1997

BY THE COMPTROLLER GENERAL

Report To The Congress

OF THE UNITED STATES

Fraud In Government Programs: --How Extensive Is It? --How Can It Be Controlled?

Volume III

This is the third volume of a three-volume report on the results of a statistical analysis of fraud and other illegal activities against the Government at 21 Federal agencies. In volume I (AFMD-81-57), GAO discussed overall findings, conclusions, and recommendations. Volume II (AFMD-81-73) presented a detailed statistical analysis of the data GAO collected. This volume provides fraud profiles for each agency based on statistical data on over 77,000 fraud cases covering a 2-1/2 year period.





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COMPTROLLER GENERAL OF THE UNITED STATES WASHINGTON D.C. 20548

B-201976

To The President of the Senate and the Speaker of the House of Representatives

This is the third volume of a three-volume report on the results of a statistical analysis of fraud and other illegal activities affecting 21 Federal agencies during the 2-1/2 years from October 1, 1976, through March 31, 1979. In volume I (AFMD-81-57) we discussed the overall results of our work including our findings, conclusions, and recommendations. Volume II (AFMD-81-73) presented a detailed statistical analysis of the data we collected.

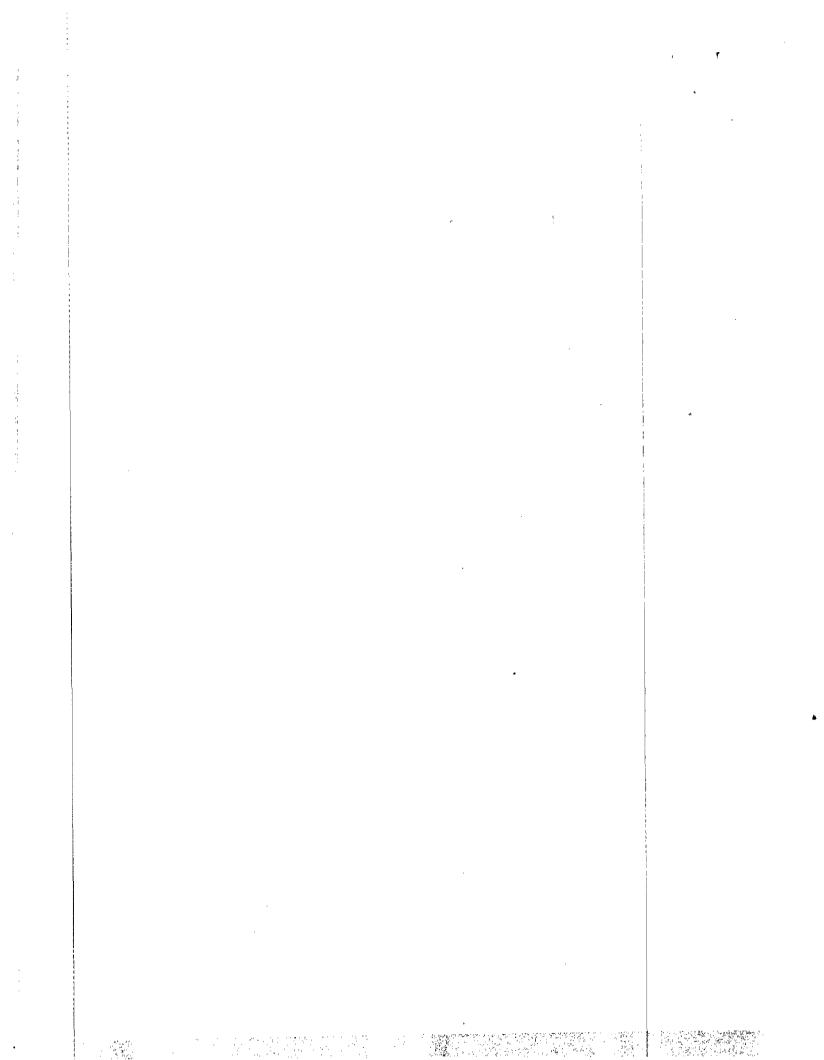
This volume provides fraud profiles for each agency based on data we collected from a statistical sample of 3,227 fraud cases identified by Federal agencies over the 2-1/2 year period. The sample represents a total universe of 77,211 cases. We believe these data will be useful to people especially interested in the activities of individual agencies. To facilitate the use of this volume, we have printed the pages on tearsheets.

Please be cautious in using these data. We did not attempt to evaluate the investigative procedures used or the evidence developed. Also, we did not independently develop lists of fraud cases, but selected our sample from lists of cases provided by each agency. Thus, conclusions that one agency has better fraud detection systems than another because it discovered more cases are not necessarily valid. It also would be incorrect to conclude that because one agency has identified more fraud than another it is more prone to fraud, less well managed, or otherwise not fulfilling its responsibilities. If the number of fraud cases at any agency seems high, it may be because the agency is more conscious of fraud and abuse occurring in its programs and is more alert in detecting and investigating it than other agencies are. It might also mean that the programs or activities of some agencies are more susceptible to fraud than those of other agencies.

Copies of this report are being sent to the Director of the Office of Management and Budget and the heads of the agencies covered in the study.

Comptroller General of the United States

Charles A. Bowsker



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Department of Agriculture

cas ist no	following statistics are for closed es and open coases where some admin- rative or legal action was taken or action was taken because no suspect	5	. The period of time between occur- rence and discovery of the fraud- ulent activity.
cou	ld be identified.		5.529 Less than 3 months
1.	Number of fraud or other illegal activity cases in the universe.		653 3 to 6 months 312 6 months to one year 332 1 to 2 years 304 More than 2 years 1,442 Unknown
	8,571		
2.		6.	How the alleged fraud was discovered.
			5,652 Routine audit, inspec-
	28 Procurement - award		tion, investigation.
	O Property disposition		or review
	83 Payroll		
	O Grants		audit, inspection, or
	6,843 financial assistance to		review
	individuals (excludes		498 Federal employee (know-
	loans)		ledge gained through
	374 Enforcement (i.e. Customs,		work)
	IRS)		
	O Provision of health care		Contractor personnel
	or social services		O Grantee personnel (ex-
	. 415 Loans O Loan quarantees		cludes state or local
	Loan guarantees 663 Personnel		government personnel)
	O Inventory control/proper-		o former grantee personnel
	ty management		367 Private individual (not
	O Mail service		former employee) 362 Informant
	O Cash control		
	O Procurement - monitoring		0 Unsuccessful bidder
	111 Travel		O News media
	28 Administrative services		O Congressional Committee
	Training and education		Member - Staff
	Personal property manage-		O Alleged victim
	ment		O Confession by perpetrator
	28 Other		O Law enforcement investi-
	a_ Unknown		gation - state & local
-			636Other
3.	Principal types of fraud		111 Unknown
	83 Work hour abuses 111 Private use of government property 55 Forgery	7.	Estimate of amount of loss incurred by Federal Government
	111 Kickbacks/Bribes		o_ Less than \$100
	1,437 False statements		<u>276</u> .\$101 - \$1,000
	28 Non-performance of con-		<u>580</u> \$1,001 - \$10,000
	tract terms		166 \$10,001 - \$100,000
			<u>0</u> \$100,001 - \$500,000
	O Extertion		
	6.026 Food Stamp irregulari-		O Over \$1 million
	ties		Monetary loss - amount not available
	528 Miscellaneous fraud		WAGITODIE
		8.	Participants in the alleged fraudu-
4.	The period of time over which the	٠.	lent activity.
	alloged fraudulent activity took		
	place.		526 Federal Government employ-
	6,060 Less than 3 months		ees alone and with others
	633 3 to 6 months		83 State and local government
	291 6 months to one year		employees
	402 l to 2 years		
	166 More than 2 years		ec(s) personnel - other tha
	1,020 Unknown		state and local governments
1/A	s discussed in volume II of this report, o	ur infe	ormation on fraud is based or a
	tatistical projection of data collected on		

