the general election. See figure 17.

Figure 17: How Challenging State Election Offices Found Issues Related to Voter Education and Outreach in the 2020 General Election as a Result of the COVID-19 Pandemic



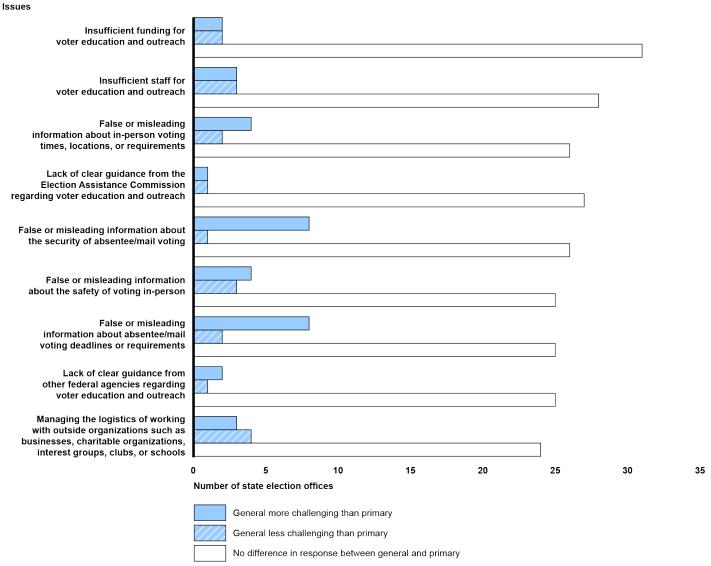
Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Notes: Issues are displayed in descending order of most challenging to least challenging, based on the sum of "extremely or very challenging" and "moderately or somewhat challenging" responses for each issue.

The figure is based on the 43 states that responded to the closed-ended questions in our state election office survey about challenges related to voter education and outreach. The figure does not include the number of state election offices that selected "not applicable" or "don't know" or those that did not respond to these survey questions.

We compared states' responses to survey questions about the 2020 general election to those about the 2020 primary elections. For each issue, for the largest number of states, there was no difference in their responses to questions about how challenging, if at all, voter education and outreach issues were during the general election and during the primary elections. However, eight states reported that false or misleading information about the security of absentee/mail voting was more challenging during the 2020 general election than during the 2020 primary elections, while one state reported that the issue was less challenging during the 2020 general election than during the primary elections. Similarly, eight states reported that false or misleading information about absentee/mail voting deadlines or requirements was more challenging during the 2020 general election than during the 2020 primary elections, while two states reported that the issue was less challenging during the 2020 general election than during the primary elections. See figure 18.

Figure 18: Comparison of State Election Office Responses to Voter Education and Outreach Issues in the 2020 General Election and 2020 Primary Elections



Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: The figure is based on the 43 states that responded to the closed-ended questions in our state election office survey about challenges related to voter education and outreach. The figure does not include responses from states that selected "not applicable" or "don't know" or did not respond to these survey questions.

Steps and Challenges Identified by Local Election Jurisdictions Nearly all local jurisdictions reported that in response to the COVID-19 pandemic, they answered questions about voting policies and procedures from people who contacted their offices. Most local jurisdictions also provided voters with information via their websites, and many local jurisdictions used social or news media to educate voters. By contrast, few local jurisdictions worked with organizations such as schools, businesses, charitable organizations, or advocacy groups to educate voters. See table 10.

Table 10: Steps That Local Election Jurisdictions Took Related to Voter Education and Outreach for the 2020 Elections in Response to the COVID-19 Pandemic

Steps taken	Estimated percentage of local election jurisdictions that took this step
Answered questions about voting policies and procedures from people who contacted	92
your office	(88, 96)
Provided information on your local elections website to educate voters about voting	63
policies and procedures	(57, 69)
Used social media to educate voters about voting policies and procedures	55
	(49, 61)
Engaged with the news media to educate voters about voting policies and procedures	42
	(37, 48)
Conducted an outreach campaign using print, electronic, or other media to educate	38
voters about voting policies and procedures	(32, 43)
Held or attended meetings or other events to educate voters about voting policies and	22
procedures	(18, 27)
Worked with schools, businesses, charitable organizations, or advocacy groups to	19
educate voters about voting policies and procedures	(15, 23)

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Notes: Parentheses contain both the upper and lower bounds of the 95 percent confidence interval.

The table is based on responses to the closed-ended questions in our local election jurisdiction.

The table is based on responses to the closed-ended questions in our local election jurisdiction survey about steps taken related to voter education and outreach.

Examples of Voter Education and Outreach Successes Cited by Local Election Jurisdictions

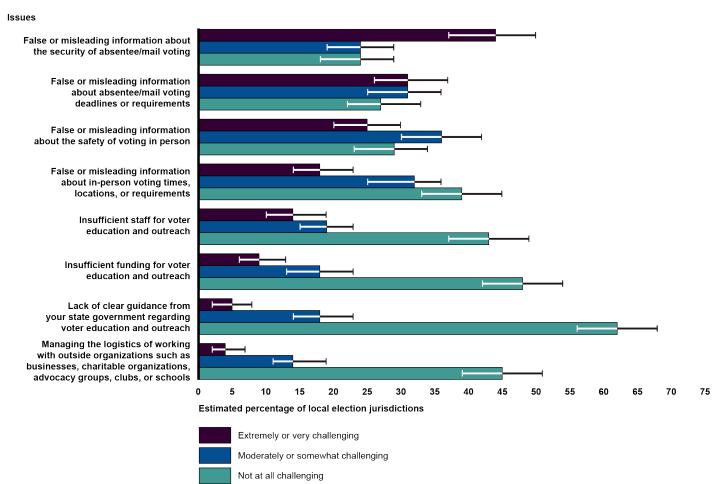
One local election official said that the jurisdiction expanded its outreach and education efforts, helping the jurisdiction register a record number of voters.

Another local election official reported that the jurisdiction worked with an advocacy group to inform voters on methods they could use to vote from home.

Source: 2021 local election jurisdiction survey responses. | GAO-22-104731

Most local jurisdictions found false or misleading information about the following issues challenging: the security of absentee/mail voting and associated deadlines and requirements, and the safety of in-person voting. By comparison, a small percentage of local jurisdictions found it challenging to manage the logistics of working with outside organizations, such as businesses, charitable organizations, advocacy groups, clubs, or schools. See figure 19.

Figure 19: How Challenging Local Election Jurisdictions Found Issues Related to Voter Education and Outreach in the 2020 General Election as a Result of the COVID-19 Pandemic



Source: GAO analysis of 2021 local election jurisdiction survey results. \mid GAO-22-104731

Notes: Issues are displayed in descending order of most challenging to least challenging, based on the sum of "extremely or very challenging" and "moderately or somewhat challenging" responses for each issue.

The figure is based on responses to the closed-ended questions in our local election jurisdiction survey about challenges related to voter education and outreach. The figure does not include the percentage of local election jurisdictions that selected "not applicable" or "don't know" or did not respond to these survey questions.

Brackets contain 95 percent confidence intervals.

We compared local jurisdictions' responses to survey questions about the 2020 general election to those about the 2020 primary elections. (See figure 20.) For each issue, for the largest percentage of local jurisdictions, there was no difference in their responses to questions about how challenging, if at all, voter education and outreach issues were during the general election and during the primary elections. However:

- Thirteen percent of local jurisdictions reported that understanding guidance from their state government about voter education and outreach was less challenging during the 2020 general election than during the 2020 primary elections. Two percent reported that this issue was more challenging during the general election than during the primary elections.³⁶
- Fifteen percent of local jurisdictions reported that false or misleading information about the security of absentee/mail voting was more challenging during the 2020 general election than during the 2020 primary elections. Six percent reported that this issue was less challenging during the general election than during the primary elections.³⁷
- Twenty percent of local jurisdictions reported that false or misleading information about in-person voting times, locations or requirements was more challenging during the 2020 general election than during the 2020 primary elections. Four percent reported that this issue was less challenging during the general election than during the primary elections.³⁸
- Eighteen percent of local jurisdictions reported that false or misleading information about absentee/mail voting deadlines or requirements was more challenging during the 2020 general election than during the

³⁶The 95 percent confidence intervals for these estimates are (8, 19) and (0, 4) respectively. The difference between these estimates is statistically significant.

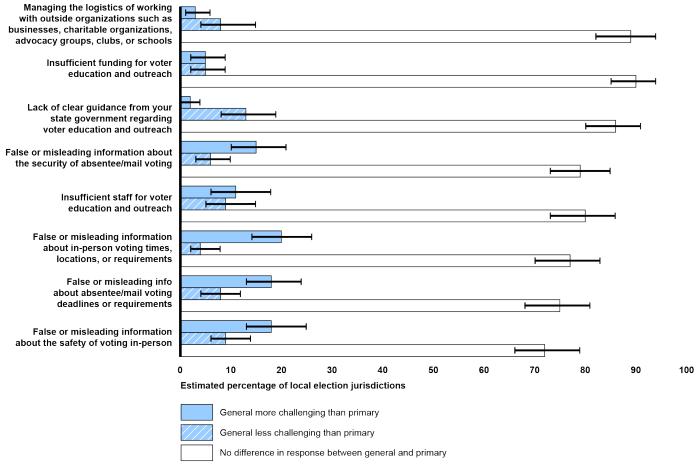
³⁷The 95 percent confidence intervals for these estimates are (10, 21) and (3, 10) respectively. The difference between these estimates is statistically significant.

³⁸The 95 percent confidence intervals for these estimates are (14, 26) and (2, 8) respectively. The difference between these estimates is statistically significant.

2020 primary elections. Eight percent reported that this issue was less challenging during the general election than during the primary elections.³⁹

Figure 20: Comparison of Local Election Jurisdiction Responses to Voter Education and Outreach Issues in the 2020 General Election and 2020 Primary Elections





Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Notes: Brackets contain 95 percent confidence intervals.

With the exception of "lack of clear guidance from your state government regarding voter education and outreach," "false or misleading information about the security of absentee/mail voting," "false or misleading information about in-person voting times, locations, or requirements," and "false or misleading information about absentee/mail voting deadlines or requirements," the differences between (1) the estimated percentage of local election jurisdictions reporting the 2020 general

³⁹The 95 percent confidence intervals for these estimates are (13, 24) and (4, 12) respectively. The difference between these estimates is statistically significant.

election was more challenging than the 2020 primary elections and (2) the estimated percentage of local election jurisdictions reporting the general election was less challenging than the primary elections were not statistically significant.

The figure is based on responses to the closed-ended questions in our local election jurisdiction survey about challenges related to voter education and outreach. The figure does not include responses from local election jurisdictions that selected "not applicable" or "don't know" or did not respond to these survey questions.

States and Local
Jurisdictions Spent
CARES Grants on
Various Activities;
States Found Grant
Requirements
Challenging and
Local Jurisdictions
Did Not

States Spent CARES
Grants on Supplies, Voter
Education, and
Absentee/Mail Voting and
Found Grant Funding and
Reporting Requirements
Challenging

Over half of states that responded to our survey reported spending CARES Act grant funds either directly or through subgrants or reimbursements to local jurisdictions on supplies and equipment, voter education, facilitating absentee/mail voting, and recruiting and training election workers for the 2020 elections.⁴⁰ Fewer than half of states reported using CARES Act grant funds to secure additional locations for in-person voting or additional office or storage space. See table 11.

⁴⁰A subgrant is a grant made under an agency grant by the original award recipient to a subrecipient. In this case, the EAC provided CARES Act grant funds to states, who provided subgrant funds to local jurisdictions to use during the 2020 elections. A reimbursement is a payment received after an agreed upon expense has already been incurred. In this case, the state used CARES Act grant funds to pay local jurisdictions for certain eligible expenses incurred during the 2020 elections.

Activities	Number of state election offices
Providing supplies for in-person voting, such as personal protective equipment, hand sanitizer, cleaning supplies, physical barriers, or signage	41
Educating voters about their options for voting	37
Facilitating absentee/mail voting, such as mailing applications to voters or prepaying postage	36
Purchasing voting equipment, such as high-speed scanners, automatic mail sorters, or automatic letter openers	31
Providing drop boxes to return absentee/mail ballots	29
Increasing the number of election workers, such as through recruiting efforts or providing additional pay	28
Training election workers	23
Securing additional locations for in-person voting	21
Securing additional office or storage space	17

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: The table is based on the 43 states that responded to the closed-ended questions in our state election office survey about CARES Act grant funds.

Most states reported that the majority of issues related to CARES Act grant funding and reporting requirements were challenging,⁴¹ for example, submitting the EAC CARES Act progress narrative report within the required 20-day timeframe.⁴² By contrast, most states reported that requesting CARES Act grant funds from the EAC was not challenging. See figure 21.

⁴¹When presenting survey results regarding how challenging respondents found issues related to CARES Act grant funding and reporting requirements, we aggregated "extremely challenging" and "very challenging" responses, and "moderately challenging" and "somewhat challenging" responses. Additionally, when we report that states or local jurisdictions found selected issues "challenging," we are referring to the aggregated total of "extremely or very challenging" and "moderately or somewhat challenging" responses.

⁴²These reports are also known as 20-day reports or postelection reports.

Figure 21: State Election Offices' Perspectives on CARES Act Funding and Reporting Requirements Issues Submitting the Election Assistance Commission (EAC) **CARES Progress Narrative Report** within the required 20-day timeframe^a Submitting the annual progress report to the EAC by the February 28, 2021 deadline Using the Federal Financial Report electronic reporting system **Categorizing CARES Act grant** expenditures for reporting purposes Meeting the 20 percent matching requirement **Determining what** expenditures were permissible Obtaining expenditure information from local election offices Determining how funds would be allocated across local election offices **Requesting CARES Act** grant funds from the EAC Obtaining authorization from the state legislature or Governor to use the CARES Act grant funds 5 10 15 20 25 30 Number of state election offices Not applicable Extremely or very challenging Moderately or somewhat challenging Don't know Not at all challenging

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Notes: Issues are displayed in descending order of most challenging to least challenging, based on the sum of "extremely or very challenging" and "moderately or somewhat challenging" responses for each issue.

The figure is based on the 43 states that responded to the closed-ended questions in our state election office survey about challenges related to CARES Act grant funding and reporting requirements.

Local Jurisdictions Spent CARES Grants on Supplies and to Clean Voting Locations, and Did Not Find Grant Funding and Reporting Requirements Challenging

More than half of local jurisdictions reported spending CARES Act grant funds for the 2020 elections on some of the in-person voting activities that we asked about, including purchasing protective supplies and physical barriers, and cleaning and disinfecting voting locations following elections. Less than half of local jurisdictions spent grant funds on the activities we asked about related to absentee/mail voting; recruiting, hiring, and training election workers; and voter education and outreach. See table 12.

Table 12: Activities on Which Local Election Jurisdictions Reported	ed Spending CARES Act Grant Funds
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	Activities	Estimated percentage of local election jurisdictions
Absentee/mail voting	Paying for postage to send absentee/mail ballots	42
		(36, 48)
	Paying for postage to send absente/mail ballot applications	31
		(25, 37)
	Printing envelopes for absentee/mail voting	30
		(24, 36)
	Paying for prepaid postage for voters to return completed	29
	absentee/mail ballots	(23, 34)
	Purchasing drop boxes	27
		(21, 32)
	Purchasing equipment for absentee/mail voting, such as	27
	high-speed scanners, automatic mail sorters, or automatic letter openers	(21, 32)
	Reconfiguring election office space to accommodate more	23
	staff and social distancing	(18, 28)
	Printing absentee/mail ballots	22
		(17, 27)
	Printing absentee/mail ballot applications	20
		(15, 26)
	Installing drop boxes	14
		(10, 19)
	Securing additional locations for absentee/mail ballot	6
	processing or storage	(4, 10)

^aThese reports are also known as 20-day reports or postelection reports.

