ELECTRONIC HEALTH RECORDS

VA Needs to Address Data Management Challenges for New System

Why GAO Did This Study

VA clinicians use health data to provide health care services to the nation’s veterans. Stakeholders across the department also rely on health data to support reporting capabilities that can help monitor patient safety and measure the quality of care, among other things.

GAO was asked to review VA’s EHRM data management plans. The objectives of this review included describing the department’s plans for (1) migrating data to the new EHR system and determining the extent to which VA has implemented its plans and (2) continuity of reporting and determining the extent to which the department has implemented its plans.

To do so, GAO reviewed VA’s plans and progress reports discussing data migration and reporting continuity for EHRM. GAO also compared these efforts to applicable federal guidance for data management and relevant project management practices. In addition, GAO interviewed knowledgeable VA officials.

What GAO Recommends

GAO is making two recommendations to VA that (1) establish and use performance measures and goals to ensure the quality of migrated data and (2) use a stakeholder register to identify and engage all relevant EHRM stakeholders to meet their reporting needs. VA concurred with GAO’s recommendations.

What GAO Found

The Department of Veterans Affairs (VA) relies on health data in its electronic health record (EHR) system and Corporate Data Warehouse to support its mission. VA has undertaken an effort to replace its legacy EHR system with a commercial system developed by Cerner Government Services, Inc. (Cerner). As shown in the figure, health data management activities planned for the department’s EHR modernization (EHRM) include the following:

- Migrating data from the legacy EHR system to the new system.
- Supporting the continuity of reporting by preserving existing or delivering new reporting capabilities.

Planned Data Management Activities Supporting the Department of Veterans Affairs (VA) Electronic Health Record (EHR) Modernization

Data Management Activities

Supporting the continuity of reporting

Intended to help mitigate gaps in mission-critical reporting capabilities that support patient care, operations, and research.

Migrating data

Intended to help VA clinicians transition to the new EHR system and retain access to their patients’ historical health data.

VA has made progress toward implementing its planned data management activities. Consistent with its plans, the department migrated selected data to the new EHR system prior to the initial system deployment in October 2020. Although these efforts included testing intended to help ensure migrated data were accurate and matched expected results, VA’s analyses and GAO’s work indicated that clinicians experienced challenges with the quality of migrated data, including their accessibility, accuracy, and appropriateness. For example, a VA report issued after the initial deployment identified risks to patient safety in the new system related to incomplete data migration. The challenges occurred, in part, because the department did not establish performance measures and goals for migrated data quality. Until VA uses such measures and goals to better ensure the quality of migrated data, the department could deploy a new EHR system that does not meet clinicians’ needs and poses risks to the continuity of patient care.

In addition, consistent with its plans, VA began preserving existing reporting capabilities and delivering new ones. The department also took steps to identify and engage stakeholders, including incorporating their requirements into plans for reporting continuity. Nevertheless, the department did not use a key tool known as a stakeholder register to identify and engage all key stakeholders. Consequently, certain relevant stakeholders were overlooked. By using a stakeholder register, the department would be better positioned to meet their continuity of reporting needs.