1/As discussed in volume II of this report, our information on fraud is based or a statistical projection of data collected on a sample of 3,227 cases representing a universe of 77,211. In some instances the figures in this report do not add to the totals presented due to rounding and weighting of the data.

•	28	Corporate recipient(s) of Federal Government finan- cial assistance		83 55	Federal employee auspended Federal employee issued warning letter
	829	Individual recipient(s) of			
,		Federal Government finan-			letter of counseling
	663	cial assistance Other individual citizens			
•	6.360	Other corporate or business		0	oral warning Federal employee demoted
-		entities (such as lending		28	Federal employee trans-
		institutions)			ferred
-	28	Unknown		28	-
•		State and local government employees in collusion with		_	agreed to
		individual recipient(s) of		- 0	Extra duty (military) Employee resigned pending
		Federal Government finan-			dismissal
		cial assistance			Other
-	0	Federal contractor(a)/			Unknown
		grantee(s) personnel - (other than state and	1	2. Types of	administrative action taken
		local government(s))	•	against	non-Federal government or-
		in collusion with in-		ganizati	ons (contractors, corpora-
		dividual recipient(s)		tions, n	on-profit organizations,
		of Federal Government			d local governments, etc.)
		financial assistance Corporate recipients of		or mary	iduals. <u>a</u> /
-		Federal Government fi-		2.056	
		nancial assistance in			ness with the Federal
		collusion with indivi-			Government
		dual recipient(s) of			Debarred from doing busi- ness with the Federal
		Federal Government fi- nancial assistance			Government
	28	Other corporate or busi-			Contract/grant cancelled
		nese entities (such es		1,722	Issued warning and they a-
		lending institutions)			greed to take corrective
		in collusion with indi- vidual recipient(s) of		193	action Formal loss recovery plan
		Federal Government fi-			agreed to
		nancial essistance		975	No action taken
				55	Negotiating reimbursement
9. S	tatus of	fraud cases.			Declared ineligible for pro- gram participation under
	7,939	Closed (Includes cases			atatus claimed
		officially closed by			Employee/enlistee diemissed;
	632	the agency)			employment/enlistment de- nied
-		Open (Includes cases under investigation and cases in		83	Ot her
		which some action may have		625	Unknown
		been taken but the cases		7 Yunn of	11
		were not officially closed)	1.	taken.	legal action or prosecution
The f	ollowi	ng statistics are for			Civil prosecution
		ially closed by the		<u> </u>	Criminal prosecution
ageno	; y .			0	Civil and criminal prosecution Pro-trial diversion
				0	Court mertial
10. Ty	voe of m	ction taken against perps-		-	
tr	rator.	and agazine parper	14	. Outcome	of civil proceedings.
				o	Judgment for plaintiff
	4.736	Administrative action only		- 0	Judgment for the defendant
-	387 193	Legal action only Administrative and legal		0	Unkriown
****		action			·
	1.841	Unknown	15		of criminal prosecution.
	754	No action taken - sus-		(Excludes	court martials)
	25	pect identified		•	Accuston
-	28	No action taken - sus- pect unknown		<u>0</u> 553	Acquittal Conviction (guilty plea, holo
		hara midika			contendre)
11 7	vone of	ndmini at mutilium in it to in in		<u>oʻ</u>	Other
A. 1	gainat f	administrutive action taken ederal employees. p/			ţ
-		omproyees. M	•	_ ,	
_	249	No action taken	<u>u</u> /	In many ca	ses, Federal agencies took one type administrative
***	55	Federal employee dismissed			inst those who commit fraud
				or other i	llegal acts. All actions
				taken are	recorded here.

Department of Commerce

ist:	following statistics are for closed es and open cases where some admin-rative or legal action was taken or action was taken because no suspect ld be identified.	5.	The period of time between occurrence and discovery of the fraudulent activity. 31 Less than 3 months
1.	Number of fraud or other illegal activity cases in the universe.		43 3 to 6 months 12 6 months to one year 12 1 to 2 years 8 More than 2 years Unknown
	<u>184</u>	6.	How the alleged fraud was discov-
2.	Functional areas in which fraud occurred.		ered.
3.	4 Procurement - award 9 Property disposition Payroll Grants financial assistance to individuals (excludes loans) Enforcement (i.e. Customs, IRS) Provision of health care or social services Loans Loan guarantees Personnel Inventory control/property management Mail service Cash control Procurement - monitoring Travel Administrative services Training and education Personal property management Other Unknown Principal types of fraud		Routine audit, inspection, or review Specially requested audit, inspection, or review 106 Federal employee (know-ledge gained through work) Former federal employee Contractor personnel Grantee personnel (excludes state or local government personnel) Former grantee personnel Private individual (not former employee) Informant Consumer complaint form Unsuccessful bidder News media Congressional Committee Member - Staff Alleged victim Confession by perpetrator taw enforcement investigation - state & local Other Unknown
	8 Work hour abuses Private use of government property		Estimate of amount of loss incurred by Federal Government
	4 Forgery A Kickbacks/Bribes False statements Non-performance of contract terms Theft Extortion Food Stamp irregularities Miscellaneous fraud		8 Less than \$100 8 \$101 - \$1,000 8 \$1,001 - \$10,000 8 \$10,001 - \$100,000 4 \$100,001 - \$500,000 0 \$500,001 - \$1 million 0 Over \$1 million Monetary loss - amount not available
4.	The period of time over which the alleged fraudulent activity took place. 27 Less than 3 months 39 3 to 6 months 12 6 months to one year 8 1 to 2 years 4 More than 2 years Unknown		Participants in the alleged fraudu- lent activity. 31 Federal Government employ- ecs alone and with others State and local government employees Federal contractor(s)/grant- ee(s) personnel - other than state and local governments