	Activities	Estimated percentage of local election jurisdictions
	Setting up an electronic system for tracking ballots	5
		(2, 8)
	Hiring additional personnel to monitor drop boxes	3
		(2, 6)
In-person	Purchasing personal protective equipment (PPE)	62
voting activities		(56, 68)
	Purchasing cleaning supplies	62
		(56, 68)
	Purchasing physical barriers, such as plexiglass or sneeze	59
	guards	(53, 65)
	Purchasing hand sanitizer	58
		(52, 64)
	Cleaning and disinfecting voting locations following the	51
	primary or general elections	(45, 57)
	Purchasing signage to remind voters to socially distance,	48
	such as floor markings	(42, 54)
	Securing additional locations for in-person voting	4
		(2, 6)
Office staff or election	Hiring additional election workers	34
worker activities		(28, 40)
	Training election workers	27
		(21, 32)
	Providing additional pay to election workers	25
		(19, 30)
	Hiring additional election office staff	24
		(19, 29)
	Recruiting election workers	15
		(11, 20)
Voter education	Educating voters about their options for voting	19
and outreach		(15, 24)
	Educating voters about policies and procedures for in-	19
	person voting	(14, 23)
	Educating voters about policies and procedures for	18
	absentee/mail voting	(13, 22)

Notes: Parentheses contain both the upper and lower bounds of the 95 percent confidence interval. The table is based on responses to the closed-ended questions in our local election jurisdiction survey about CARES Act grant funds.

Local jurisdictions generally reported that all issues related to funding and reporting requirements for CARES Act grant funds were not challenging or were not applicable to them.⁴³ However, some local jurisdictions identified determining what expenditures were permissible, categorizing CARES Act grant expenditures for reporting purposes, and meeting CARES Act grant reporting due dates as challenging. See figure 22.

⁴³Local jurisdictions may have reported that the issues we asked about were not applicable to them for various reasons. For example, some local jurisdictions did not receive CARES Act grant funding from their states, including when states purchased items using CARES Act grant funds and distributed those items to the local jurisdictions.

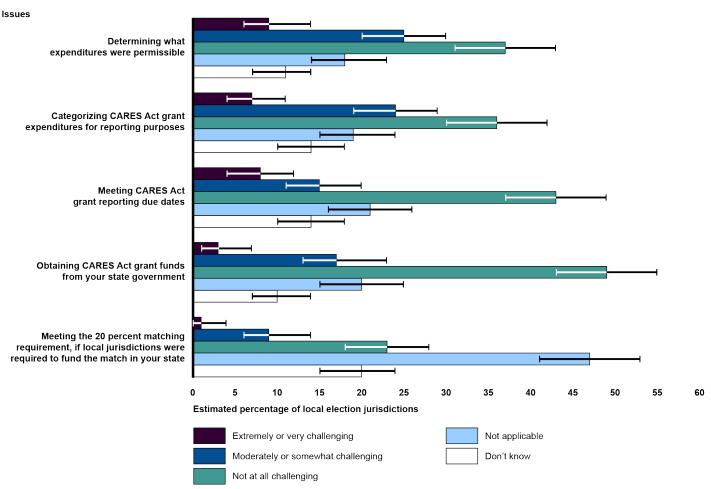


Figure 22: Local Election Jurisdictions' Perspectives on CARES Act Funding and Reporting Requirements

Notes: Issues are displayed in descending order of most challenging to least challenging, based on the sum of "extremely or very challenging" and "moderately or somewhat challenging" responses for each issue.

The figure is based on responses to the closed-ended questions in our local election jurisdiction survey about challenges related to CARES Act grant funds. The figure does not include the percentage of local election jurisdictions that did not respond to these survey questions.

Brackets contain 95 percent confidence intervals.

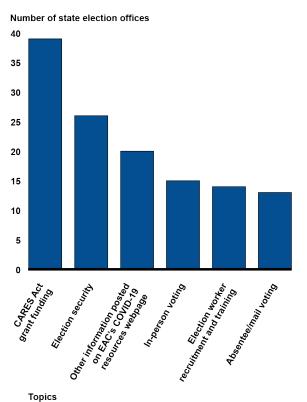
Nearly All States
Used EAC
Information
Resources and
Guidance; Local
Jurisdictions
Commonly Reported
They Were Not Aware
of or Did Not Need
the Information

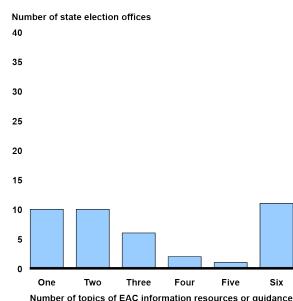
Nearly All States Used EAC Information Resources or Guidance on Various Topics, and Most Found Them Helpful

Nearly all states that responded to our survey reported that they used EAC information resources or guidance about CARES Act grant funding. Fewer states used EAC information resources or guidance on the other topics we asked about regarding election administration during the pandemic.⁴⁴ Most states used EAC information resources or guidance about two or more of these topics. See figure 23.

⁴⁴In our surveys, we defined EAC information resources and guidance as "documents, instructional materials, webinars, video recordings, links to other agency websites, and any other information made available by the Election Assistance Commission about conducting an election during a pandemic." We also apply this definition throughout this report.

Figure 23: State Election Offices' Use of U.S. Election Assistance Commission (EAC) Information Resources and Guidance by Topic and Number of Resources Used





Number of topics of EAC information resources or guidance that state election offices used

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

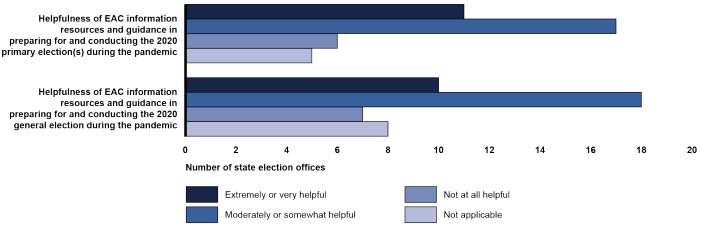
Note: The figure is based on the 43 states that responded to the closed-ended questions in our state election office survey about EAC information resources and guidance. Three state election offices responded that they either did not use or did not know if they had used any of the EAC's information resources or guidance listed in our survey.

We asked states to rate the overall helpfulness of the EAC's information resources and guidance in preparing for and conducting the 2020 elections during the pandemic. Most states found the EAC's information resources and guidance helpful during both the 2020 primary elections

and the 2020 general election.⁴⁵ (See figure 24.) We compared states' responses to the questions about the 2020 primary elections to those about the general election. For the majority of states, there was no difference in their responses to questions about how helpful, if at all, were EAC information resources and guidance during the primary elections and during the general election.

Figure 24: Helpfulness of U.S. Election Assistance Commission (EAC) Information Resources and Guidance During the 2020 Primary and General Elections, According to State Election Offices





Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: The figure is based on the 43 states that responded to the closed-ended questions in our state election office survey about EAC information resources and guidance. Thirty-nine states responded to our question about the helpfulness of EAC information resources during the 2020 primary elections and 43 states responded to our question about the helpfulness of these resources during the 2020 general election.

We asked states to identify the main reason(s) for not using EAC information resources or guidance, if they reported not using EAC resources or guidance in one or more of the topic areas listed in our

⁴⁵We asked states how helpful EAC information resources and guidance were for their offices in the 2020 primary elections and general election—extremely helpful, very helpful, moderately helpful, somewhat helpful, or not at all helpful. When presenting survey results regarding how helpful respondents found EAC resources and guidance, we aggregated "extremely helpful" and "very helpful" responses, and "moderately helpful" and "somewhat helpful" responses. When we report that states or local jurisdictions found EAC information resources and guidance "helpful," we are referring to the aggregated total of "extremely or very helpful" and "moderately or somewhat helpful" responses.

survey. The most frequently reported reason was that they did not need the resources or guidance. See table 13.

Table 13: Reasons State Election Offices Reported for Not Using U.S. Election Assistance Commission (EAC) Information **Resources or Guidance**

Reason	Number of state election offices that selected this reason for not using EAC information resources or guidance
I did not need these resources or guidance	18
The resources or guidance were not available in time to be helpful for my purposes	7
I was not aware the resources or guidance existed	7
The resources or guidance were not relevant to the topics I was interested in	5
The resources or guidance were not available in a useful format	4
The resources or guidance were not clearly written or presented	3

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: The table is based on the 43 states that responded to the closed-ended questions in our state election office survey about EAC information resources and guidance. States had the option to select more than one response when reporting why they did not use EAC information resources or guidance.

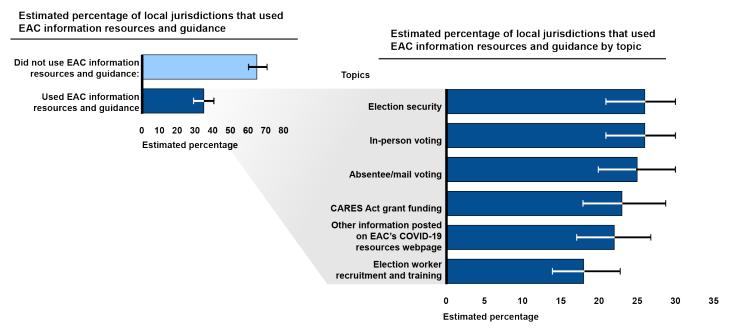
Ten of 43 states that responded to our survey reported that they requested technical assistance or additional guidance from the EAC in response to the pandemic during the 2020 primary elections or general election. Seven of those 10 states reported that they were satisfied with the timeliness of EAC's technical assistance or additional guidance and seven were satisfied with its quality.46

⁴⁶We asked states who reported that they requested technical assistance or additional guidance from the EAC to indicate whether they were very satisfied, somewhat satisfied, neither satisfied nor dissatisfied, somewhat dissatisfied, or very dissatisfied with the timeliness and with the quality of the assistance or guidance the EAC provided in response to their request. For the purposes of this report, we aggregated "very satisfied" and "somewhat satisfied" responses, as well as "somewhat dissatisfied" and "very dissatisfied" responses. One state reported that it was dissatisfied with the timeliness of technical assistance or additional guidance and one state reported that it was dissatisfied with its quality. One state reported that it was neither satisfied nor dissatisfied with its timeliness. One state did not respond to our question about timeliness, and two did not respond to our question about quality. Regarding local jurisdictions, 4 out of the 407 jurisdictions that responded to our survey reported that they requested technical assistance or additional guidance from the EAC. Because of this small number, we did not evaluate the extent to which local jurisdictions found technical assistance or additional guidance helpful.

Most Local Jurisdictions
Did Not Use EAC
Information Resources or
Guidance; Local
Jurisdictions Reported that
They Were Not Aware of
or Did Not Need the
Information

We estimate that most local jurisdictions—65 percent—did not use EAC information resources or guidance on any of the topics listed in our survey to conduct elections during the pandemic.⁴⁷ However, the remaining 35 percent used EAC information resources and guidance on at least one of the topics.⁴⁸ Among the local jurisdictions that used EAC information resources or guidance about at least one topic, nearly all—87 percent—used EAC information resources or guidance about more than one topic.⁴⁹ See figure 25.

Figure 25: Local Election Jurisdictions' Use of U.S. Election Assistance Commission (EAC) Information Resources and Guidance and Use by Topic



Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Notes: The figure is based on responses to the closed-ended questions in our local election jurisdiction survey about EAC information resources and guidance. The figure does not include the percentage of local election jurisdictions that did not respond to these survey questions.

Brackets contain 95 percent confidence intervals.

⁴⁷The 95 percent confidence interval for this estimate is (60, 71).

⁴⁸The 95 percent confidence interval for this estimate is (29, 41).

⁴⁹The 95 percent confidence interval for this estimate is (78, 94).

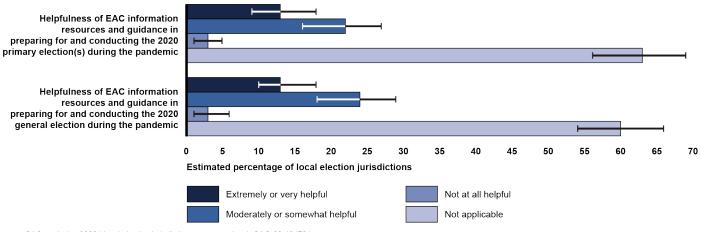
When we asked respondents how helpful they found the EAC information resources and guidance in preparing for and conducting elections during the pandemic, the most common response we received was "not applicable." ⁵⁰ The next most common response was that EAC information resources and guidance were moderately or somewhat helpful during the primary elections and the general election. (See figure 26.) We compared local jurisdictions' responses to the questions about the 2020 primary elections to those about the 2020 general election. ⁵¹ For the majority of local jurisdictions, there was no difference in their responses to questions about how helpful, if at all, EAC information resources and guidance were during the primary elections, and during the general election.

⁵⁰Our survey did not ask local jurisdictions why they selected certain responses to survey questions. However, local jurisdictions may have selected "not applicable" to survey questions about the helpfulness of EAC information resources and guidance because most did not use EAC information resources or guidance.

⁵¹We asked local jurisdictions how helpful EAC resources and guidance were for their offices in the 2020 primary elections and general election—extremely helpful, very helpful, moderately helpful, somewhat helpful, not at all helpful. When presenting survey results regarding how helpful respondents found EAC resources and guidance, we aggregated "extremely helpful" and "very helpful" responses, and "moderately helpful" and "somewhat helpful" responses.

Figure 26: Helpfulness of U.S. Election Assistance Commission (EAC) Information Resources and Guidance During the 2020 Primary and General Elections, According to Local Election Jurisdictions

Topics



Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Notes: Brackets contain 95 percent confidence intervals.

The figure is based on responses to the closed-ended questions in our local election jurisdiction survey about EAC information resources and guidance.

The most common reasons cited by local jurisdictions for not using EAC information resources or guidance were that local jurisdictions were not aware that the information resources or guidance existed or that they did not need the information resources or guidance. A small percentage of local jurisdictions selected any of the other four reasons listed in our survey, as shown in table 14.

Table 14: Reasons Local Election Jurisdictions Reported for Not Using U.S. Election Assistance Commission (EAC) Information Resources or Guidance

Reason	Estimated percentage of local election jurisdictions that selected this reason for not using EAC information resources or guidance
I was not aware the resources or guidance existed	37
	(31, 42)
I did not need these resources or guidance	36
	(30, 42)
The resources or guidance were not relevant to the topics I was interested in	3
	(1, 7)
The resources or guidance were not available in time to be helpful for my purposes	2
	(1, 5)
The resources or guidance were not clearly written or presented	2
	(1, 5)
The resources or guidance were not available in a useful format	1
	(0, 3)

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Notes: Parentheses contain both the upper and lower bounds of the 95 percent confidence interval.

The table is based on responses to the closed-ended questions in our local election jurisdiction survey about EAC information resources and guidance.

Local jurisdictions had the option to select more than one response when reporting why they did not use EAC information resources or guidance.

Agency Comments and Our Evaluation

We provided a draft of this report to the EAC and the Department of Homeland Security for review and comment. Neither the EAC nor the Department of Homeland Security had formal written comments on the draft report, as noted in emails received from the agencies on June 10 and June 21, 2022, respectively. The Department of Homeland Security provided technical comments, which we incorporated as appropriate.

We are sending copies of this report to the appropriate congressional committees, the Executive Director of the EAC, and the Secretary of Homeland Security. In addition, the report is available at no charge on the GAO website at http://www.gao.gov.

If you or your staff have any questions about this report, please contact me at (202) 512-8777 or gamblerr@gao.gov. Contact points for our Offices of Congressional Relations and Public Affairs may be found on the last page of this report. GAO staff members who made key contributions to this report are listed in appendix V.

Relecca Sambler

Rebecca Gambler

Director, Homeland Security and Justice

List of Committees

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The Honorable Richard Neal Chair The Honorable Kevin Brady Republican Leader Committee on Ways and Means House of Representatives

Appendix I: Objectives, Scope, and Methodology

This report addresses the following questions:

- 1. What steps did states and local jurisdictions take to prepare for and conduct elections in 2020 during the pandemic, and what challenges did officials report?
- 2. How did states and local jurisdictions report using CARES Act grant funding, and what challenges did officials report?
- 3. What U.S. Election Assistance Commission (EAC) resources and guidance did states and local jurisdictions use during the pandemic, and what were their perspectives on such assistance?

Our scope focused on obtaining information and perspectives from state and local election officials. Thus, to address these three objectives, we conducted two web-based surveys of election officials—one of state election officials and one of local election officials—and this report presents the results of those surveys. Based on this scope, we did not conduct follow-up work on the survey results with federal agencies, such as the EAC and the Department of Homeland Security.

State Election Office Survey

We surveyed state election officials in the 50 states and the District of Columbia.¹ We received 43 completed questionnaires for an 84 percent response rate. We conducted pretests of our draft state questionnaire by telephone with election officials from five states with varying election system characteristics, such as policies on absentee/mail voting, the size of the electorate, geographic region, and whether local election responsibilities are delegated generally to county governments or to subcounty governmental units.² We also considered whether the state had held a presidential primary on or after March 13, 2020, when the President declared a national emergency in response to the COVID-19 pandemic. We used these pretests to help further refine our questions, develop new questions, clarify any ambiguous portions of the survey, and identify any potentially biased questions, and made revisions, as necessary.

Prior to fielding our state election office survey, we contacted the state election directors to confirm the contact information for an appropriate

¹When reporting survey results, we use the term "states" in reference to the 50 states and the District of Columbia.

²In six states with county-run elections, selected cities within the states are responsible for administering elections. Nine states delegate election responsibilities to sub-county governmental units, rather than to counties.

official to complete the survey, whether themselves or a designee. We launched our web-based state election office survey in May 2021 and collected responses online through August 2021. To encourage response, we sent pre-notification emails prior to launching the survey, and during fielding, followed up multiple times with non-respondents by both phone and e-mail. The total number of responses to individual questions may be fewer than 43, depending upon how many respondents were eligible or chose to respond to a particular question. For example, we did not ask survey respondents who indicated that their state did not hold a primary election during the pandemic subsequent questions related to conducting primary elections during the pandemic.

All sample surveys are subject to sampling error—that is, the extent to which the survey results differ from what would have been obtained if the whole population had been observed. Sampling errors are not relevant to our state election office survey because we surveyed the entire population of interest. However, the practical difficulties of conducting any survey may introduce errors. For example, differences in how a particular question is interpreted, the sources of information available to respondents, or the types of people who do not respond can introduce unwanted variability into the survey results, which is generally referred to as non-sampling error.

We took numerous steps in questionnaire development, data collection, and the editing and analysis of the state election office survey data to minimize non-sampling errors. For example, to inform the development of our questionnaire, we reviewed some of our previous surveys and work related to this issue area.³ We interviewed election subject matter experts and representatives from organizations in the field of election administration to obtain their views and perspectives on potential issues and subject areas to consider covering in our questionnaire. Additionally, we examined the survey results and performed computer analyses to identify inconsistencies and other indications of error. A second, independent analyst checked the accuracy of all computer analyses.

³See, for example, GAO, *Elections: Observations on Wait Times for Voters on Election Day 2012*, GAO-14-850 (Washington, D.C.: Sept. 30, 2014), *Voters with Disabilities: Observations on Polling Place Accessibility and Related Federal Guidance [Reissued on December 4, 2017]*, GAO-18-4 (Washington, D.C.: Oct. 3, 2017), and *Elections: Observations on Voting Equipment Use and Replacement*, GAO-18-294 (Washington, D.C.: April 11, 2018).

Local Election Jurisdiction Survey

In addition to the survey of state election officials, we conducted a webbased survey of local election officials.⁴ We selected a nationally representative statistical sample of 829 local election jurisdictions. For the local election jurisdiction survey, we received 407 completed questionnaires for an overall weighted response rate of 47 percent.⁵

To identify the universe of local election jurisdictions for the 2020 federal elections, we utilized the population frame previously developed for the survey of local election officials conducted for GAO-18-294.6 For the nine states that delegate election responsibilities to sub-county governmental units, known by the U.S. Census Bureau as Minor Civil Divisions (MCDs), we compared the list of MCDs from the GAO-18-294 survey population frame with 2019 Census MCD data for these states to identify all mergers and splits which had occurred over the intervening years and updated the local jurisdiction population file accordingly. In states with cities that are independent election jurisdictions, we checked the state government websites to determine whether any changes had occurred between the

⁴For the purposes of this report, we refer to this survey as the "local election jurisdiction survey."

⁵We used a weighted response rate because our survey sample incorporates strata with different probabilities of selection. A weighted response rate more accurately reflects the statistical effect of differing probabilities of selection. To calculate our weighted response rate, we used a standard definition, known as RR2, from the American Association for Public Opinion Research. See American Association for Public Opinion Research, *Standard Definitions: Final Dispositions of Case Codes and Outcome Rates for Surveys*, 9th edition (2016). All survey results presented in the body of this report are generalizable to the population of local election jurisdictions, except where otherwise noted.

⁶For the survey that was administered for GAO-18-294, we constructed our nationwide sample frame of all local election jurisdictions using 2010 decennial census data and information on local jurisdictions from state election office websites.

⁷Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont in New England and Michigan, Minnesota, and Wisconsin in the Midwest administer their elections at the MCD level. Of the remainder, 41 states do so at the county or city-equivalent level. The District of Columbia is also treated as a county/city equivalent for sampling purposes. Alaska is organized into four election districts; for sampling purposes, the state is also included with the 41 county/city-equivalent states and the District of Columbia.

2016 and 2020 elections.⁸ There are about 10,300 local election jurisdictions nationwide that are responsible for conducting elections.

To account for the variation in the characteristics of local election jurisdictions nationwide, we stratified the population frame by type of jurisdiction, population size, and whether it was located in an urban or rural area. Due to the much greater number of Midwestern MCDs, we separated Midwestern MCDs from New England MCDs in order to guarantee geographic coverage in the sample. The total number of strata is 33 because some combinations did not apply to any local election jurisdictions, such as a rural county with a population of over 1 million. See table 15.

Stratum Number	Stratum	Stratum Population	Sample Size
1	County/City 1,000,000 < Population - URBAN	33	11
2	County/City 500,000 < Pop <= 1,000,000 - URBAN	74	24
3	County/City 100,000 < Pop <= 500,000 - URBAN	394	129
4	County/City 50,000 < Pop <= 100,000 - URBAN	341	57
5	County/City 50,000 < Pop <= 100,000 - RURAL	5	3
6	County/City 25,000 < Pop <= 50,000 - URBAN	464	79
7	County/City 25,000 < Pop <= 50,000 - RURAL	86	16
8	County/City 10,000 < Pop <= 25,000 - URBAN	441	19
9	County/City 10,000 < Pop <= 25,000 - RURAL	331	23
10	County/City 1,000 < Pop <= 10,000 - URBAN	114	6
11	County/City 1,000 < Pop <= 10,000 - RURAL	496	34

Illinois, Maryland, Missouri, Nevada, New York, and Virginia, selected cities are responsible for administering elections. Examples of cities that are separate election jurisdictions from the counties surrounding them include Baltimore in Maryland, and Kansas City and St. Louis in Missouri; 37 of the 133 jurisdictions in Virginia are cities. New York City is a single election jurisdiction that combines the five counties, or boroughs, that constitute the city.

⁹To identify jurisdictions located in a rural area, we used the U.S. Department of Agriculture's Economic Research Service's Rural-Urban Continuum Code system, which classifies counties into a nine-category continuum based on their characteristics and location relative to metropolitan areas. The urban stratum was defined as all local election jurisdictions located in a county with a Rural-Urban Continuum code of 1, 2, 3, 4, 5, or 6. The rural stratum was defined as all local election jurisdictions that were counties with a code of 7, 8, or 9. Minor Civil Divisions were assigned based upon the coding of the county in which they were located.

Appendix I: Objectives, Scope, and Methodology

Stratum Number	Stratum	Stratum Population	Sample Size
12	County/City 0 < Pop <= 1,000 - URBAN	1	1
13	County/City 0 < Pop <= 1,000 - RURAL	30	3
14	New England MCD (Minor Civil Division) 500,000 < Pop <= 1,000,000 - URBAN	1	1
15	New England MCD 100,000 < Pop <= 500,000 - URBAN	11	4
16	New England MCD 50,000 < Pop <= 100,000 - URBAN	39	7
17	New England MCD 25,000 < Pop <= 50,000 - URBAN	92	16
18	New England MCD 10,000 < Pop <= 25,000 - URBAN	214	10
19	New England MCD 10,000 < Pop <= 25,000 - RURAL	4	3
20	New England MCD 1,000 < Pop <= 10,000 - URBAN	642	27
21	New England MCD 1,000 < Pop <= 10,000 - RURAL	158	11
22	New England MCD 0 < Pop <= 1,000 - URBAN	196	9
23	New England MCD 0 < Pop <= 1,000 - RURAL	175	13
24	Midwest MCD 500,000 < Pop <= 1,000,000 - URBAN	2	2
25	Midwest MCD 100,000 < Pop <= 500,000 - URBAN	11	4
26	Midwest MCD 50,000 < Pop <= 100,000 - URBAN	46	9
27	Midwest MCD 25,000 < Pop <= 50,000 - URBAN	84	14
28	Midwest MCD 10,000 < Pop <= 25,000 - URBAN	230	10
29	Midwest MCD 10,000 < Pop <= 25,000 - RURAL	8	3
30	Midwest MCD 1,000 < Pop <= 10,000 - URBAN	1871	79
31	Midwest MCD 1,000 < Pop <= 10,000 - RURAL	396	27
32	Midwest MCD 0 < Pop <= 1,000 - URBAN	1941	81
33	Midwest MCD 0 < Pop <= 1,000 - RURAL	1405	94
	Total	10336	829

Source: GAO. | GAO-22-104731

Our initial sample size was designed to achieve a margin of error of no greater than plus or minus 8 percentage points for an attribute measure at the 95 percent level of confidence when making population estimates for urban local election jurisdictions, rural local election jurisdictions, and local election jurisdiction size. To adjust for expected non-response, we increased the initial stratum sample sizes upward using an assumed response rate of 70 percent to arrive at our final sample size. This

 $^{^{10}}$ We aggregated the strata by population size into 3 categories. Jurisdictions with 25,000 or fewer inhabitants were categorized as small, jurisdictions with 25,001 – 100,000 inhabitants were categorized as medium, and jurisdictions with a population of 100,001 or more were categorized as large.

resulted in several small strata becoming certainty strata, where all members of the stratum population were selected into the sample.

After selecting the jurisdictions to be included in our survey sample, we obtained contact information for the chief election official within the jurisdictions selected. 11 To do this, we first collected contact information for local election jurisdictions from state election office websites and other publicly available sources. We then called the jurisdiction offices directly to confirm the accuracy of the information and the appropriate official and e-mail address to which we should send the survey URL and the respondent's login information for the questionnaire. We learned that some MCDs in Minnesota contract with their respective counties to carry out election administration responsibilities. In these cases, we sent the questionnaire for the MCD to the appropriate county official for completion. 12 We launched the web-based local election official survey in May 2021, and collected responses online through August 2021. 13 We emailed login information for the survey to the relevant election official of each sampled jurisdiction. In June and July 2021, we conducted follow-up with non-respondents by phone and e-mail.14

All sample surveys are subject to sampling error—that is, the extent to which the survey results differ from what would have been obtained if the whole population had been observed. Because we followed a probability procedure based on random selections, our sample is one of a large number of samples that we might have drawn. Since each sample could have provided different estimates, we express our confidence in the precision of our particular sample's results as a 95 percent confidence

¹¹In some states, multiple local officials are responsible for administering elections. For example, in New York, county boards of elections have commissioners from both major political parties. We contacted both and confirmed which of them should receive the survey or obtained a shared email address they asked us to use.

¹²We did this for 38 Minnesota MCDs.

¹³We mailed a paper copy of the survey to seven jurisdictions that indicated they could not complete the survey in its web-based format.

¹⁴After launching the local election jurisdiction survey, we learned that questions 8 and 10 in the questionnaire incorrectly asked about how challenging issues were for the state election office rather than the local election office. We corrected the question wording and emailed respondents who may have previously seen the incorrect wording to encourage them to review their responses for accuracy. When analyzing responses to these questions, we excluded respondents who responded before the correction unless they confirmed the accuracy of their response, such as by reopening the survey or contacting the survey team. Based on this, we excluded 44 respondents for questions 8 and 10.

interval (for example, plus or minus 8 percentage points). This is the interval that would contain the actual population value for 95 percent of the samples we could have drawn. Confidence intervals are provided with each sample estimate in the report. All survey results presented in the body of this report are generalizable to the population of local election jurisdictions, except where otherwise noted. We tested for statistical response bias using logistic regression models on available administrative variables, and did not find any statistical response bias. We adjusted the base sampling weights for nonresponse within each stratum.

In addition to the reported sampling errors, the practical difficulties of conducting any survey may introduce non-sampling errors—as discussed above in relation to the state election office survey—and we took similar steps with the local election jurisdiction survey as we did with the state election office survey to minimize these errors. We also pretested the draft questionnaire by telephone with officials in five local election jurisdictions (four counties and one MCD) in five states representing a variety of policies on absentee/mail voting, population sizes, and timing of primary elections. These pretests included some jurisdictions that held elections before and some that held elections after the President declared a national emergency in response to the COVID-19 pandemic on March 13, 2020. We used these pretests and reviews to further refine our questions, develop new questions, clarify any ambiguous portions of the questionnaire, and identify any potentially biased questions, and made revisions, as necessary. We conducted computer analyses to identify any inconsistencies in response patterns or other indications of questionnaire response errors. All computer syntax was peer reviewed and verified by separate programmers to ensure that the syntax had been written and executed correctly.

Survey Topics and Reporting Decisions

For our first objective, we conducted our state election office survey and local election jurisdiction survey as described above to obtain information on steps state and local election officials took regarding absentee/mail voting and in-person voting; obtaining election supplies; recruiting and training staff and election workers; and conducting voter education and outreach efforts. We also asked respondents to comment in openended survey questions about aspects of the 2020 elections that they thought went particularly well. We reviewed all of the responses and

¹⁵The state election office survey questionnaire and aggregated responses for each closed-ended question are included in appendix II. The local election jurisdiction survey questionnaire and aggregated responses for each closed-ended question are included in appendix III.

included two responses that were unique for each area (i.e., absentee/mail voting, in-person voting, election supplies, election worker recruitment and training, and voter education and outreach) from the state election office survey and the local election jurisdiction survey. In reporting these examples, we attempted to use the exact wording of the open-ended response if possible, but also edited the selected responses for concision and clarity, and to ensure anonymity of the responding states or local jurisdictions.

We also asked respondents whether issues related to each of these various election activities were challenging to them. When presenting survey results regarding how challenging respondents found these issues, we aggregated "extremely challenging" and "very challenging" responses, and "moderately challenging" and "somewhat challenging" responses. Additionally, when we report that states or local jurisdictions found selected issues "challenging," we are referring to the aggregated total of "extremely or very challenging" and "moderately or somewhat challenging" responses.

For all of the survey questions on reported challenges associated with these election activities, we compared responses to survey questions about challenges in the 2020 general election to similar questions about the 2020 primary elections. When reporting these results for the state election office survey, we highlight those issues for which the difference is 5 or greater in the number of states that found the 2020 general election more challenging than the 2020 primary elections and the number that found the general election less challenging than the primary elections. When reporting these results for the local election jurisdiction survey, we highlight those issues for which the difference in the estimated percentage of respondents that found the 2020 general election more challenging than the 2020 primary elections and the estimated percentage that found the general election less challenging than the primary elections was statistically significant.

For our second objective, we conducted our state election office survey and local election jurisdiction survey as described above to obtain information about states' and local jurisdictions' use of grant funding provided by the CARES Act. 16 When presenting survey results regarding how challenging respondents found issues related to CARES Act grant funding and reporting requirements, we aggregated "extremely

¹⁶See Pub. L. No. 116-136, 134 Stat. 281, 530 (2020).

challenging" and "very challenging" responses, and "moderately challenging" and "somewhat challenging" responses. Additionally, when we report that states or local jurisdictions found selected issues "challenging," we are referring to the aggregated total of "extremely or very challenging" and "moderately or somewhat challenging" responses.

For our third objective, we conducted our state election office survey and local election jurisdiction survey as described above to obtain information about states' and local jurisdictions' use of EAC information resources and guidance. When presenting survey results regarding how helpful respondents found EAC information resources and guidance, we aggregated "extremely helpful" and "very helpful" responses, and "moderately helpful" and "somewhat helpful" responses. Additionally, when we report that states or local jurisdictions found EAC information resources and guidance "helpful," we are referring to the aggregated total of "extremely or very helpful" and "moderately or somewhat helpful" responses. We also compared responses to survey questions about how helpful respondents found EAC information resources and guidance in the 2020 primary elections to a similar question about the 2020 general election.