Federal Government finan-	Federal employee issued
cial assistance	warning letter
Individual recipient(s) of	Federal employee issued
Federal Government finen- cial assistance	letter of counseling Federal employee issued
4 Other individual citizens	oral warning
4 Other corporate or business	Federal employee demoted
entities (such as lending	Federal employee trans-
institutions)	ferred
Unknown	Formal loss recovery plan
State and local government employees in collusion with	agreed to Extra duty (military)
individual recipient(s) of	Employee resigned pending
Federal Government finen-	dismissal
cial assistance	o Other
Federal contractor(s)/	4 Unknown
grantee(s) personnel -	12 Types of administrative auties to the
(other than state and	12. Types of administrative action taken against non-Federal government or-
local government(s)) in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations,
of Federal Government	state and local governments, etc.)
financial sesistance	or individuals. <u>a</u> /
o Corporate recipients of	o Suspended from doing busi-
Federal Government fi- nancial assistance in	ness with the Federal
collusion with indivi-	Government
dual recipient(s) of	O Debarred from doing busi-
Federal Government fi-	ness with the Federal
nancial assistance	Government
O Other corporate or busi-	4 Contract/grant cancelled
ness entitles (such as	31 Issued warning and they a-
lending institutions)	greed to take corrective action
in collusion with indi- vidual recipient(s) of	O Formal loss recovery plan
Federal Government fi-	agreed to
nancial assistance	8 No action taken
	4 Negotisting reimbursement
9. Status of fraud cases.	43 Declared ineligible for pro-
161 Olanad (Tankudan anna	gram participation under status claimed
261 Closed (Includes cases officially closed by	O Employee/enlistee diemissed;
the agency)	employment/enlistment de-
23 Open (Includes cases under	nied
investigation and cases in	a Other
which some action may have	20 Unknown
been taken but the cases	13. Type of legal action or prosecution
were not officially closed)	taken.
The following statistics are for	O Civil prosecution
cases officially closed by the	4 Criminal prosecution
agency.	o Civil and criminal prosecution o Fre-trial diversion
	O Court martial
10. Type of action taken against perpe-	
trator.	14. Outcome of civil proceedings.
110 Administrative action only	O Judgment for plaintiff O Judgment for the defendant
a Legal action only	O Judgment for the defendant O Unknown
Administrative and legal	
action 32 Unknown	15. Outcome of criminal prosecution.
16 No action taken - sus-	(Excludes court martials)
pect identified	mas valley,
O No action taken - sus-	o Acquittal
pect unknown	4 Conviction (guilty plea, nolo
	contendre)
11. Types of administrative action taken	O Other
against Federal amployees.	
	n/ In many some Federal secretor had
No action taken	a/ In many cases, Federal agencies took more than one type administrative
Federal employee dismissed	action against those who commit fraud
	or other illegal acts. All actions
	taken are recorded here.

Community Services Administration

-		_	
The	following statistics are for closed	5.	The period of time between occur-
	s and open cases where some admin-		rence and discovery of the fraud-
intr	ative or legal action was taken or		ulent activity.
			420
no a	ction was taken because no suspect		
	d be identified.		6 Less than 3 months
COUT	g be identified.		
			6 3 to 6 months
			the state of the s
			6 6 months to one year
_			
1.	Number of fraud or other illegal ac-		<u>13</u> 1 to 2 years
			21 More than 2 years
	tivity cases in the universe.		
	•		18 Unknown
	<u>70</u>		
	70		· · · · · · · · · · · · · · · · · · ·
		6.	How the alleged fraud was discov-
2.	Functional areas in which fraud		ered.
	occurred.		i
	occurred.		
			Routine audit, inspec-
	4 Procurement - award		tion, investigation,
	O Property disposition		or review
	n Daving 11		3 Specially requested
	3 Payroll		opocitii) requested
	35 Grants		audit, inspection, or
	2 Financial assistance to		re√iew
	individuals (excludes		Federal employee (know-
	loans)		ledge gained through
	O Enforcement (i.e. Customs,		work)
			=*
	IRS)		O Former federal employee
	O Provision of health care		CONCLUCTOR DELSONNET
	or social services		
	O Loans		cludes state or local
	LUGITO		
	O Loan guarantees		government personnel)
	10 Personnel		9 Former grantee personnel
	Yanan and and and and		
	2 Inventory control/proper-		
	ty management		former employee)
	o Mail service		1 Informant
	3 Cash control		Consumer complaint form
			0 Unsuccessful bidder
	6 Procurement - monitoring		O Unsuccessful bidder
	5 Travel		5 News media
	O Administrative services		4 Congressional Committee
	o Training and education		Member - Staff
			O Alleged victim
	o Personal property manage-		
	ment		Confession by perpetrator
	o Other		O Law enforcement investi-
			gation - state & local
	oUnknown		dactou - acace & local
			₄ Other
3.	Principal types of fraud		I Unknown
- •	rammapus cyproc or ramou		
	4 Healthouse		
	4 Work hour abuses	7.	Estimate of amount of loss incurred
	O Private use of government	1.	
			by Federal Government
	property		-,
	O Forgery		less than \$100
			2000 011011 \$100
	- NICROGERG/ DI 2000		4 \$101 - \$1,000
	8 False statements		
			<u>7</u> \$1,001 - \$10,000
	23 Non-performance of con-		1/ 410 001 4100
			16 \$10,001 - \$100,000
	tract terms		
	6 Theft		
	o inert		2 \$500,001 - \$1 million
	Extortion		
			Over \$1 million
	Food Stamp irregulari-		42 112222011
			21 Monetary loss - amount not
	ties		
	23 Miscellaneous fraud		available
	INTRCATTAMENTS I LEGO		
	and the second s		
		8.	Participants in the alleged fraudu-
	**	٠.	
4.	The period of time over which the		lent activity.
	alleged fraudulent activity took		
	place.		8 Federal Government employ-
	13 Less than 3 months		ees alone and with others
	3 to 6 months		State and local government
	and the Company of the Company		The second of th
	6 months to one year		employees
	n-min and district and analysis analysis and analysis analysis and analysis and analysis and analysis and analysis analysis analysis analysis analysis analysis analysis analy		
	More than 2 years		ee(s) personnel - other than
	nore chan z years		
	16 Unknown		state and local governments