We also asked states and local jurisdictions who reported that they requested technical assistance or additional guidance from the EAC to indicate how satisfied they were with the timeliness and quality of the assistance or guidance the EAC provided in response to their request. For the purposes of this report, we aggregated "very satisfied" and "somewhat satisfied" responses, as well as "somewhat dissatisfied" and "very dissatisfied" responses. When we report that states were "satisfied" with technical assistance or additional guidance provided by the EAC, we are referring to the aggregated total of "very satisfied" and "somewhat satisfied" responses.

For all three objectives, for the purpose of reporting results of the state election office survey, "nearly all" refers to responses given by 35 to 43 states, "most" to responses given by 26 to 34 states, "many" to responses given by 18 to 25 states, "some" to responses given by 9 to 17 states, and "few" to responses given by 1 to 8 states.

For the purpose of reporting results of the local election jurisdiction survey, "nearly all" refers to responses given by an estimated 81 to 100 percent of local jurisdictions, "most" to responses given by 61 to 80 percent of local jurisdictions, "many" to responses given by 41 to 60 percent of local jurisdictions, "some" to responses given by 21 to 40

Appendix I: Objectives, Scope, and Methodology

percent of local jurisdictions, and "a small percentage" to responses given by 1 to 20 percent of local jurisdictions. Unless noted otherwise, the estimates we report from the local election jurisdiction survey responses are national-level estimates representing the experiences, views, and opinions of all local election jurisdictions nationwide.

We conducted this performance audit from May 2020 to July 2022 in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

We conducted a web-based survey of state-level election offices in the 50 states and the District of Columbia to obtain information on (1) the steps states took to prepare for and conduct elections in 2020 during the pandemic, and what reported challenges officials faced, (2) how states reported using CARES Act grant funding, 1 and what challenges they reported facing, and (3) what U.S. Election Assistance Commission (EAC) resources and guidance states used during the pandemic, and their perspectives on such assistance.2 Our survey was composed of closed-ended and open-ended questions. In this appendix, we include all survey questions and results of responses to the closed-ended questions. We do not provide information on responses provided to open-ended questions that required manually entered text responses. The tables below represent the frequencies of state responses to the questions. We received surveys from 43 states (an 84 percent response rate), while 8 states did not respond. However, the total number of responses to individual questions may be fewer than 43, depending upon how many states were eligible or chose to respond to a particular question. For a more detailed discussion of our methodology, see appendix I.

Background

Table 16: Responses to GAO 2021 State Election Office Survey Question 1

Did your state conduct a primary election—either a presidential preference primary or a primary for congressional offices—on or after March 13, 2020 when the pandemic-related national emergency was declared?

	Number of state election offices
Yes	39
No	3

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

¹See Pub. L. No. 116-136, 134 Stat. 281, 530 (2020).

²For the purpose of this appendix, we refer to the 50 states and the District of Columbia collectively as "states."

Absentee/Mail Voting

Table 17: Responses to GAO 2021 State Election Office Survey Question 2

Prior to the 2020 election(s), did your state—or any jurisdiction in your state—use an all vote-by-mail system (i.e., send a mail ballot to all registered or active registered voters)?

	Number of state election offices
Yes	8
No	31

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Table 18: Responses to GAO 2021 State Election Office Survey Question 2 Part II

Was the vote-by-mail system used statewide, or only in certain jurisdictions?

Number of state election offices

Statewide 4
Only in certain jurisdictions 4

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Table 19: Responses to GAO 2021 State Election Office Survey Question 3

Did your **state election office** take any of the following steps in relation to **absentee/mail voting** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your local election offices) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

	Yes	No	Not applicable	Don't know
a. Coordinated with the United States Postal Service	39	2	2	0
b. Set up a system to allow voters to track the status of their absentee/mail ballots	33	4	6	0
c. Consulted with vendors regarding absentee/mail voting	33	7	3	0
d. Consulted with experts—such as academics, nonprofits, election administration associations, or other states—regarding absente/mail voting	28	11	3	1
e. Developed and distributed guidance on absente/mail voting to local election offices	39	0	3	0
f. Distributed guidance on absentee/mail voting developed by other organizations or agencies to local elections offices	16	22	3	1

Did your **state election office** take any of the following steps in relation to **absentee/mail voting** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your local election offices) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

	Yes	No	Not applicable	Don't know
g. Worked with state legislators to make changes to absentee/mail voting requirements	20	18	4	0
h. Worked with the Governor's office or other executives to make changes to absentee/mail voting requirements	25	15	2	0

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Table 20: Responses to GAO 2021 State Election Office Survey Question 4

How challenging, if at all, were the following issues for your state election office during the 2020 primary election(s) in relation to absentee/mail voting?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Increased volume of absentee/mail voting	5	11	7	5	4	5	1
b. Lack of clear guidance from the Election Assistance Commission (EAC) on absentee/mail voting	1	2	4	2	17	11	1
c. Lack of clear guidance from other federal agencies on absentee/mail voting	1	1	3	3	19	10	1
d. Limited state or local election official experience with absentee/mail voting	0	2	4	14	10	7	0

How challenging, if at all, were the following issues for your state election office during the 2020 primary election(s) in relation to absentee/mail voting?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
e. Limited voter experience with absentee/mail voting	2	7	8	11	8	2	0
f. Insufficient funding for absentee/mail voting	1	2	6	5	16	7	1
g. Responding to litigation related to absentee/mail voting	1	8	5	7	4	12	0
h. Changes made to absentee/mail voting process as a result of legislation or court orders	3	6	2	9	2	16	0
i. State requirements regarding when localities may begin counting absentee/mail ballots	1	3	3	5	20	6	0
j. Concerns about the United States Postal Service's ability to deliver absentee/mail ballot applications and/or absentee/mail ballots in a timely manner	7	9	5	11	5	1	0
k. Other	0	0	2	0	0	16	2

Source: GAO analysis of 2021 state election office survey results. \mid GAO-22-104731

Note: We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Table 21: Responses to GAO 2021 State Election Office Survey Question 5

How challenging, if at all, were the following issues for your state election office during the 2020 general election in relation to absentee/mail voting?

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Increased volume of absentee/mail voting	8	10	8	6	6	3	1
b. Lack of clear guidance from the Election Assistance Commission (EAC) on absentee/mail voting	1	2	4	2	18	14	1
c. Lack of clear guidance from other federal agencies on absentee/mail voting	0	2	4	2	22	11	1
d. Limited state or local election official experience with absentee/mail voting	1	3	5	16	12	5	0
e. Limited voter experience with absentee/mail voting	3	6	10	10	10	3	0
f. Insufficient funding for absentee/mail voting	1	4	5	5	17	9	1
g. Responding to litigation related to absentee/mail voting	2	8	8	4	5	14	0
h. Changes made to absentee/mail voting process as a result of legislation or court orders	4	7	2	8	6	15	0

How challenging, if at all, were the following issues for your state election office during the 2020 general election in relation to absentee/mail voting?

Please consider only those challenges resulting from the COVID-19 pandemic.

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
i. State requirements regarding when localities may begin counting absentee/mail ballots	2	3	4	6	19	7	0
j. Concerns about the United States Postal Service's ability to deliver absentee/mail ballot applications and/or absentee/mail ballots in a timely manner	7	14	5	10	4	1	0
k. Other	0	0	0	0	1	18	4

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: We asked respondents who indicated "Other" to provide additional information in a free-form text box.

In-Person Voting

Table 22: Responses to GAO 2021 State Election Office Survey Question 6

Did your **state election office** take any of the following steps in relation to **in-person voting** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your local election offices) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

We will ask in a later section about steps your office took to assist local election offices in obtaining specific election supplies, such as personal protective equipment (PPE).

	Yes	No	Not applicable	Don't know
a. Coordinated with state, regional, or local emergency management agencies about in-person voting	34	6	3	0
b. Coordinated with state, regional, or local public health agencies about in-person voting	35	4	3	1
c. Used National Guard personnel to assist with in- person voting	8	31	3	1

Did your **state election office** take any of the following steps in relation to **in-person voting** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your local election offices) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

We will ask in a later section about steps your office took to assist local election offices in obtaining specific election supplies, such as personal protective equipment (PPE).

	Yes	No	Not applicable	Don't know
d. Consulted with vendors regarding in-person voting	26	14	2	0
e. Consulted with experts—such as academics, nonprofits, election administration associations, or other states—regarding in-person voting	26	13	3	0
f. Assisted local election offices in adding new polling locations or voting centers, such as at sports arenas or other large venues	26	12	4	1
g. Worked with state legislators to make changes to in- person voting requirements during the pandemic	16	20	5	1
h. Worked with the Governor's office or other executives to make changes to in-person voting requirements during the pandemic	24	13	3	0

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Table 23: Responses to GAO 2021 State Election Office Survey Question 7

How challenging, if at all, were the following issues for your state election office during the 2020 **primary** election(s) in relation to **inperson voting**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

We will ask in a later section about challenges related to election supplies, such as personal protective equipment (PPE).

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Lack of clear guidance from the Election Assistance Commission (EAC) regarding in-person voting	2	0	5	6	16	9	0
b. Lack of clear guidance from other federal agencies regarding in- person voting	2	2	3	6	14	11	0

How challenging, if at all, were the following issues for your state election office during the 2020 **primary** election(s) in relation to **inperson voting**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

We will ask in a later section about challenges related to election supplies, such as personal protective equipment (PPE).

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
c. Lack of clear guidance from state health authorities regarding in- person voting	2	3	4	7	16	6	0
d. Insufficient funding for in- person voting	1	1	7	5	15	9	0
e. Responding to litigation related to in- person voting	2	5	1	4	8	17	0
f. Changes made to in- person voting processes as a result of legislation or court orders	0	5	1	5	7	19	0
g. Other	0	0	1	0	1	20	1

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Table 24: Responses to GAO 2021 State Election Office Survey Question 8

How challenging, if at all, were the following issues for your state election office during the 2020 general election in relation to **inperson voting**?

Please consider only those challenges resulting from the COVID-19 pandemic.

We will ask in a later section about challenges related to election supplies, such as personal protective equipment (PPE).

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Lack of clear guidance from the Election Assistance Commission (EAC) regarding in-person voting	2	1	3	8	19	9	0
b. Lack of clear guidance from other federal agencies regarding in- person voting	2	4	2	7	17	11	0
c. Lack of clear guidance from state health authorities regarding in- person voting	2	4	3	8	20	6	0
d. Insufficient funding for in- person voting	1	3	7	5	18	9	0
e. Responding to litigation related to in- person voting	1	6	6	3	11	16	0
f. Changes made to in- person voting processes as a result of legislation or court orders	1	7	3	6	8	18	0
g. Other	0	0	1	0	1	21	2

Source: GAO analysis of 2021 state election office survey results. \mid GAO-22-104731

Note: We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Election Supplies

Table 25: Responses to GAO 2021 State Election Office Survey Question 9

Did your **state election office** assist local election offices with obtaining the following **election supplies** for **either** the 2020 primary election(s) or the 2020 general election?

Assisting local election offices could include, for example, obtaining and distributing supplies directly or coordinating with external groups (such as state or federal agencies, businesses, or non-profits) to provide them.

For each step, we are interested in the activities your office (not your local election offices) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

For each step, please exclude funding provided to local election offices to purchase these supplies. Subsequent questions will ask about funding.

	Yes	No	Not applicable	Don't know
a. Personal protective equipment, such as face shields or non-medical-grade masks	36	5	1	1
b. Medical-grade protective equipment, such as N95 masks	24	15	1	2
c. Hand sanitizer	39	3	1	0
d. Disinfectant wipes or other cleaning supplies	35	6	1	1
e. Disposable shared objects, such as pens or sample ballots	29	12	1	1
f. Signage or other reminders to encourage social distancing among voters and election workers	31	10	1	1
g. Physical barriers, such as plexiglass	26	15	1	1

Source: GAO analysis of 2021 state election office survey results. \mid GAO-22-104731

Table 26: Responses to GAO 2021 State Election Office Survey Question 10

How challenging, if at all, were the following issues for your state election office during the 2020 **primary** election(s) in relation to assisting with **election supplies**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Lack of clear guidance from the Election Assistance Commission (EAC) about supplies	1	3	4	9	15	5	0
b. Lack of clear guidance from other federal agencies about supplies	0	3	2	9	18	6	0
c. Insufficient funding	1	4	5	4	20	4	0
d. Determining the types of supplies needed	2	5	12	10	6	3	0
e. Determining the quantities of supplies needed	2	6	13	8	6	3	0
f. Identifying reliable vendors or suppliers	6	5	9	9	6	3	0
g. High demand or competition for supplies	8	11	7	4	5	3	0
h. Other	1	0	1	1	2	13	4

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Table 27: Responses to GAO 2021 State Election Office Survey Question 11

How challenging, if at all, were the following issues for your state election office during the 2020 **general** election in relation to assisting with **election supplies**?

Please consider only those challenges resulting from the COVID-19 pandemic.

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Lack of clear guidance from the Election Assistance Commission (EAC) about supplies	1	5	2	7	20	7	1
b. Lack of clear guidance from other federal agencies about supplies	1	3	1	10	20	7	1
c. Insufficient funding	2	4	4	6	23	4	0
d. Determining the types of supplies needed	2	2	15	12	9	2	1
e. Determining the quantities of supplies needed	2	3	18	11	7	2	0
f. Identifying reliable vendors or suppliers	2	7	12	9	10	2	1
g. High demand or competition for supplies	5	12	10	5	7	2	1
h. Other	0	1	1	1	3	15	3

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Recruitment and Training

Table 28: Responses to GAO 2021 State Election Office Survey Question 12

Did **your state election office** take any of the following steps in relation to **recruitment and training** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your local election offices) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

	Yes	No	Not applicable	Don't know
a. Conducted an outreach campaign to encourage people to serve as election workers	36	5	2	0
b. Coordinated with schools, businesses, charitable organizations, or advocacy groups to recruit election workers	32	7	3	1
c. Encouraged state government employees to serve as election workers	24	15	3	1
d. Trained local election officials	28	11	4	0
e. Provided guidance or materials to help local election officials train election workers	37	2	4	0

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Table 29: Responses to GAO 2021 State Election Office Survey Question 13

How challenging, if at all, were the following issues for your state election office during the 2020 **primary** election(s) in relation to **recruitment and training**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Lack of clear guidance from the Election Assistance Commission (EAC) about election worker recruitment and training	1	0	2	8	17	9	0

How challenging, if at all, were the following issues for your state election office during the 2020 **primary** election(s) in relation to **recruitment and training**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
b. Lack of clear guidance from other federal agencies about election worker recruitment and training	0	1	2	7	16	11	0
c. Insufficient funding for election worker recruitment	0	2	5	5	16	9	0
d. Insufficient funding for election worker training	0	1	6	3	14	12	1
e. Difficulty determining how many workers to recruit	2	4	5	8	6	11	1
f. Potential election workers were concerned about contracting COVID-19	11	8	5	4	0	8	0
g. Insufficient number of election workers	6	8	5	7	2	8	1
h. Determining training needs of local election officials	0	4	9	9	8	7	0
i. Determining training needs for election workers	0	5	5	8	8	11	0
j. Providing training remotely	1	9	7	8	3	9	0

How challenging, if at all, were the following issues for your state election office during the 2020 **primary** election(s) in relation to **recruitment and training**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
k. Securing a large enough space to offer in-person training with appropriate social distancing	3	3	1	7	3	20	0
I. Other	0	0	1	0	0	19	1

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Table 30: Responses to GAO 2021 State Election Office Survey Question 14

How challenging, if at all, were the following issues for your state election office during the 2020 **general** election in relation to **recruitment and training**?

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Lack of clear guidance from the Election Assistance Commission (EAC) about election worker recruitment and training	1	1	2	6	20	12	0
b. Lack of clear guidance from other federal agencies about election worker recruitment and training	0	2	2	5	19	14	0
c. Insufficient funding for election worker recruitment	0	2	4	4	18	13	0

How challenging, if at all, were the following issues for your state election office during the 2020 **general** election in relation to **recruitment and training**?

Please consider only those challenges resulting from the COVID-19 pandemic.

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
d. Insufficient funding for election worker training	0	1	6	3	18	14	0
e. Difficulty determining how many workers to recruit	2	4	7	11	5	13	0
f. Potential election workers were concerned about contracting COVID-19	13	6	11	5	0	7	0
g. Insufficient number of election workers	6	5	10	8	4	8	0
h. Determining training needs of local election officials	1	4	5	10	11	8	0
i. Determining training needs for election workers	1	5	3	12	11	9	0
j. Providing training remotely	2	5	9	8	7	11	0
k. Securing a large enough space to offer in-person training with appropriate social distancing	4	2	2	5	6	23	0
I. Other	0	0	1	0	0	20	2

Source: GAO analysis of 2021 state election office survey results. \mid GAO-22-104731

Note: We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Voter Education and Outreach

Table 31: Responses to GAO 2021 State Election Office Survey Question 15

Did your **state election office** take any of the following steps in relation to **voter education and outreach** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your local election offices) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

	Yes	No	Not applicable	Don't know
a. Provided information on your state's elections website to educate voters about voting policies and procedures	43	0	0	0
b. Used social media to educate voters about voting policies and procedures	40	2	1	0
c. Conducted an outreach campaign using print, electronic, or other media to educate voters about voting policies and procedures	40	2	1	0
d. Engaged with news media to educate voters about voting policies and procedures	40	2	0	0
e. Held or attended meetings or other events to educate voters about voting policies and procedures	31	10	1	1
f. Worked with schools, businesses, charitable organizations, or advocacy groups to educate voters about voting policies and procedures	35	5	2	0
g. Answered questions about voting policies and procedures from people who contacted your office	42	0	1	0

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Table 32: Responses to GAO 2021 State Election Office Survey Question 16

How challenging, if at all, were the following issues for your state election office during the 2020 **primary** election(s) in relation to **voter education and outreach**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Lack of clear guidance from the Election Assistance Commission (EAC) regarding voter education and outreach	1	2	1	4	21	8	0
b. Lack of clear guidance from other federal agencies regarding voter education and outreach	0	1	3	4	20	9	0
c. Managing the logistics of working with outside organizations such as businesses, charitable organizations, interest groups, clubs, or schools	0	6	6	12	8	5	0
d. Insufficient funding for voter education and outreach	2	6	4	6	17	2	0
e. Insufficient staff for voter education and outreach	4	6	7	8	9	3	0
f. False or misleading information about the safety of voting in-person	8	6	10	6	3	4	0

How challenging, if at all, were the following issues for your state election office during the 2020 **primary** election(s) in relation to **voter education and outreach**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
g. False or misleading information about in-person voting times, locations, or requirements	7	3	7	11	4	4	1
h. False or misleading information about the security of absentee/mail voting	14	6	9	5	1	2	0
i. False or misleading information about absentee/mail voting deadlines or requirements	11	4	9	8	3	2	0
j. Other	0	0	1	0	0	18	1

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Table 33: Responses to GAO 2021 State Election Office Survey Question 17

How challenging, if at all, were the following issues for your state election office during the 2020 **general** election in relation to **voter education and outreach?**

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Lack of clear guidance from the Election Assistance Commission (EAC) regarding voter education and outreach	1	3	1	3	24	10	0

How challenging, if at all, were the following issues for your state election office during the 2020 **general** election in relation to **voter education and outreach**?

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
b. Lack of clear guidance from other federal agencies regarding voter education and outreach	0	4	1	4	22	11	0
c. Managing the logistics of working with outside organizations such as businesses, charitable organizations, interest groups, clubs, or schools	0	6	6	14	9	6	1
d. Insufficient funding for voter education and outreach	4	5	3	9	18	3	0
e. Insufficient staff for voter education and outreach	3	8	8	8	11	4	0
f. False or misleading information about the safety of voting in-person	9	6	11	8	4	3	0
g. False or misleading information about in-person voting times, locations, or requirements	7	4	10	11	6	3	1
h. False or misleading information about the security of absentee/mail voting	18	8	8	3	3	2	0

How challenging, if at all, were the following issues for your state election office during the 2020 **general** election in relation to **voter education and outreach**?

Please consider only those challenges resulting from the COVID-19 pandemic.

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
i. False or misleading information about absentee/mail voting deadlines or requirements	13	5	13	5	4	2	0
j. Other	0	0	2	0	1	17	3

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: We asked respondents who indicated "Other" to provide additional information in a free-form text box.

2020 Election Successes

Question 18 (open-ended question): In your view, what aspects of the 2020 primary election(s) and/or the 2020 general election in your state went particularly well?

CARES Act Funding

Table 34: Responses to GAO 2021 State Election Office Survey Question 19

Did your **state election office** spend CARES Act funds—either directly or through sub-grants or reimbursements—on any of the following activities?

	Yes	No	Don't know
a. Increasing the number of election workers, such as through recruiting efforts or providing additional pay	28	13	1
b. Training election workers	23	17	2
c. Securing additional office or storage space	17	22	3
d. Securing additional locations for in-person voting	21	19	2
e. Providing supplies for in-person voting, such as personal protective equipment, hand sanitizer, cleaning supplies, physical barriers, or signage	41	1	0
f. Facilitating absentee/mail voting, such as mailing applications to voters or prepaying postage	36	5	1
g. Providing drop boxes to return absentee/mail ballots	29	12	1
h. Purchasing voting equipment, such as high-speed scanners, automatic mail sorters, or automatic letter openers	31	9	1
i. Educating voters about their options for voting	37	4	1

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Table 35: Responses to GAO 2021 State Election Office Survey Question 20

How challenging, if at all, were the following actions relating to **CARES Act grant funding and reporting** for your **state election office** during either the 2020 primary or general elections?

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Requesting CARES Act grant funds from the Election Assistance Commission (EAC)	0	0	5	4	30	1	2
b. Obtaining authorization from the state legislature or Governor to use the CARES Act grant funds	1	2	3	3	23	8	2
c. Meeting the 20 percent matching requirement	7	6	7	8	11	1	2
d. Determining what expenditures were permissible	2	3	9	13	12	1	2
e. Determining how funds would be allocated across local election offices	2	1	7	15	9	6	2
f. Obtaining expenditure information from local election offices	3	8	10	6	9	5	1
g. Categorizing CARES Act grant expenditures for reporting purposes	1	4	18	6	10	1	2

How challenging, if at all, were the following actions relating to **CARES Act grant funding and reporting** for your **state election office** during either the 2020 primary or general elections?

	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
h. Submitting the EAC CARES Progress Narrative Report within the required 20-day timeframe	5	7	11	10	7	1	1
i. Submitting the annual progress report to the EAC by the February 28, 2021 deadline	6	7	9	8	8	1	1
j. Using the Federal Financial Report (FFR) electronic reporting system	2	6	12	9	9	2	2
k. Other	0	0	1	0	1	18	3

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: We asked respondents who indicated "Other" to provide additional information in a free-form text hox

Table 36. Res	sponses to GAC) 2021 State	Flection Of	ffice Survey O	Duestion 21

In your state, who was responsible for meeting the 20 percent match required by the CARES Act?

Number of state election offices

State government only

28

Both state and local government

10

Local government only2Don't know1

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Other Grants, Donations, and Assistance

Table 37: Responses to GAO 2021 State Election Office Survey Question 22

Did your **state election office** receive grants, donations, or any other forms of financial or nonfinancial assistance from any of the following sources for the purpose of conducting either the 2020 primary election(s) or the 2020 general election during the pandemic?

	Yes	No	Don't know
a. Federal agencies, other than the Election Assistance Commission (EAC)	13	29	1
b. Other state agencies	16	25	1
c. Universities, colleges, schools, or any other educational institutions	2	40	1
d. Private businesses	11	31	1
e. Private individuals	0	41	2
f. The Center for Tech and Civic Life (CTCL) and/or the Center for Election Innovation and Research (CEIR)	18	24	1
g. Other nonprofit organizations	8	32	2

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Election Assistance Commission (EAC) Information Resources and Guidance

Table 38: Responses to GAO 2021 State Election Office Survey Question 23

Did your **state election office** use EAC information resources or guidance on any of the following topics to administer elections during the pandemic?

"EAC information resources and guidance" includes documents, instructional materials, webinars, video recordings, links to other agency websites, and any other information made available by the Election Assistance Commission about conducting an election during a pandemic.

	Yes	No	Don't know
a. Absentee/mail voting	13	28	2
b. In-person voting	15	26	2

Did your **state election office** use EAC information resources or guidance on any of the following topics to administer elections during the pandemic?

"EAC information resources and guidance" includes documents, instructional materials, webinars, video recordings, links to other agency websites, and any other information made available by the Election Assistance Commission about conducting an election during a pandemic.

	Yes	No	Don't know
c. Election worker recruitment and training	14	27	1
d. CARES Act grant funding	39	3	1
e. Election security	26	14	3
f. Other information posted on EAC's COVID-10 resources webpage	20	19	3

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Table 39: Responses to GAO 2021 State Election Office Survey Question 24

If you answered "no" for any of the topics above, what were the main reasons your state election office did not use EAC's information resources or guidance to administer elections during the pandemic? *Check all that apply*.

	Number of state election offices that checked the reason listed
I was not aware the resource or guidance existed.	7
The resources or guidance were not available in time to be helpful for my purposes.	7
The resources or guidance were not clearly written or presented.	3
The resources or guidance were not available in a useful format.	4
The resources or guidance were not relevant to the topics I was interested in.	5
I did not need these resources or guidance.	18
Other	3

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Note: We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Table 40: Responses to GAO 2021 State Election Office Survey Question 25

Overall, how helpful, if at all, would you say EAC **information** resources and guidance were for your state election office in preparing for and conducting the 2020 **primary** election(s) during the pandemic?

	Number of state election offices
Extremely helpful	1
Very helpful	10
Moderately helpful	7
Somewhat helpful	10
Not at all helpful	6
Not applicable	5

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Table 41: Responses to GAO 2021 State Election Office Survey Question 26

Overall, how helpful, if at all, would you say EAC **information** resources and guidance were for your state election office in preparing for and conducting the 2020 **general** election during the pandemic?

	Number of state election offices
Extremely helpful	1
Very helpful	9
Moderately helpful	9
Somewhat helpful	9
Not at all helpful	7
Not applicable	8

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Table 42: Responses to GAO 2021 State Election Office Survey Question 27

Did your state election office request technical assistance or additional guidance from the EAC in response to the pandemic during the 2020 primary or general elections?

	Number of state election offices
Yes	10
No	30
Don't know	3

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Table 43: Responses to GAO 2021 State Election Office Survey Question 27 Part II

How satisfied or dissatisfied were you with the following aspects of the technical assistance or additional guidance that the EAC provided in response to your request?

	Very satisfied	Somewhat satisfied	Neither satisfied nor dissatisfied	Somewhat dissatisfied	Very dissatisfied	Not applicable
Timeliness	6	1	1	1	0	0
Quality	7	0	0	1	0	0

Source: GAO analysis of 2021 state election office survey results. | GAO-22-104731

Additional Comments and Survey Contact

Question 28 (open-ended question): If you have any additional comments concerning any of the topics covered in this questionnaire, please use the space below.

Question 29 (open-ended question): What is the name, title, telephone number, and e-mail address of the primary person completing this questionnaire so that we may contact you if we need to clarify any responses?

We conducted a web-based survey of officials from a stratified sample of 829 local election jurisdictions nationwide to obtain information on (1) the steps local jurisdictions took to prepare for and conduct elections in 2020 during the pandemic, and what reported challenges officials faced, (2) how local jurisdictions reported using CARES Act grant funding,¹ and what challenges they reported facing, and (3) what U.S. Election Assistance Commission (EAC) resources and guidance local jurisdictions used during the pandemic and their perspectives on such assistance. In total, 407 local jurisdictions responded to our survey, for a weighted response rate of 47 percent. Our survey was composed of closed-ended and open-ended questions. In this appendix, we include all survey questions and results of responses to the closed-ended questions. We do not provide information on responses provided to open-ended questions that required manually entered text responses.

The tables below represent the estimated percentages of the jurisdictions' responses to the closed-ended questions. The estimates we report are rounded to the nearest percentage point and are national-level estimates representing the experiences, views, and opinions of all local jurisdictions nationwide. Because our estimates are from a generalizable sample, we express our confidence in the precision of our particular estimates as 95 percent confidence intervals, which are also provided in the tables. For a more detailed discussion of our methodology, see appendix I.

Background

Table 44: Responses to GAO 2021 Local Election Jurisdiction Survey Question 1

Did your local jurisdiction conduct a primary election—either a presidential preference primary or a primary for congressional offices—on or after March 13, 2020 when the pandemic-related national emergency was declared?

	Estimated percentage of local election jurisdictions	95 percent confidence interval—lower bound	95 percent confidence interval—upper bound
Yes	89	85	92
No	11	8	15

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

¹See Pub. L. No. 116-136, 134 Stat. 281, 530 (2020).

Absentee/Mail Voting

Table 45: Responses to GAO 2021 Local Election Jurisdiction Survey Question 2

Prior to the 2020 election(s), did your local jurisdiction use an all vote-by-mail system (i.e., send a mail ballot to all registered or active registered voters)?

	Estimated percentage of local election jurisdictions	95 percent confidence interval—lower bound	95 percent confidence interval—upper bound
Yes	7	4	11
No	93	89	96

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Table 46: Responses to GAO 2021 Local Election Jurisdiction Survey Question 3

Did your local jurisdiction take any of the following steps in relation to **absentee/mail voting** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your state election office) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

	Estimated percentage of local election jurisdictions				
	Yes	No	Not applicable	Don't know	
a. Used United States Postal	57	26	11	6	
Service election mail guidance or resources	(51, 63)	(20, 31)	(7, 15)	(4, 10)	
b. Consulted with vendors	21	61	12	6	
regarding absentee/mail voting	(17, 26)	(55, 66)	(8, 17)	(3, 9)	
c. Worked with experts—such	17	64	14	5	
as academics, nonprofits, election administration associations, or other election jurisdictions—to revise absentee/mail ballot or envelope designs	(13, 22)	(58, 70)	(10, 18)	(3, 8)	
d. Consulted with experts about	34	51	10	5	
absentee/mail voting processes procedures, strategies, and policies	(28, 39)	(45, 57)	(6, 14)	(3, 9)	
e. Purchased prepaid postage	39	47	10	3	
for voters to return completed absentee/mail ballots	(33, 45)	(41, 53)	(7, 15)	(1, 6)	
f. Assigned staff to review voter	37	38	21	4	
registration rolls prior to sending absentee/mail ballot request forms	(32, 43)	(32, 44)	(16, 26)	(2, 7)	

Did your local jurisdiction take any of the following steps in relation to **absentee/mail voting** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your state election office) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

	Estimated percentage of local election jurisdictions				
	Yes	No	Not applicable	Don't know	
g. Assigned staff to review voter	46	31	19	4	
registration rolls prior to sending absentee/mail ballots	(40, 52)	(26, 37)	(14, 24)	(2, 7)	
h. Set up a system to allow	33	43	22	3	
voters to track the status of their absentee/mail ballots	(27, 38)	(37, 49)	(17, 27)	(1, 6)	
i. Installed ballot drop boxes	50	39	9	2	
	(44, 56)	(33, 45)	(6, 14)	(1, 4)	
j. Installed cameras or other	23	53	20	4	
security mechanisms to protect ballot drop boxes	(19, 28)	(47, 59)	(15, 25)	(2, 7)	
k. Purchased equipment to	26	63	9	1	
process or tabulate absentee/mail ballots, such as automatic letter openers	(21, 32)	(58, 69)	(5, 13)	(0, 4)	
I. Reconfigured space or	72	19	8	1	
arranged for additional space to facilitate social distancing while processing absentee/mail ballots	(66, 77)	(14, 24)	(5, 13)	(0, 4)	

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate.