	corporate recipient(8) of	Coderal amployee adaptingo
	Federal Government finan-	2 Federal employee issued
	cial assistance	warning letter
	Thorograph iscription (8) of	Federal employee issued
	Federal Government finan-	letter of counseling
	cial assistance	Leget at amprove regued
	Other individual citizens	oral warning
	Other corporate or business	Federal employee demoted
	entities (such as lending	o Federal employee trans-
	institutions)	ferred
	Unknown	1 Formal loss recovery plan
	State and local government	agreed to
	employees in collusion with	e Extra duty (military)
	individual recipient(s) of	Employee resigned pending
	Federal Government finan-	dismissal
	cial assistance	Other
	Federal contractor(s)/	2 Ynknown
	grantes(s) personnel -	
	(other than state and	 Types of administrative action taken
	local government(s))	against non-federal government or-i
	in collusion with in-	ganizations (contractors, corpora-
	dividual recipient(s)	tions, non-profit organizations,
	of Federal Government	state and local governments, etc.)
	financial assistance	or individuals. a/
		01 111011100151 9/
	corporate recipients of	o_ Suspended from doing busi-
	Federal Government fi-	ness with the Federal
	nancial assistance in	
	collusion with indivi-	Government
	dual recipient(s) of	O Debarred from doing busi-
	Federal Government fi-	ness with the Federal
	nancial assistance	Government
	Other corporate or busi-	8 Contract/grant cancelled
	ness entities (such as	17 Issued warning and they a-
		greed to take corrective
	lending institutions)	action
	in collusion with indi-	
	vidual recipient(s) of	
	Federal Government fi-	agreed to
	nancial assistance	5 No action taken
		3 Negotiating reimbursement
9.	Status of fraud cases.	O Declared ineligible for pro-
<i>,</i> .	000000 01 110000	gram participation under
	48 Closed (Includes cases	status claimed
	officially closed by	o Employee/enlistee dismissed;
		employment/enlistment de-
	the agency) 22 Onen (Includes cases under	nied
		1 Other
	investigation and cases in	7 Unknown
	which some action may have	/ OTINIOWII
	been taken but the cases	
	were not officially closed)	 Type of legal action or prosecution
	•	taken.
		•
	#-11	o Civil prosecution
Ine	following statistics are for	2 Criminal prosecution
CBB	es officially closed by the	Civil and criminal prosecution
age	ncy.	O Prc-trial diversion
		O Court martial
		COULT HIGH CIGI
10.	Type of action taken against perpe-	a. Ohann of adult annualisms
	trator.	14. Outcome of civil proceedings.
	· · · · · · · · · · · · · · · · · · ·	
	40 Administrative action only	O Judgment for plaintiff
		O Judgment for the defendant
		0 Unknown
	Administrative and legal	
	action	or Outcome of entwiced encountries
	<u>o</u> Unknown	15. Outcome of criminal prosecution.
	6 No action taken - sus-	(Excludes court martials)
	pect identified	
	O No action taken - sus-	O Acquittal
	pect unknown	2 Conviction (guilty plea, nolo
	Feer minimizer	contendre)
		Other
11.	Types of administrative action taken	
	against Federal employees. 8/	
	No action taken	a/ In many cases, Federal agencies took
	Federal employee dismissed	more than one type administrative
	rederat employee 018M15900	action against those who commit fraud
	•	or other illegal acts. All actions
	· ·	taken are recorded here.
		· · · · · · · · · · · · · · · · · ·

Department of Defense 1/

cas ist no	es and operative or	g statistics are for closed an cases where some admin- legal action was taken or a taken because no suspect ntified.	5	. The period of time between occurrence and discovery of the fraudulent activity. O Less than 3 months 3 to 6 months
1.	Number of tivity c	of fraud or other illegal acasses in the universe.		1 6 months to one year 1 1 to 2 years 2 More than 2 years Unknown
	<u>5</u>			
2.	Function occurred	al areas in which fraud ·	6.	ered.
		Payroll Grants Financial assistance to individuals (excludes loans)		Routine audit, inspection, investigation, or review Specially requested audit, inspection, or review Federal employee (know-ledge gained through
		Enforcement (i.e. Custome, IRS) Provision of health care or social services Loans		work) former federal employee Contractor personnel Grantee personnel (ex- cludes state or local
	0 1 0	Loan guarantees Personnel Inventory control/proper- ty management		government personnel) o Former grantee personnel Private individual (not former employee)
	0 0 0 0	Mail service Cash control Procurement - monitoring Travel		O Informant Consumer complaint form Unsuccessful bidder News media
	0.00	Administrative services Training and education Personal property manage- ment Other		Congressional Committee Member - Staff Alleged victim Confession by perpetrator Use of Orcement investi-
3.	0	Unknown types of fraud		O Lew enforcement investi- gation - state & local O Other O Unknown
	0 0	Work hour abuses Private use of government property Forgery	7.	Estimate of amount of loss incurred by Federal Government
	0 1 0	Kickbacks/Bribes False statements Non-performance of con- tract terms		0 Less than \$100 2 \$101 - \$1,000 1 \$1,001 - \$10,000 0 \$10,001 - \$100,000 0 \$100,001 - \$500,000
	0 0	Extortion Food Stamp irregulari- ties Miscellaneous fraud		5500,001 - \$1 million O Over \$1 million A Monetary loss - amount not available
4.	The period alleged a	d of time over which the fraudulent activity took	8.	Participants in the alleged fraudu- lent activity. 2 Federal Government employ-
	3 1 0 1 0 1 0	Less than 3 months 3 to 6 months 6 months to one year 1 to 2 years More than 2 years Unknown		2 Federal Government employ- ees alone and with others 5 State and local government employees 0 Federal contractor(s)/grant- ee(s) personnel - other than state and local governments

1/These cases were investigated by the Defense Investigative Service and involved activities under the direct responsibility of DOD rather than an individual service.