Table 47: Responses to GAO 2021 Local Election Jurisdiction Survey Question 4

How challenging, if at all, were the following issues for your local election office during the 2020 **primary** election(s) in relation to **absentee/mail voting?**

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

	Estimated percentage of local election jurisdictions						
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Increased	28	19	22	10	11	9	1
volume of absentee/mail voting	(22, 34)	(15, 24)	(16, 27)	(6, 14)	(7, 16)	(6, 14)	(0, 3)
b. Lack of access	1	1	6	8	47	36	2
to reliable vendors or suppliers	(0, 4)	(0, 2)	(4, 10)	(5, 12)	(40, 53)	(29, 42)	(0, 3)

How challenging, if at all, were the following issues for your local election office during the 2020 **primary** election(s) in relation to **absentee/mail voting**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

		Estimated percentage of local election jurisdictions								
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know			
c. Lack of clear guidance from state election officials on absentee/mail voting	6 (3, 9)	9 (5, 14)	13 (8, 18)	11 (8, 16)	42 (36, 49)	17 (13, 23)	1 (0, 3)			
d. Insufficient funding for absentee/mail voting	4 (2, 7)	11 (7, 16)	13 (9, 18)	15 (11, 21)	37 (31, 43)	18 (13, 24)	2 (1, 5)			
e. Changes made to state absentee/mail voting process as a result of legislation or court orders	16 (12, 22)	15 (11, 20)	18 (13, 24)	12 (8, 16)	20 (15, 26)	14 (10, 20)	4 (2, 8)			
f. Printing more absentee/mail ballots than usual	11 (8, 16)	13 (9, 18)	15 (10, 20)	11 (7, 16)	23 (17, 28)	26 (20, 31)	2 (1, 4)			
g. Lack of a sufficient number of election workers	11 (7, 15)	11 (7, 15)	11 (7, 15)	21 (16, 26)	32 (26, 38)	15 (10, 20)	0 (0, 2)			
h. Lack of experienced election workers	9 (5, 14)	9 (6, 13)	12 (9, 17)	18 (13, 23)	37 (31, 43)	15 (11, 21)	0 (0, 2)			
i. Insufficient storage space for absentee/mail ballots	2 (1, 4)	7 (4, 11)	11 (7, 15)	14 (10, 20)	48 (41, 54)	18 (13, 24)	1 (0, 2)			
j. Insufficient space for absentee/mail ballot processing	2 (1, 4)	5 (3, 8)	14 (9, 19)	14 (10, 19)	49 (42, 55)	17 (12, 23)	0 (0, 2)			
k. Other	4 (1, 8)	0 (0, 3)	1 (0, 5)	0 (0, 2)	18 (11, 26)	64 (55, 72)	14 (9, 20)			

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate. We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Table 48: Responses to GAO 2021 Local Election Jurisdiction Survey Question 5

How challenging, if at all, were the following issues for your local election office during the 2020 **general** election in relation to **absentee/mail voting**?

		Estima	ted percentage o	f local election ju	risdictions		
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Increased	27	23	19	11	11	7	2
volume of absentee/mail voting	(21, 32)	(18, 27)	(14, 24)	(8, 16)	(8, 16)	(4, 12)	(1, 5)
b. Lack of access	1	2	6	7	47	33	3
to reliable vendors or suppliers	(0, 2)	(1, 4)	(4, 10)	(4, 10)	(41, 53)	(28, 39)	(1, 6)
c. Lack of clear	4	8	12	15	42	17	2
guidance from state election officials on absentee/mail voting	(2, 7)	(5, 13)	(9, 17)	(11, 19)	(36, 48)	(12, 22)	(1, 4)
d. Insufficient	6	8	15	16	35	19	2
funding for absentee/mail voting	(3, 9)	(5, 12)	(11, 20)	(11, 21)	(29, 40)	(14, 24)	(1, 4)
e. Changes made	14	12	18	15	21	15	5
to state absentee/mail voting process as a result of legislation or court orders	(10, 19)	(8, 16)	(13, 23)	(11, 20)	(16, 25)	(10, 20)	(3, 9)
f. Printing more	10	14	12	12	25	24	3
absentee/mail ballots than usual	(7, 14)	(10, 20)	(8, 16)	(9, 17)	(20, 30)	(18, 29)	(1, 5)
g. Lack of a	10	8	14	19	36	11	1
sufficient number of election workers	(7, 15)	(5, 12)	(10, 19)	(14, 24)	(30, 42)	(8, 16)	(0, 4)
h. Lack of	9	9	13	21	34	12	1
experienced election workers	(6, 13)	(6, 12)	(10, 18)	(16, 26)	(28, 40)	(8, 17)	(0, 4)
i. Insufficient	5	10	8	12	48	16	1
storage space for absentee/mail ballots	(3, 7)	(7, 15)	(6, 12)	(9, 16)	(42, 54)	(11, 21)	(0, 4)

How challenging, if at all, were the following issues for your local election office during the 2020 **general** election in relation to **absentee/mail voting**?

Please consider only those challenges resulting from the COVID-19 pandemic.

		Estimated percentage of local election jurisdictions								
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know			
j. Insufficient	4	10	10	11	50	15	1			
space for absentee/mail ballot processing	(2, 6)	(6, 14)	(7, 14)	(8, 15)	(44, 56)	(10, 20)	(0, 4)			
k. Other	3	0	0	1	15	65	15			
	(1, 7)	(0, 3)	(0, 3)	(0, 3)	(10, 23)	(57, 73)	(10, 21)			

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate. We asked respondents who indicated "Other" to provide additional information in a free-form text box.

In-Person Voting

Table 49: Responses to GAO 2021 Local Election Jurisdiction Survey Question 6

Did your local jurisdiction take any of the following steps in relation to **in-person voting** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your state election office) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

We will ask in a later section about steps your office took to obtain specific election supplies, such as personal protective equipment (PPE).

	Estimated percentage of local election jurisdictions				
	Yes	No	Not applicable	Don't know	
a. Coordinated with state, regional, or local emergency management agencies about in- person voting	55 (49, 61)	38 (32, 44)	4 (2, 7)	3 (1, 6)	
b. Coordinated with state, regional, or local public health agencies about in-person voting	56 (50, 62)	37 (31, 43)	4 (2, 6)	3 (1, 7)	
c. Consulted with vendors regarding in-person voting	18 (14, 23)	60 (54, 66)	19 (14, 24)	3 (1, 6)	
d. Consulted with experts—such as academics, nonprofits, election administration associations, or other election jurisdictions—about in-person voting	37 (31, 43)	49 (43, 55)	9 (6, 13)	5 (2, 8)	

Did your local jurisdiction take any of the following steps in relation to **in-person voting** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your state election office) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

We will ask in a later section about steps your office took to obtain specific election supplies, such as personal protective equipment (PPE).

	Estimated percentage of local election jurisdictions				
	Yes	No	Not applicable	Don't know	
e. Improved ventilation or air quality at voting locations	28 (22, 33)	60 (54, 66)	9 (6, 13)	3 (2, 7)	
f. Modified or reconfigured voting locations to facilitate social distancing	88 (83, 91)	9 (6, 13)	2 (1, 5)	1 (0, 4)	
g. Added new polling locations or vote centers	14 (11, 18)	79 (74, 83)	6 (4, 10)	1 (0, 4)	
h. Held in-person voting at sports arenas or other large venues	8 (5, 11)	84 (80, 88)	7 (4, 10)	1 (0, 4)	
i. Installed signs or other reminders for voters and election workers to socially distance	92 (88, 95)	5 (2, 8)	2 (1, 4)	1 (0, 4)	
j. Provided personal protective equipment, such as masks or face shields, for voters and/or election workers	94 (90, 97)	3 (1, 6)	2 (1, 4)	1 (0, 4)	
k. Installed physical barriers between voters and/or election workers	79 (74, 84)	18 (13, 23)	2 (1, 4)	1 (0, 4)	
I. Restricted the number of people allowed inside voting locations at any one time	71 (66, 77)	24 (19, 29)	3 (2, 6)	1 (0, 4)	
m. Estimated the number of people allowed inside voting locations at any one time	46 (40, 52)	30 (24, 36)	23 (18, 28)	1 (0, 4)	
n. Used a ticketing or reservation system to manage lines at voting locations	2 (1, 5)	87 (82, 91)	10 (6, 14)	1 (0, 4)	
o. Gave voters more opportunities for early in-person voting	38 (33, 44)	49 (43, 55)	11 (7, 15)	1 (0, 4)	
p. Provided voters with more opportunities for curbside or drive-through voting	49 (43, 56)	44 (38, 50)	5 (3, 8)	1 (0, 4)	

Did your local jurisdiction take any of the following steps in relation to **in-person voting** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your state election office) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

We will ask in a later section about steps your office took to obtain specific election supplies, such as personal protective equipment (PPE).

	Estimated percentage of local election jurisdictions				
	Yes	No	Not applicable	Don't know	
q. Prepared alternative in-	52	34	10	3	
person voting options for voters with COVID-19 or COVID-19 symptoms	(46, 58)	(28, 40)	(7, 15)	(1, 7)	
r. Cleaned and disinfected	94	5	1	1	
voting locations following the primary election(s) or general election	(90, 96)	(2, 8)	(0, 3)	(0, 2)	

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate.

Table 50: Responses to GAO 2021 Local Election Jurisdiction Survey Question 7

How challenging, if at all, were the following issues for your local election office during the 2020 **primary** election(s) in relation to **in-person voting**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

		Estimated percentage of local election jurisdictions								
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know			
a. Lack of	1	2	5	11	56	22	2			
access to reliable vendors or suppliers	(0, 4)	(1, 5)	(3, 9)	(7, 15)	(50, 63)	(16, 27)	(1, 5)			
b. Lack of clear	4	9	8	18	52	9	1			
guidance from state election officials regarding in- person voting	(2, 8)	(5, 13)	(5, 12)	(13, 23)	(46, 59)	(6, 14)	(0, 3)			
c. Insufficient	4	6	13	11	54	11	1			
funding for in- person voting	(2, 7)	(3, 10)	(9, 19)	(8, 16)	(47, 60)	(7, 15)	(0, 4)			

How challenging, if at all, were the following issues for your local election office during the 2020 **primary** election(s) in relation to **inperson voting**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

		Estimated percentage of local election jurisdictions							
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know		
d. Changes made to state in- person voting process as a result of legislation or court orders	11 (7, 16)	7 (4, 11)	15 (10, 20)	18 (13, 23)	33 (27, 39)	13 (9, 18)	3 (1, 6)		
e. Lack of a sufficient number of election workers	6 (4, 9)	11 (7, 16)	10 (6, 14)	20 (15, 26)	46 (40, 53)	6 (4, 10)	1 (0, 4)		
f. Lack of experienced election workers	7 (4, 11)	8 (5, 12)	10 (7, 15)	22 (17, 28)	45 (39, 52)	6 (3, 9)	1 (0, 4)		
g. Lack or loss of in-person voting locations	2 (1, 4)	2 (1, 4)	2 (1, 5)	5 (3, 7)	53 (47, 60)	35 (29, 41)	1 (0, 4)		
h. In-person voting locations were not large enough to facilitate social distancing	2 (1, 4)	3 (1, 6)	9 (5, 13)	16 (11, 21)	53 (47, 60)	16 (12, 22)	1 (0, 4)		
i. Long wait times and/or lines at in- person voting locations	2 (1, 4)	4 (2, 7)	8 (4, 12)	16 (12, 21)	57 (50, 63)	12 (9, 17)	1 (0, 4)		
j. Voters did not take precautions to reduce COVID-19 transmission at voting locations	2 (1, 5)	3 (1, 7)	10 (6, 14)	18 (13, 23)	54 (48, 61)	12 (8, 16)	1 (0, 4)		

How challenging, if at all, were the following issues for your local election office during the 2020 **primary** election(s) in relation to **inperson voting**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

We will ask in a later section about challenges related to election supplies, such as personal protective equipment (PPE).

		Estimated percentage of local election jurisdictions							
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know		
k. Election	0	1	1	7	74	14	1		
workers did not take precautions to reduce COVID-19 transmission at voting locations	(0, 2)	(0, 5)	(0, 2)	(4, 11)	(69, 80)	(10, 19)	(0, 4)		
I. Other	2	0	0	1	25	60	11		
	(0, 5)	(0, 2)	(0, 2)	(0, 5)	(18, 34)	(51, 69)	(7, 18)		

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate. We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Table 51: Responses to GAO 2021 Local Election Jurisdiction Survey Question 8

How challenging, if at all, were the following issues for your local election office during the 2020 general election in relation to **in-person voting?**

Please consider only those challenges resulting from the COVID-19 pandemic.

		Estimated percentage of local election jurisdictions									
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know				
a. Lack of	1	0	8	11	60	17	2				
access to reliable vendors or suppliers	(0, 3)	(0, 2)	(5, 13)	(7, 16)	(54, 66)	(13, 23)	(0, 4)				
b. Lack of clear	3	7	9	16	54	11	1				
guidance from state election officials regarding in- person voting	(1, 7)	(4, 11)	(6, 13)	(11, 21)	(47, 60)	(7, 16)	(0, 3)				
c. Insufficient	4	6	10	15	53	11	1				
funding for in- person voting	(2, 8)	(3, 9)	(6, 15)	(11, 20)	(47, 59)	(7, 15)	(0, 3)				

How challenging, if at all, were the following issues for your local election office during the 2020 general election in relation to **inperson voting**?

Please consider only those challenges resulting from the COVID-19 pandemic.

		Estima	ted percentage of	f local election ju	risdictions		
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
d. Changes made to state in- person voting process as a result of legislation or court orders	10 (6, 15)	7 (5, 11)	17 (12, 23)	14 (10, 19)	35 (29, 41)	13 (9, 18)	4 (2, 7)
e. Lack of a sufficient number of election workers	7 (4, 10)	8 (5, 11)	11 (7, 15)	27 (21, 33)	41 (35, 47)	7 (4, 11)	0 (0, 2)
f. Lack of experienced election workers	8 (5, 12)	4 (2, 6)	14 (10, 19)	27 (21, 33)	40 (33, 46)	7 (4, 11)	0 (0, 2)
g. Lack or loss of in-person voting locations	1 (0, 3)	2 (1, 4)	2 (1, 4)	6 (4, 9)	58 (52, 65)	31 (25, 37)	1 (0, 2)
h. In-person voting locations were not large enough to facilitate social distancing	2 (1, 4)	5 (2, 8)	7 (4, 11)	17 (12, 22)	53 (47, 60)	16 (12, 21)	1 (0, 2)
i. Long wait times and/or lines at in- person voting locations	2 (1, 4)	4 (2, 7)	9 (5, 13)	15 (11, 20)	56 (50, 63)	14 (10, 19)	1 (0, 2)
j. Voters did not take precautions to reduce COVID-19 transmission at voting locations	2 (0, 4)	4 (2, 7)	9 (6, 14)	17 (13, 22)	57 (50, 63)	11 (7, 15)	1 (0, 3)
k. Election workers did not take precautions to reduce COVID-19 transmission at voting locations	0 (0, 2)	1 (0, 4)	1 (0, 2)	5 (3, 9)	76 (71, 81)	15 (11, 20)	1 (0, 2)

How challenging, if at all, were the following issues for your local election office during the 2020 general election in relation to **in-person voting**?

Please consider only those challenges resulting from the COVID-19 pandemic.

We will ask in a later section about challenges related to election supplies, such as personal protective equipment (PPE).

		Estimated percentage of local election jurisdictions							
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know		
I. Other	2	0	0	1	25	63	9		
	(0, 6)	(0, 2)	(0, 2)	(0, 4)	(18, 35)	(54, 72)	(5, 15)		

GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate. We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Election Supplies

Table 52: Responses to GAO 2021 Local Election Jurisdiction Survey Question 9

Did your local jurisdiction obtain the following **election supplies** for **either** the 2020 primary election(s) or the 2020 general election? For each item, we are interested in the activities your office (not your state election office) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

	Estimated percentage of local election jurisdictions					
	Yes	No	Not applicable	Don't know		
a. Personal protective equipment, such as	92	5	2	0		
face shields or non-medical-grade masks, for election workers	(88, 95)	(3, 9)	(1, 4)	(0, 2)		
b. Medical-grade protective equipment,	43	55	2	1		
such as N95 masks, for election workers	(37, 48)	(49, 61)	(1, 4)	(0, 2)		
c. Hand sanitizer	93	4	2	0		
	(90, 96)	(2, 8)	(1, 4)	(0, 2)		
d. Disinfectant wipes or other cleaning	96	2	2	0		
supplies	(93, 98)	(1, 5)	(1, 4)	(0, 2)		
e. Disposable shared objects, such as	82	15	2	0		
pens or sample ballots	(77, 86)	(12, 20)	(1, 5)	(0, 2)		
f. Signage or other reminders to	92	6	2	0		
encourage social distancing among voters and election workers	(88, 95)	(3, 9)	(1, 4)	(0, 2)		
g. Physical barriers, such as plexiglass	78	19	2	0		
	(73, 83)	(14, 24)	(1, 5)	(0, 2)		

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate.

Table 53: Responses to GAO 2021 Local Election Jurisdiction Survey Question 10

How challenging, if at all, were the following issues for your local election office during the 2020 primary election(s) in relation to obtaining election supplies?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

		Estima	ted percentage of	local election jui	risdictions		
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Lack of	1	4	9	12	57	14	3
access to reliable vendors or suppliers	(0, 4)	(2, 7)	(5, 14)	(8, 17)	(50, 64)	(9, 19)	(1, 7)
b. Lack of clear	1	5	7	20	54	11	2
guidance from your state government about supplies	(0, 3)	(3, 9)	(4, 12)	(14, 26)	(47, 60)	(7, 16)	(1, 6)
c. Insufficient	3	3	10	17	56	9	2
funding for supplies	(1, 7)	(2, 6)	(6, 15)	(12, 23)	(49, 62)	(6, 14)	(1, 6)
d. Delays in the	4	8	8	16	51	10	2
delivery of supplies	(2, 8)	(5, 13)	(5, 13)	(11, 21)	(44, 58)	(6, 15)	(1, 6)
e. Difficulty	3	6	16	15	50	9	2
determining what supplies were needed	(1, 5)	(3, 10)	(11, 22)	(10, 20)	(43, 57)	(5, 14)	(1, 6)
f. Supplies were	7	9	11	13	46	12	2
out of stock or otherwise unavailable	(4, 11)	(5, 15)	(7, 16)	(9, 18)	(39, 52)	(8, 17)	(1, 6)
g. Other	0	1	0	3	26	60	10
	(0, 4)	(0, 5)	(0, 2)	(0, 9)	(17, 35)	(51, 70)	(5, 17)

Source: GAO analysis of 2021 local election jurisdiction survey results. \mid GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate. We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Table 54: Responses to GAO 2021 Local Election Jurisdiction Survey Question 11

How challenging, if at all, were the following issues for your local election office during the 2020 **general** election in relation to **obtaining election supplies**?

Please consider only those challenges resulting from the COVID-19 pandemic.

		Estimated percentage of local election jurisdictions									
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know				
a. Lack of	1	2	8	13	58	16	2				
access to reliable vendors or suppliers	(0, 2)	(1, 4)	(5, 13)	(9, 17)	(52, 64)	(12, 21)	(1, 5)				
b. Lack of clear	2	4	8	15	60	9	1				
guidance from your state government about supplies	(1, 4)	(2, 7)	(5, 12)	(11, 19)	(54, 66)	(6, 14)	(0, 4)				
c. Insufficient	4	4	10	16	56	10	1				
funding for supplies	(2, 7)	(2, 7)	(7, 14)	(11, 21)	(50, 62)	(6, 14)	(0, 4)				
d. Delays in the	3	7	9	12	57	11	1				
delivery of supplies	(1, 5)	(4, 11)	(6, 13)	(8, 16)	(51, 63)	(7, 15)	(0, 4)				
e. Difficulty	1	5	12	16	57	9	1				
determining what supplies were needed	(0, 3)	(2, 8)	(8, 17)	(12, 21)	(51, 63)	(5, 13)	(0, 4)				
f. Supplies were	4	6	11	15	51	13	1				
out of stock or otherwise unavailable	(2, 6)	(3, 9)	(7, 15)	(11, 19)	(45, 57)	(9, 18)	(0, 4)				
g. Other	3	0	0	3	26	59	9				
	(1, 9)	(0, 2)	(0, 2)	(0, 8)	(19, 35)	(50, 67)	(5, 15)				

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate. We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Election Worker Recruitment

Table 55: Responses to GAO 2021 Local Election Jurisdiction Survey Question 12

Did your local election office take any of the following steps in relation to election **worker recruitment** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your state election office) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

	Estimated percentage of local election jurisdictions					
	Yes	No	Not applicable	Don't know		
a. Worked with schools, businesses, charitable organizations, or advocacy groups to recruit election workers	23 (19, 27)	65 (60, 71)	9 (6, 14)	2 (1, 5)		
b. Recruited election workers from populations that do not usually volunteer, such as young people	36 (30, 41)	53 (47, 59)	10 (7, 14)	1 (0, 4)		
c. Recruited back-up election workers in case others were unable to work	71 (66, 77)	21 (16, 26)	6 (4, 10)	2 (0, 4)		
d. Recruited election workers with medical training to assist voters with COVID-19	5 (3, 9)	84 (79, 88)	9 (6, 13)	3 (1, 5)		
e. Offered incentives, such as hazard pay, to election workers	16 (13, 21)	75 (70, 80)	7 (4, 11)	2 (0, 4)		

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate.

Table 56: Responses to GAO 2021 Local Election Jurisdiction Survey Question 13

How challenging, if at all, were the following issues for your local election office during the 2020 **primary** election(s) in relation to **election worker recruitment?**

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

-		Estima	ted percentage of	f local election jui	risdictions		
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Lack of clear guidance regarding election worker recruitment from your state government	1 (0, 2)	2 (1, 5)	4 (2, 8)	11 (7, 15)	61 (55, 67)	19 (14, 24)	3 (1, 5)
b. Insufficient funding for election worker recruitment	3 (1, 6)	3 (1, 7)	6 (3, 9)	12 (8, 17)	60 (53, 66)	15 (10, 20)	2 (1, 4)
c. Difficulty determining how many workers to recruit	2 (1, 5)	5 (3, 9)	12 (8, 17)	25 (20, 31)	44 (37, 50)	10 (6, 14)	2 (0, 4)
d. Potential election workers were concerned about contracting COVID-19	13 (9, 18)	13 (9, 18)	14 (10, 19)	29 (23, 35)	23 (17, 29)	7 (4, 11)	1 (0, 3)
e. Other	1 (0, 4)	0 (0, 2)	0 (0, 2)	1 (0, 3)	21 (14, 29)	63 (54, 71)	15 (9, 23)

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate. We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Table 57: Responses to GAO 2021 Local Election Jurisdiction Survey Question 14

How challenging, if at all, were the following issues for your local election office during the 2020 **general** election in relation **to election worker recruitment?**

Please consider only those challenges resulting from the COVID-19 pandemic.

		Estimated	percentage of	local election j	jurisdictions		
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Lack of clear guidance regarding election worker recruitment from your state government	1 (0, 2)	2 (1, 5)	5 (2, 8)	8 (5, 12)	63 (57, 68)	19 (15, 24)	2 (1, 5)
b. Insufficient funding for election worker recruitment	3 (2, 6)	4 (2, 7)	7 (4, 10)	14 (10, 19)	55 (48, 61)	16 (12, 21)	1 (0, 3)
c. Difficulty determining how many workers to recruit	2 (1, 4)	7 (4, 11)	13 (9, 18)	25 (19, 30)	43 (37, 49)	9 (6, 14)	1 (0, 3)
d. Potential election workers were concerned about contracting COVID-19	12 (8, 16)	11 (7, 15)	16 (12, 21)	31 (25, 36)	25 (20, 31)	5 (3, 9)	0 (0, 2)
e. Other	1 (0, 4)	0 (0, 2)	0 (0, 2)	2 (0, 7)	23 (16, 31)	61 (53, 70)	12 (7, 20)

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate. We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Election Worker Training

Table 58: Responses to GAO 2021 Local Election Jurisdiction Survey Question 15

Did your local election office take any of the following steps in relation to election **worker training** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your state election office) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

	Estimated percentage of local election jurisdictions				
	Yes	No	Not applicable	Don't know	
a. Trained election workers on	86	12	1	1	
measures to protect themselves from COVID-19	(82, 90)	(8, 16)	(0, 4)	(0, 2)	
b. Trained election workers on	89	9	2	1	
measures to reduce COVID-19 transmission	(84, 92)	(5, 13)	(1, 4)	(0, 2)	
c. Conducted some or all	48	45	6	1	
election worker training online	(42, 54)	(39, 51)	(3, 9)	(0, 3)	
d. Trained election workers in	82	10	7	1	
socially-distanced settings	(77, 87)	(7, 14)	(4, 11)	(0, 2)	
e. Trained more election	46	42	10	2	
workers than usual to perform tasks in relation to absentee/mail voting	(40, 52)	(36, 48)	(6, 14)	(1, 4)	

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate.

Table 59: Responses to GAO 2021 Local Election Jurisdiction Survey Question 16

How challenging, if at all, were the following issues for your local election office during the 2020 **primary** election(s) in relation to **election worker training**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

		Estima	ted percentage of	f local election jui	Estimated percentage of local election jurisdictions								
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know						
a. Lack of clear	4	2	5	12	61	14	2						
guidance regarding election worker training from your state government	(2, 7)	(0, 4)	(2, 9)	(8, 17)	(55, 68)	(10, 19)	(1, 4)						
b. Insufficient	4	1	7	12	59	15	2						
funding for election worker training	(2, 8)	(0, 4)	(4, 10)	(8, 17)	(53, 66)	(10, 20)	(1, 4)						
c. Lack of	6	4	5	13	44	28	1						
equipment to conduct election worker training remotely	(4, 10)	(2, 7)	(2, 8)	(8, 18)	(37, 50)	(22, 33)	(0, 3)						
d. Lack of	5	5	8	13	38	29	1						
experience in training election workers remotely	(3, 8)	(2, 8)	(5, 13)	(9, 18)	(32, 45)	(24, 35)	(0, 3)						
e. Lack of staff	3	6	6	11	56	17	1						
to train election workers	(2, 6)	(3, 10)	(3, 10)	(7, 16)	(49, 62)	(13, 22)	(0, 4)						
f. Inability to	3	5	5	6	57	22	2						
obtain locations for socially- distanced in- person training	(1, 5)	(3, 9)	(2, 9)	(4, 11)	(50, 63)	(17, 27)	(1, 5)						
g. Other	0	1	0	1	26	61	11						
	(0,2)	(0, 4)	(0, 2)	(0, 4)	(18, 36)	(52, 70)	(6, 18)						

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate. We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Table 60: Responses to GAO 2021 Local Election Jurisdiction Survey Question 17

How challenging, if at all, were the following issues for your local election office during the 2020 **general** election in relation to **election worker training**?

Please consider only those challenges resulting from the COVID-19 pandemic.

		Estimated percentage of local election jurisdictions								
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know			
a. Lack of clear	3	2	6	9	65	13	1			
guidance regarding election worker training from your state government	(1, 6)	(1, 5)	(4, 10)	(6, 13)	(60, 71)	(9, 18)	(0, 4)			
b. Insufficient	4	4	3	13	59	15	1			
funding for election worker training	(2, 7)	(2, 7)	(2, 6)	(9, 18)	(53, 65)	(11, 20)	(0, 3)			
c. Lack of	6	5	5	10	46	27	0			
equipment to conduct election worker training remotely	(3, 10)	(3, 9)	(3, 8)	(7, 15)	(40, 53)	(21, 32)	(0, 2)			
d. Lack of	5	5	8	14	37	30	1			
experience in training election workers remotely	(3, 8)	(3, 8)	(5, 12)	(10, 19)	(31, 43)	(25, 35)	(0, 3)			
e. Lack of staff	4	6	7	11	54	18	1			
to train election workers	(2, 6)	(3, 10)	(4, 11)	(7, 15)	(48, 60)	(13, 23)	(0, 3)			
f. Inability to	4	4	5	6	59	21	1			
obtain locations for socially- distanced in- person training	(2, 6)	(2, 8)	(3, 8)	(4, 10)	(53, 64)	(16, 26)	(0, 3)			
g. Other	1	0	0	0	25	65	9			
	(0, 4)	(0, 2)	(0, 3)	(0, 3)	(18, 34)	(56, 73)	(5, 14)			

Source: GAO analysis of 2021 local election jurisdiction survey results. \mid GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate. We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Voter Education and Outreach

Table 61: Responses to GAO 2021 Local Election Jurisdiction Survey Question 18

Did your local election office take any of the following steps in relation to **voter education and outreach** for **either** the 2020 primary election(s) or the 2020 general election?

For each step, we are interested in the activities your office (not your state election office) undertook in preparation for or in response to the COVID-19 pandemic for either the 2020 primary election(s) or the general election.

	Estimated	percentage of local	election jurisdictions	
	Yes	No	Not applicable	Don't know
a. Provided information on your local elections website to educate voters about voting policies and procedures	63 (57, 69)	20 (15, 25)	16 (12, 22)	1 (0, 3)
b. Used social media to educate voters about voting policies and procedures	55 (49, 61)	32 (26, 37)	11 (8, 16)	2 (1, 5)
c. Conducted an outreach campaign using print, electronic, or other media to educate voters about voting policies and procedures	38 (32, 43)	49 (43, 55)	11 (7, 15)	3 (1, 5)
d. Engaged with the news media to educate voters about voting policies and procedures	42 (37, 48)	44 (38, 50)	11 (8, 15)	2 (1, 5)
e. Held or attended meetings or other events to educate voters abut voting policies and procedures	22 (18, 27)	65 (60, 71)	10 (6, 14)	2 (1, 5)
f. Worked with schools, businesses, charitable organizations, or advocacy groups to educate voters about voting policies and procedures	19 (15, 23)	67 (62, 73)	11 (7, 15)	3 (1, 5)
g. Answered questions about voting policies and procedures from people who contacted your office	92 (88, 96)	2 (1, 5)	4 (2, 8)	1 (0, 3)

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the

Table 62: Responses to GAO 2021 Local Election Jurisdiction Survey Question 19

How challenging, if at all, were the following issues for your local election office during the 2020 **primary** election(s) in relation to **voter education and outreach**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

		Estima	ted percentage o	f local election ju	risdictions		
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Lack of clear guidance from your state government regarding voter education and outreach	3 (1, 6)	5 (2, 9)	7 (4, 11)	14 (10, 20)	55 (49, 62)	14 (10, 19)	2 (1, 5)
b. Managing the logistics of working with outside organizations such as businesses, charitable organizations, advocacy groups, clubs, or schools	2 (1, 4)	2 (1, 4)	5 (3, 9)	11 (7, 16)	40 (34, 46)	37 (31, 43)	3 (1, 5)
c. Insufficient funding for voter education and outreach	3 (1, 6)	5 (2, 8)	8 (5, 12)	10 (7, 15)	48 (41, 54)	24 (18, 29)	3 (1, 6)
d. Insufficient staff for voter education and outreach	6 (3, 9)	8 (4, 12)	8 (5, 13)	9 (6, 13)	45 (38, 51)	22 (16, 27)	3 (1, 5)
e. False or misleading information about the safety of voting in-person	13 (9, 18)	6 (4, 9)	17 (12, 23)	17 (13, 23)	35 (29, 41)	10 (7, 14)	2 (0, 5)
f. False or misleading information about in-person voting times, locations, or requirements	10 (6, 15)	4 (2, 7)	12 (8, 17)	15 (10, 20)	47 (40, 53)	11 (7, 15)	2 (0, 5)

How challenging, if at all, were the following issues for your local election office during the 2020 **primary** election(s) in relation to **voter education and outreach**?

For the purpose of this survey, please only include responses related to primary elections that occurred on or after March 13, 2020, when the pandemic-related national emergency was declared.

Please consider only those challenges resulting from the COVID-19 pandemic.