Federal Government finan-	O Federal employee issued
cial assistance	warning latter
Individual recipient(s) of federal Government finan-	o Federal employee issued
cial assistance	letter of counseling o Federal employee issued
2 Other individual citizens	oral warning
Other corporate or business	o Federal employee demoted
entities (such as lending	o federal employee trans-
institutions)	ferred
O Unknown O State and local government	o Formal loss recovery plan
employees in collusion with	agreed to
individual recipient(s) of	Extra duty (military) Employee resigned pending
Federal Covernment'finan-	dismissal
cial assistance	o Other
Federal contractor(s)/	<u>a</u> Unknown
grantee(s) personnel -	10 7
(other than state end	12. Types of administrative action taken against non-Federal government or
local government(s)) in collusion with in-	ganizations (contractors, corpora-
dividual recipient(a)	tions, non-profit organizations.
of federal Government	state and local governments, etc.)
financial assistance	or individuals. a/
Corporate recipients of	o Suspended from doing busi-
Federal Government fi- nancial assistance in	ness with the Federal
collusion with indivi-	Government
dual recipient(s) of	Debarred from doing busi-
Federal Government fi-	ness with the federal
nancial assistance	Government
O Other corporate or busi-	O Contract/grant cancelled
ness entities (such as	O Issued warning and they a-
lending institutions)	greed to take corrective
in collusion with indi-	action 2 Formal loss recovery plan
viduel recipient(s) of Federal Covernment fi-	agreed to
nancial assistance	O No action taken
,	O Negotieting reimbursement
9. Status of fraud cases.	O Declared ineligible for pro-
	gram participation under
4 Closed (Includes cases	status claimed O Employee/enlistee diamissed;
officially closed by	Employee/enlistee diamiséed; employment/enlistment de-
the agency) 1Open (includes cases under	nied
investigation and cases in	o Other
which some action may have	<u> </u>
been taken but the cases	17 Y
were not officially closed)	 Type of legal action or prosecution taken.
The following statistics are for	O Civil prosecution
cases officially closed by the	o Criminal prosecution
agency.	O Civil and criminal prosecution
	o Pre-trial diversion
	o Court martial
10. Type of action taken against perpetrator.	14. Outcome of civil proceedings.
trator.	14. Gaccomo di Divil pideoddingoi
3 Administrative action only	o Judgment for plaintiff
	O Judgment for the defendant
O Administrative and legal	O Unknown
action	
Unknown	15. Outcome of criminal prosecution.
No action taken - sus-	(Excludes court martials)
pect identified No action taken - sus-	o_Acquittal
pect unknown	O Conviction (quilty plea, nolo
harr diminal	contendre)
15 Tunne of a total and a con-	O Other
11. Types of administrative action taken	
against Federal employees.	
O No setion taken	g/ In many cases, Federal agencies took
	more than one type administrative
	action against those who commit fraud
	or other illegal acts. All actions
	taken are recorded here.

Defense Logistics Agency

The following statistics are for closed cases and open cases where some admin- istrative or legal action was taken or no action was taken because no suspect		5. The period of time between occur- rence and discovery of the fraud- ulent activity.		
coul	Number of fraud or other illegal activity cases in the universe.		14 Less than 3 months 14 6 months to one year 1 to 2 years 44 More than 2 years	
	4 2 0		27 Unknown	
2.	639 Functional areas in which fraud occurred.	6.	How the alleged fraud was discovered.	
	Procurement - award Property disposition Payroll Grants Financial assistance to individuals (excludes loans) Enforcement (i.e. Customs, IRS) Provision of health care or social services Loans		38 Routine audit, inspection, investigation, or review 30 Specially requested audit, inspection, or review 429 Federal employee (knowledge gained through work) 52 Contractor personnel Grantee personnel (excludes state or local	
	Loan guarantees Personnel Inventory control/property management Mail service		government personnel) Former grantee personnel Private individual (not former employee) Informant	
	5 Cash control 141 Procurement - monitoring 6 Travel 3 Administrative services 7 Training and education 9 Personal property management 0 Other 0 Unknown		Consumer complaint form Unsuccessful bidder News media Congressional Committee Member - Staff Alleged victim Confession by perpetrator Law enforcement investigation - state & local Other	
3.	Principal types of fraud 6 Work hour abuses Private use of government	7.		
	property Offorgery Kickbacks/Bribes False statements As Non-performance of contract terms Theft Literal Extortion Offood Stamp irregularities Miscellaneous fraud		by Federal Government 125	
4.	The period of time over which the alleged fraudulent activity took	8.	Participants in the alleged fraudu- lent activity.	
	place. AR4 Less than 3 months 30 3 to 6 months 19 6 months to one year 1 to 2 years More than 2 years		131 Federal Covernment employ- ees alone and with others State and local government employees 100 Federal contractor(s)/grant- ee(s) personnel - other tha	

Federal Covernment finan-	
cial assistance	warning letter
O Individual recipient(s) of Federal Government finan-	o federal employee issued
cial assistance	letter of counseling 6 Federal employee issued
25 Other individual citizens	oral warning
O Other corporate or business	5 Federal employee demoted
entities (such as lending	8 federal employee trans-
institutions)	ferred
employees in collusion with	agreed to Extra duty (military)
individual recipient(a) of	O Employee resigned pending
Federal Covernment finan-	dismissal
cial assistance	o Other
	11 Unknown
grantee(s) personnel - (other than state and	12. Types of administrative action taken
local government(s))	against non-Federal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations.
of Federal Government	state and local governments, etc.)
financial assistance	or individuals. <u>a</u> /
O Corporate recipients of Federal Government fi-	3 Suspended from doing busi-
nancial assistance in	ness with the Federal
collusion with indivi-	Government
dual recipient(s) of	25 Debarred from doing busi-
Federal Government fi-	ness with the Federal
nancial assistance	Government
Other corporate or busi-	6 Contract/grant cancelled 14 Issued warning and they a-
ness entities (such as lending institutions)	14 Issued warning and they a- greed to take corrective
in collusion with indi-	action
vidual recipient(s) of	44 Formal loss recovery plan
Federal Government fi-	agreed to
nancial essistance	74 No action taken
	O Negotiating reimbursement O Declared ineligible for pro-
9. Status of fraud cases.	Declared ineligible for pro- gram participation under
630 Closed (Includes cases	status claimed
officially closed by	Employee/enlistee dismissed;
the agency)	employment/enlistment de-
9 Open (Includes cases under	nied
investigation and cases in	3 Other 14 Unknown
which some action may have been taken but the cases	14 CHRICOTT
were not officially closed)	13. Type of legal action or prosecution
, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	taken.
	o Civil propertion
The following statistics are for	Criminal prosecution Criminal prosecution
cases officially closed by the	O Civil and criminal prosecutio
agency.	O Pro-trial diversion
	5 Court martial
10. Type of action taken against perpe-	16 Outroom of sivil approachings
trator.	14. Outcome of civil proceedings.
and Admitutes as a	Q Judgment for plaintiff
	O Judgment for the defendant
30 Administrative and legal	<u>o</u> Unknown
action	
36 Unknown	15, Outcome of criminal prosecution.
61 No action taken - sus-	(Excludes court martials)
pect identified Je 2 No action taken - sug-	3 Acquittal
382 No action taken - sus-	3 Acquittal 44 Conviction (quilty plea, nolo
harr diminal.	contendre)
11 Tunn of while the second	Other
11. Types of administrative action taken	
against Federal employees. g/	
41 No action taken	u/ In many cases, Federal agencies took
14 Federal employee dismissed	more than one type administrative
	action against those who commit fraud or other illegal acts. All actions
	taken are recorded here.
	Candit Bio Cocos and Intel