		Estimated percentage of local election jurisdictions							
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know		
g. False or	23	11	17	14	24	9	2		
misleading information about the security of absentee/mail voting	(18, 29)	(8, 16)	(12, 22)	(10, 19)	(19, 30)	(5, 13)	(0, 5)		
h. False or	16	9	14	18	31	10	2		
misleading information about absentee/mail voting deadlines or requirements	(12, 21)	(6, 14)	(10, 19)	(13, 24)	(25, 37)	(7, 14)	(0, 5)		
i. Other	1	0	0	0	23	68	9		
	(0, 3)	(0, 2)	(0, 2)	(0, 2)	(15, 32)	(59, 77)	(5, 14)		

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate. We asked respondents who indicated "Other" to provide additional information in a free-form text box

Table 63: Responses to GAO 2021 Local Election Jurisdiction Survey Question 20

How challenging, if at all, were the following issues for your local election office during the 2020 **general** election in relation to **voter education and outreach?**

	Estimated percentage of local election jurisdictions						
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
a. Lack of clear guidance from your state government regarding voter education and outreach	3 (1, 5)	2 (1, 5)	6 (4, 10)	11 (8, 16)	62 (56, 68)	13 (10, 18)	2 (1, 5)

How challenging, if at all, were the following issues for your local election office during the 2020 **general** election in relation to **voter education and outreach**?

Please consider only those challenges resulting from the COVID-19 pandemic.

		Estimated percentage of local election jurisdictions					
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know
b. Managing the logistics of working with outside organizations such as businesses, charitable organizations, advocacy groups, clubs, or schools	2 (1, 4)	2 (1, 4)	5 (3, 8)	9 (7, 13)	45 (39, 51)	34 (28, 39)	3 (1, 6)
c. Insufficient funding for voter education and outreach	4 (2, 6)	6 (3, 9)	6 (3, 9)	12 (8, 17)	48 (42, 54)	22 (17, 27)	3 (1, 6)
d. Insufficient staff for voter education and outreach	7 (4, 10)	8 (4, 12)	6 (4, 9)	14 (9, 19)	43 (37, 49)	22 (17, 27)	1 (0, 4)
e. False or misleading information about the safety of voting inperson	15 (11, 19)	10 (7, 14)	14 (10, 19)	22 (17, 27)	29 (23, 34)	9 (6, 13)	2 (1, 5)
f. False or misleading information about inperson voting times, locations, or requirements	11 (7, 15)	7 (5, 11)	15 (11, 20)	16 (12, 22)	39 (33, 45)	9 (6, 13)	2 (1, 5)
g. False or misleading information about the security of absentee/mail voting	29 (23, 34)	15 (10, 20)	12 (8, 16)	12 (9, 17)	24 (18, 29)	7 (4, 11)	2 (1, 5)
h. False or misleading information about absentee/mail voting deadlines or requirements	19 (14, 24)	12 (8, 17)	17 (12, 22)	14 (10, 19)	27 (22,33)	9 (6, 13)	2 (1, 5)
i. Other	2 (0, 7)	0 (0, 2)	0 (0, 2)	2 (0, 5)	25 (17, 33)	62 (54, 71)	9 (5, 15)

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate. We asked respondents who indicated "Other" to provide additional information in a free-form text box.

2020 Election Successes

Question 21 (open-ended question): In your view, what aspects of the 2020 primary election(s) and/or the 2020 general election in your jurisdiction went particularly well?

CARES Act Grant Funds

Table 64: Responses to GAO 2021 Local Election Jurisdiction Survey Question 22

Did your local election	office spend CARES	Act grant funds on ar	ny of the following activities?
Dia voui local election	i onice spena Cares	ACL Grant Turius on ar	iv oi trie iollowiria activities?

	Activities		Estimated percentage of local election jurisdictions		
		Yes	No	Don't know	
Absentee/mail	a. Securing additional locations for absentee/mail	6	88	6	
voting	ballot processing or storage	(4, 10)	(84, 92)	(3, 9)	
	b. Printing absente/mail ballot applications	20	72	8	
		(15, 26)	(66, 77)	(5, 12)	
	c. Printing absentee/mail ballots	22	70	8	
		(17, 27)	(64, 76)	(5, 12)	
	d. Printing envelopes for absentee/mail voting	30	62	8	
		(24, 36)	(56, 68)	(5, 12)	
	e. Paying for postage to send absentee/mail ballot	31	60	8	
	applications	(25, 37)	(54, 66)	(5, 13)	
_	f. Paying for postage to send absentee/mail ballots	42	51	7	
		(36, 48)	(45, 57)	(4, 11)	
	g. Paying for prepaid postage for voters to return	29	64	7	
completed a	completed absentee/mail ballots	(23, 34)	(58, 70)	(4, 11)	
	h. Setting up an electronic system for tracking ballots	5	88	8	
		(2, 8)	(83, 91)	(5, 12)	
	i. Purchasing drop boxes	27	67	6	
		(21, 32)	election jurisdictions No Dor 88 (84, 92) 72 (66, 77) 70 (64, 76) 62 (56, 68) 60 (54, 66) 51 (45, 57) 64 (58, 70) 88 (83, 91)	(4, 10)	
	j. Installing drop boxes	14	79	7	
		(10, 19)	(74, 84)	(4, 11)	
_	k. Hiring additional personnel to monitor drop boxes	3	90	7	
		(2, 6)	(86, 93)	(4, 11)	
	I. Purchasing equipment for absentee/mail voting,	27	67	6	
	such as high-speed scanners, automatic mail sorters, or automatic letter openers	(21, 32)	(62, 73)	(3, 10)	
	m. Reconfiguring election office space to	23	70	7	
	accommodate more staff and social distancing	(18, 28)	(64, 76)	(4, 11)	

Did your local elec	tion office spend CARES Act grant funds on any of the follo		nated percentage o	of	
	Activities		local election jurisdictions		
		Yes	No	Don't know	
In-person voting	n. Securing additional locations for in-person voting	4	91	5	
activities		(2, 6)	(87, 94)	(3, 8)	
	o. Purchasing personal protective equipment (PPE)	62	33	5	
		(56, 68)	(27, 39)	(3, 8)	
	p. Purchasing hand sanitizer	58	37	5	
		(52, 64)	(31, 43)	(3, 9)	
	q. Purchasing cleaning supplies	62	33	5	
		(56, 68)	(27, 39)	(3, 9)	
	r. Purchasing physical barriers, such as plexiglass or	59	36	5	
	sneeze guards	(53, 65)	(30, 42) 48 46 (5, 54) (40, 52)	(3, 9)	
	s. Purchasing signage to remind voters to socially	48	46	6	
	distance, such as floor markings	(42, 54)	(40, 52)	(3, 9)	
	t. Cleaning and disinfecting voting locations following	51	43	5	
	the primary or general elections	(45, 57)	(37, 49)	(3, 9)	
Office staff or	u. Hiring additional election office staff	24	71	5	
election worker activities		(19, 29)	(66, 77)	(2, 8)	
	v. Recruiting election workers	15	79	6	
		(11, 20)	(74, 84)	(3, 9)	
	w. Hiring additional election workers	34	61	5	
		(28, 40)	(55, 66)	(3, 9)	
	x. Providing additional pay to election workers	25	70	6	
		(19, 30)	(64, 75)	(3, 9)	
	y. Training election workers	27	67	6	
		(21, 32)	(61, 73)	(4, 10)	
Voter education	z. Educating voters about their options for voting	19	73	7	
and outreach		(15, 24)	(68, 78)	(5, 11)	
	aa. Educating voters about policies and procedures	19	74	7	
	for in-person voting	(14, 23)	(69, 79)	(4, 11)	
	ab. Educating voters about policies and procedures	18	75	7	
	for absentee/mail voting	(13, 22)	(70, 80)	(5, 11)	

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate.

Question 22ac (open-ended question): If you spent CARES Act grant funds on anything else, please describe those activities or purchases here.

Table 65: Responses to GAO 2021 Local Election Jurisdiction Survey Question 23

How challenging, if at all, were the following issues relating to **CARES Act grant funding and reporting** for your local election office during either the 2020 primary or general elections?

		Estimated percentage of local election jurisdictions							
	Extremely challenging	Very challenging	Moderately challenging	Somewhat challenging	Not at all challenging	Not applicable	Don't know		
a. Obtaining	3	1	8	10	49	20	10		
CARES Act grant funds from your state government	(1, 6)	(0, 2)	(5, 12)	(6, 14)	(43, 55)	(15, 25)	(7, 14)		
b. Meeting the	1	1	4	5	23	47	20		
20 percent matching requirement, if local jurisdictions were required to fund the match in your state	(0, 4)	(0, 3)	(2, 8)	(3, 9)	(18, 28)	(41, 53)	(15, 24)		
c. Determining	2	7	7	18	37	18	11		
what expenditures were permissible	(0, 4)	(4, 12)	(5, 11)	(14, 23)	(31, 43)	(14, 23)	(7, 14)		
d. Categorizing	2	5	8	16	36	19	14		
CARES Act grant expenditures for reporting purposes	(1, 6)	(2, 8)	(5, 12)	(12, 21)	(30, 42)	(15, 24)	(10, 18)		
e. Meeting	4	4	4	11	43	21	14		
CARES Act grant reporting due dates	(2, 8)	(2, 7)	(2, 7)	(7, 15)	(37, 49)	(16, 26)	(10, 18)		
f. Other	3	0	1	0	20	62	13		
	(0, 9)	(0, 1)	(0, 5)	(0, 3)	(13, 28)	(54, 71)	(9, 19)		

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate. We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Other Grants, Donations, and Assistance

Table 66: Responses to GAO 2021 Local Election Jurisdiction Survey Question 24

Did your local election office receive grants, donations, or any other form of financial or nonfinancial assistance from any of the following sources for the purpose of conducting either the 2020 primary election(s) or the 2020 general election during the pandemic?

	Estimated percentage of local election jurisdictions		
	Yes	No	Don't know
a. Federal agencies, other than the Election Assistance	9	79	13
Commission (EAC, which distributed CARES grants to states)	(6, 13)	(74, 83)	(9, 17)
b. State agencies, other than your state election office	5	81	13
	(3, 9)	(77, 86)	(9, 18)
c. Other local government agencies	8	81	11
	(5, 11)	(77, 86)	(7, 16)
d. Universities, colleges, schools, or any other educational	1	91	8
institutions	(0, 3)	(87, 94)	(5, 12)
e. Private businesses	2	89	9
	(1, 4)	(85, 92)	(6, 13)
f. Private individuals	2	89	8
	(1, 5)	(85, 93)	(5, 12)
g. The Center for Tech and Civic Life (CTCL) and/or the	26	65	9
Center for Election Innovation and Research (CEIR)	(21, 31)	(59, 70)	(6, 13)
h. Other nonprofit organizations	1	87	11
	(0, 3)	(83, 91)	(8, 16)

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate.

Election Assistance Commission (EAC) Information Resources and Guidance

Table 67: Responses to GAO 2021 Local Election Jurisdiction Survey Question 25

Did your **local election office** use EAC information resources or guidance on any of the following topics to administer elections during the pandemic?

"EAC information resources and guidance" includes documents, instructional materials, webinars, video recordings, links to other agency websites, and any other information made available by the Election Assistance Commission about conducting an election during a pandemic.

	Estimated percentage of local election jurisdictions				
	Yes	No	Don't know		
a. Absentee/mail voting	25	57	18		
	(20, 30)	(51, 63)	(13, 23)		
b. In-person voting	26	57	17		
	(20, 31)	(51, 63)	(13, 22)		
c. Election worker recruitment and training	18	64	18		
	(14, 23)	(58, 69)	(14, 24)		
d. CARES Act grant funding	23	55	22		
	(18, 29)	(49, 61)	(17, 27)		
e. Election security	26	57	17		
	(20, 31)	(51, 63)	(13, 22)		
f. Other information posted on EAC's	22	58	20		
COVID-19 resources webpage	(17, 27)	(52, 64)	(15, 24)		

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: The numbers in parentheses are the values for the 95 percent confidence intervals for the estimate.

Table 68: Responses to GAO 2021 Local Election Jurisdiction Survey Question 26

If you answered "no" for any of the topics above, what were the main reasons your local election office did not use EAC's information resources or guidance to administer elections during the pandemic? *Check all that apply.*

	Estimated percentage of local election jurisdictions	95 percent confidence interval—lower bound	95 percent confidence interval—upper bound
I was not aware the resources of guidance existed.	37	31	42
The resources or guidance were not available in time to be helpful for my purposes.	2	1	5
The resources or guidance were not clearly written or presented.	2	1	5
The resources or guidance were not available in a useful format.	1	0	3
The resources or guidance were not relevant to the topics I was interested in.	3	1	7
I did not need these resources or guidance.	36	30	42
Other	4	3	7

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: We asked respondents who indicated "Other" to provide additional information in a free-form text box.

Table 69: Responses to GAO 2021 Local Election Jurisdiction Survey Question 27

Overall, how helpful, if at all, would you say that EAC information resources and guidance were for your local election office in preparing for and conducting the 2020 **primary** election during the pandemic?

	Estimated percentage of local election jurisdictions	95 percent confidence interval—lower bound	95 percent confidence interval—upper bound
Extremely helpful	3	1	7
Very helpful	10	6	14
Moderately helpful	10	6	15
Somewhat helpful	12	7	17
Not at all helpful	3	1	5
Not applicable	63	56	69

Source: GAO analysis of 2021 local election jurisdiction survey results. \mid GAO-22-104731

Table 70: Responses to GAO 2021 Local Election Jurisdiction Survey Question 28

Overall, how helpful, if at all, would you say that EAC information resources and guidance were for your local election office in preparing for and conducting the 2020 **general** election during the pandemic?

	Estimated percentage of local election jurisdictions	95 percent confidence interval—lower bound	95 percent confidence interval—upper bound
Extremely helpful	4	2	7
Very helpful	10	6	14
Moderately helpful	12	8	17
Somewhat helpful	12	8	16
Not at all helpful	3	1	6
Not applicable	60	54	66

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Table 71: Responses to GAO 2021 Local Election Jurisdiction Survey Question 29

Did your local election office request technical assistance or additional guidance from the EAC in response to the pandemic during the 2020 primary or general elections?

	Estimated percentage of local election jurisdictions	95 percent confidence interval—lower bound	95 percent confidence interval—upper bound
Yes	1	0	3
No	84	79	88
Do not know	15	11	20

Source: GAO analysis of 2021 local election jurisdiction survey results. \mid GAO-22-104731

Table 72: Responses to GAO 2021 Local Election Jurisdiction Survey Question 29 Part II

How satisfied or dissatisfied were you with the following aspects of the technical assistance or additional guidance that the EAC provided in response to your request?

	Estimated percentage of local election jurisdictions					
	Very satisfied	Somewhat satisfied	Neither satisfied nor dissatisfied	Somewhat dissatisfied	Very dissatisfied	Not applicable
Timeliness	n/r	n/r	n/r	n/r	n/r	n/r
Quality	n/r	n/r	n/r	n/r	n/r	n/r

Source: GAO analysis of 2021 local election jurisdiction survey results. | GAO-22-104731

Note: n/r indicates that we are not reporting the estimate because the maximum half-width of the confidence interval is greater than 15 percentage points.

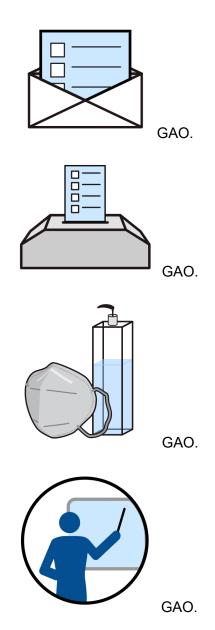
Additional Comments and Survey Contact

Question 30 (open-ended question): If you have any additional comments concerning any of the topics covered in this questionnaire, please use the space below.

Question 31 (open-ended question): What is the name, title, telephone number, and e-mail address of the primary person completing this questionnaire so that we may contact you if we need to clarify any responses?

Appendix IV: Additional Sources for Images

This appendix contains source information for images in this product when such information was not listed adjacent to the image.



Appendix IV: Additional Sources for Images



Appendix V: GAO Contact and Staff Acknowledgments

GAO Contact

Rebecca Gambler at (202) 512-8777 or gamblerr@gao.gov

Staff Acknowledgments

In addition to the contact named above, Tom Jessor (Assistant Director), Meghan Squires (Analyst-in-Charge), Carl Barden, Brad Crofford, Benjamin Crossley, Susan Czachor, Aaron Gluck, Peter Haderlein, Stephanie Heiken, Serena Lo, Amanda Miller, Jan Montgomery, Heidi Nielsen, Kevin Reeves, and Jeff Tessin made key contributions to this report.

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