Department of the Army

cus ist	es and ope rative or	statistics are for closed n cases where some admin- legal action was taken or taken because no suspect	5,		iod of time between occur- nd discovery of the fraud- ctivity.
	ld be iden			14,464	
1.	Number of tivity ca	fraud or other illegal ac~ ases in the universe.		322 40 119 164 124	6 months to one year 1 to 2 years More than 2 years
	<u>15</u>	<u>.941</u> _	6.	How the	alland Canad was disass
2.	Functions occurred.	al areas in which fraud	υ.	ered.	alleged fraud was discov-
	13 196 422	Procurement - award Property disposition Payroll		288	tion, investigation, or review
	0	Grants Financial assistance to individuals (excludes		6.974	audit, inspection, or review
		loans) Enforcement (i.e. Customs, IRS)			ledge gained through work) Former federal employee
		Provision of health care or social services Loans		<u>0</u>	Contractor personnel Grantee personnel (ex- cludes state or local
	0 594 6.182	Loan guarantees Personnel Inventory control/proper-		<u>0</u> 587	Private individual (not
	98 423	ty management Mail service Cash control			Consumer complaint form
	137 494 39	Procurement - monitoring Travel Administrative services Training and education		0 0 0	News media Congressional Committee
	6.539	Personal property manage- ment Other		6,951 79	Confession by perpetrator
3.	85	Unknown types of fraud		209 275 79	gation - state & local
	119	Work hour abuses	7		of amount of loss incurred
	<u>85</u> <u>428</u>	Private use of government property Forgery	,•	by Federa	al Government
	92 1.125 26	Kickbacks/Bribes False statements Non-performance of con- tract terms		1.570 5.016 1.630 53	\$10,001 - \$100,000
	12,932	Theft Extortion		<u>0</u>	\$100,001 - \$500,000 \$500,001 - \$1 million Over \$1 million
	1 108	Food Stamp irregulari- ties Miscellaneous fraud		705	Monetary loss - amount not available
٠.	alleged (d of time over which the Traudulent activity took	8.	lent acti	•
	place. 14.873 386	Less than 3 months 3 to 6 months		5,530	
	230 66 151 235	6 months to one year 1 to 2 years More than 2 years Unknown		39	employees Federal contractor(s)/grant- ee(s) personnel - other than state and local governments

Corporate recipient(8) of	541 rederal employee suspended
Federal Government finan-	465 Federal employee issued
cial assistance	warning letter
26 Individual recipient(s) of	79 Federal employee issued
Føderal Government finan-	letter of counseling
cial essistance	412 Federal employee issued
412 Other individual citizens	oral warning
85 Other corporate or business	1,266 Federal employee demoted
entities (such as lending	220 Federal employee democed
institutions)	328 federal employee trans-
	ferred
9.845 Unknown	1.599 Formal loss recovery plan
State and local government	agreed to
employees in collusion with	809 Extra duty (military)
individual recipient(a) of	O Employee resigned pending
Federal Government finan-	
cial assistance	diamissal
Federal contractor(a)/	359 Unknown
grantee(s) personnel -	
(other than state and	12. Types of administrative action taken
local government(a))	against non-federal government or-
in collusion with in-	ganizations (contractors, corpora-
	tions on serit security torpura
dividual recipient(s)	tions, non-profit organizations,
of Federal Government	state and local governments, etc.)
financial assistance	or individuals. s/
O Corporate recipients of	
Federal Government fi-	O Suspended from doing busi-
nancial assistance in	ness with the Federal
	Covernment
collusion with indivi-	
dual recipient(a) of	O Debarred from doing busi-
Federal Covernment fi-	ness with the Federal
nancial assistance	Government
Other corporate or busi-	O Contract/grant cancelled
The state of the s	13 Issued warning and they a-
ness entities (such as	
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(a) of	98 Formal loss recovery plan
federal Government fi-	agreed to
nancial assistance	549 No action taken
HENCYGY GRANGE KER	
9. Status of fraud cases.	13 Declared ineligible for pro-
	gram participation under
25,843 Closed (Includes cases	status claimed
officially closed by	211 Employee/enlistee dismissed;
	employment/enlistment de-
the agency)	nied
98 Open (Includes cases under	
investigation and cases in	53 Other
which some action may have	288 Unknown
been taken but the cases	
were not officially closed)	13. Type of legal action or prosecution
age inc directly cibbon,	taken.
•	Carrott
	A Chill consequence
The following statistics are for	O Civil prosecution
cases officially closed by the	333 Criminal prosecution
	O Civil and criminal prosecution
agency.	O Pre-trial diversion
	698 Court martial
10 7	Tanada Ta
10. Type of action taken against perpe-	14 Outcome of mintsdis
trator.	14. Outcome of civil proceedings.
3.329 Administrative action only	O Judgment for plaintiff
	O Judgment for the defendant
	0 Unknown
750 Administrative and legal	
action	i de la companya de
280 Unknown	15. Outcome of criminal prosecution.
1.456 No action taken - sus-	(Excludes court martials)
pect identified	
	and formulate 2
9,747 No action taken - sus-	Acquittal
pect unknown	848 Conviction (guilty plea, nolo
	contendre)
	o Other
11. Types of administrative action taken	
against Federal employees.	· ·
	1
1 420 No notion believe	a/ In many cases, Federal agencies took
1,429 No action taken	more than one type administrative
973 Federal employee dismissed	note chart one cype duminization and
	action against those who commit fraud
	or other illegal acts. All actions
	taken are recorded have

Department of the Navy

case ist: no e	following statiatics are for losed es and open cases where some admin- rative or legal action was taken or action was taken because no suspect	5. The period of time between occur- rence and discovery of the fraud- ulent activity.
coul	ld be identified.	15,343 Less than 3 months 848 3 to 6 months 363 6 months to one year
1.	Number of fraud or other illegal ac- tivity cases in the universe.	138 More than 2 years 630 Unknown
2.	17,799 Functional areas in which fraud occurred.	6. How the alleged fraud was discovered.
		773 Routine audit, inspection, investigation, or review 276 Specially requested audit, inspection, or review 9.601 Federal employee (know-
	O Enforcement (i.e. Customs, IRS) 28 Provision of health care or social services	ledge gained through work) 55 Former federal employee 216 Contractor personnel Grantee personnel (ex-
	Loan guarantees 1.282 Personnel 10.109 Inventory control/property management	cludes state or local government personnel) or Former grantee personnel 950 Private individual (not former employee)
	28 Mail service 607 Cash control 114 Procurement - monitoring 331 Travel 138 Administrative services	769 Informant Consumer complaint form Unsuccessful bidder News media Congressional Committee
	7.56 Training and education Personal property management Other	Member - Staff 3,023 Alleged victim 276 Confession by perpetrator Law enforcement investi-
3.	55 Unknown Principal types of fraud	gation - state & local 1,135 Other 398 Unknown
	253 Work hour abuses 111 Private use of government property	Estimate of amount of loss incurred by Federal Government
	532 Forgery 28 Kickbacks/Bribes 1.324 False statements 55 Non-performance of contract terms 13.385 Theft	1,982 Less than \$100 6,846 \$101 - \$1,000 2,124 \$1,001 - \$10,000 288 \$10,001 - \$100,000 0 \$100,001 - \$500,000
•	87 Extortion O Food Stamp irregularities 2,026 Miscellaneous fraud	
i. '	The period of time over which the alleged fraudulent activity took place.	8. Participants in the alleged fraudulent activity.
	15.844 Less than 3 months 674 3 to 6 months 367 6 months to one year 256 1 to 2 years 142 More than 2 years Unknown	6,924 Federal Government employees alone and with others State and local government employees 55 Federal contractor(s)/grantee(s) personnel - other than state and local governments

Federul Covernment finan-	
	o federal employee issued
cial assistance	warning letter
Individual recipient(s) of	o Federal employee issued
federal Covernment finan-	letter of counseling
cial assistance	28 Federal employee issued
1.206 Other individual citizens	oral wurning
o Other corporate or business	
	83 Federal employee demoted
entities (auch as lending	114 Federal employee trans-
institutions)	ferred
9.585 Unknown	_2.187 Formal loss recovery plan
o State and local government	agreed to
employees in collusion with	Extra duty (military)
individual recipient(a) of	
Federal Government finan-	
cial assistance	diamissal
	<u>87</u> Other
O Federal contractor(s)/	2.062 Unknown
grantee(s) personnel -	
(other than state and	12. Types of administrative action taken
local government(s))	against non-federal government or-
in collusion with in-	ganizations (contractors, corpora-
	tions, non-profit organizations,
dividual recipient(s)	cions, non-profit organizations,
of Federal Government	state and local governments, etc.)
financial assistance	or individuala. <u>a</u> /
o Corporate recipients of	O Supposed for dain to a
Federal Government fi-	O Suspended from doing busi-
nancial assistance in	ness with the federal
collusion with indivi-	Covernment
dual recipient(s) of	O Debarred from doing busi-
federal Government fi-	ness with the Federal
nancial assistance	Government
0 Other corporate or busi-	O Contract/grant cancelled
ness entities (such as	28 Issued warning and they a-
	greed to take corrective
lending institutions)	•
in collusion with indi-	action
<pre>vidual recipient(s) of</pre>	229 Formal loss recovery plan
Federal Government fi-	agreed to
nancial assistance	307 No action taken
	O Negotiating reimbursement
9. Status of fraud cases.	O Declared ineligible for pro-
y. Status of fraud twees.	gram participation under
	etatus claimed
16,881 Closed (Includes cases	
officially closed by	55 Employee/enlistee dismissed;
	55 Employee/enlistee dismissed; employment/enlistment de-
officially closed by the agency)	55 Employee/enlistee dismissed;
officially closed by the agency) 918 Open (Includes cases under	55 Employee/enlistee dismissed; employment/enlistment de-
officially closed by the agency) 918 Open (Includes cases under investigation and cases in	55 Employee/enlistee dismissed; employment/enlistment de- nied 142 Other
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have	55 Employee/enlistee dismissed; employment/enlistment de- nied
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases	55 Employee/enlistee dismissed; employment/enlistment de- nied 142 Other 1,041 Unknown
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have	55 Employee/enlistee dismissed; employment/enlistment denied Other 1,041 Unknown 13. Type of legal action or prosecution
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases	55 Employee/enlistee dismissed; employment/enlistment de- nied 142 Other 1,041 Unknown
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases	255 Employee/enlistee dismissed; employment/enlistment denied 142 Other 1,041 Unknown 13. Type of legal action or prosecution taken.
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)	255 Employee/enlistee dismissed; employment/enlistment denied Other Unknown 13. Type of legal action or prosecution taken. O Civil prosecution
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for	255 Employee/enlistee dismissed; employment/enlistment denied 142 Other 1,041 Unknown 13. Type of legal action or prosecution taken.
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the	55 Employee/enlistee dismissed; employment/enlistment de- nied 142 0ther Unknown 13. Type of legal action or prosecution taken. Civil prosecution 284 Criminal prosecution
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for	55 Employee/enlistee dismissed; employment/enlistment denied Other 1,041 Unknown 13. Type of legal action or prosecution taken. Civil prosecution 284 Criminal prosecution Civil and criminal prosecution
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the	55 Employee/enlistee dismissed; employment/enlistment denied Other 1,041 Unknown 13. Type of legal action or prosecution taken. Civil prosecution Criminal prosecution Civil and criminal prosecution Pro-triul diversion
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency.	55 Employee/enlistee dismissed; employment/enlistment denied Other 1,041 Unknown 13. Type of legal action or prosecution taken. Civil prosecution 284 Criminal prosecution Civil and criminal prosecution
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe-	284 Criminal prosecution Civil prosecution Civil and criminal prosecution 287 Court Martial
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency.	55 Employee/enlistee dismissed; employment/enlistment denied Other 1,041 Unknown 13. Type of legal action or prosecution taken. Civil prosecution Criminal prosecution Civil and criminal prosecution Pro-triul diversion
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe-	284 Criminal prosecution Civil prosecution Civil and criminal prosecution Civil proceedings.
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator.	55 Employee/enlistee dismissed; employment/enlistment denied 142 Other 1,041 Unknown
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 5,321 Administrative action only	55 Employee/enlistee dismissed; employment/enlistment denied 142 Other 1,041 Unknown
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 5,321 Administrative action only legal action only	55 Employee/enlistee dismissed; employment/enlistment denied 142 Other 1,041 Unknown
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 5,321 Administrative action only legal action only Administrative and legal	55 Employee/enlistee dismissed; employment/enlistment denied 142 Other 1,041 Unknown
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 5,321 Administrative action only iegal action only Administrative and legal action	284 Criminal prosecution 284 Criminal prosecution 284 Criminal prosecution 285 Court martial 14. Outcome of civil proceedings.
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 5,321 Administrative action only legal action only Administrative and legal	55 Employee/enlistee dismissed; employment/enlistment denied 142 Other 1,041 Unknown
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 5,321 Administrative action only legal action only Administrative and legal action Unknown	284 Criminal prosecution 284 Criminal prosecution 284 Criminal prosecution 285 Court martial 14. Outcome of civil proceedings.
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator. 5,321 Administrative action only legal action only Administrative and legal action 757 Unknown No action taken - sus-	284 Civil prosecution Q Civil prosecution Civil and criminal prosecution Civil and criminal prosecution Court martial 14. Dutcome of civil proceedings. Q Judgment for plaintiff Q Judgment for the defendant Unknown 15. Outcome of criminal prosecution.
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator. 5,321 Administrative action only legal action only 339 Administrative and legal action 757 Unknown No action taken - sus- pect identified	S5 Employee/enlistee dismissed; employment/enlistment denied 142 Other 1,041 Unknown
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 5,321 Administrative action only legal action only legal action only 339 Administrative and legal action 757 Unknown 1,455 No action taken - suspect identified 9,009 No action taken - suspect	S5 Employee/enlistee dismissed; employment/enlistment denied Other 1,041 Unknown
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator. 5,321 Administrative action only legal action only 339 Administrative and legal action 757 Unknown No action taken - sus- pect identified	242 Other Unknown
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpetrator. 5,321 Administrative action only legal action only legal action only 339 Administrative and legal action 757 Unknown 1,455 No action taken - suspect identified 9,009 No action taken - suspect	S5 Employee/enlistee dismissed; employment/enlistment denied 142 Other Unknown
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator. 5,321 Administrative action only legal action only 339 Administrative and legal action 1,455 No action taken - sus- pect identified 9,009 No action taken - sus- pect unknown	S5 Employee/enlistee dismissed; employment/enlistment denied 142 Other Unknown
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator. 5,321 Administrative action only iegal action only 339 Administrative and legal action 757 Unknown 1,455 No action taken - sus- pect identified 9,009 No action taken - sus- pect unknown 11. Types of administrative action taken	242 Other Unknown
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator. 5,321 Administrative action only iegal action only 339 Administrative and legal action 757 Unknown 1,455 No action taken - sus- pect identified 9,009 No action taken - sus- pect unknown 11. Types of administrative action taken	S5 Employee/enlistee dismissed; employment/enlistment denied 142 Other Unknown
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator. 5,321 Administrative action only legal action only 339 Administrative and legal action 1,455 No action taken - sus- pect identified 9,009 No action taken - sus- pect unknown	Standard Standard
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator. 5,321 Administrative action only iegal action only 239 Administrative and legal action 757 Unknown 1,455 No action taken - sus- pect identified 9,009 No action taken - sus- pect unknown 11. Types of administrative action taken against Federal employees. g/	Standard Standard
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator. 5,321 Administrative action only legal action only 339 Administrative and legal action 757 Unknown No action taken - sus- pect identified 9,009 No action taken - sus- pect unknown 11. Types of administrative action taken against Federal employees. g/ 1,399 No action taken	242 Other Unknown
officially closed by the agency) 918 Open (includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator. 5,321 Administrative action only legal action only 1.455 No action taken - sus- pect identified 9,009 No action taken - sus- pect unknown 11. Types of administrative action taken against Federal employees. g/	242 Other Unknown
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator. 5,321 Administrative action only legal action only 339 Administrative and legal action 757 Unknown No action taken - sus- pect identified 9,009 No action taken - sus- pect unknown 11. Types of administrative action taken against Federal employees. g/ 1,399 No action taken	S5 Employee/enlistee dismissed; employment/enlistment denied 142 Other Unknown
officially closed by the agency) 918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed) The following statistics are for cases officially closed by the agency. 10. Type of action taken against perpe- trator. 5,321 Administrative action only legal action only 339 Administrative and legal action 757 Unknown No action taken - sus- pect identified 9,009 No action taken - sus- pect unknown 11. Types of administrative action taken against Federal employees. g/ 1,399 No action taken	242 Other Unknown

Department of Energy

case ist: no a	following statistics are for closed es and open cases where some admin- rative or legal action was taken or action was taken because no suspect ld be identified.	5. The period of time between occur- rence and discovery of the fraud- ulent activity.
1.		409 Less than 3 months 14 3 to 6 months 30 6 months to one year 401 1 to 2 years 13 More than 2 years Unknown
2.	624 Functional areas in which fraud occurred.	6. How the alleged fraud was discovered.
	Procurement - award Property disposition Payroll Grants Financial assistance to individuals (excludes loans) Enforcement (i.e. Customs, IRS) Provision of health care	37 Routine audit, inspection, investigation, or review 10 Specially requested audit, inspection, or review 52 Federal employee (know-ledge gained through work) 2 Former federal employee Contractor personnel
	or social services Loans Loan guarantees Personnel Inventory control/property management	Grantee personnel (excludes state or local government personnel) Original Former grantee personnel Private individual (not former employee)
	O Mail service Cash control 14 Procurement - monitoring I ravel Administrative services Training and education Personal property management Other	23 Informent Consumer complaint form Unsuccessful bidder News media Congressional Committee Member - Staff Alleged victim Confession by perpetrator
3.	2 Unknown	O Law enforcement investi- gation - state & local Other Unknown
	20 Work hour abuses 19 Private use of government property	7. Estimate of amount of loss incurred by Federal Government
	forgery 15 Kickbacks/Bribes 34 False statements 6 Non-performance of contract terms 489 Theft 5 Extortion Food Stamp irregularities 30 Miscellaneous fraud	174
4.	The period of time over which the alleged fraudulent activity took	8. Participants in the alleged fraudu- lent activity.
	place. 495 Less than 3 months 3 to 6 months 24 6 months to one year 1 to 2 years 14 More than 2 years Unknown	51 Federal Government employees *lone and with others 5 State and local government employees 107 Federal contractor(s)/grantee(s) personnel - other than that a state and local governments

		Corporate recipient(8) of		Legeral employee snepended
		federal Covernment finan- cial assistance		4 federal employee issued
	0	Individual recipient(s) of		warning letter 3 Federal employee issued
		Federal Government finan-		letter of counseling
		cial essistance		5 federal employes issued
	6	Other individual citizens		oral warning
	3	Other corporate or business		
		entities (such as lending		o Federal employee trans-
		institutions)		ferred
	457	Unknown		g Formal loss recovery plan
	0	State and local government		agreed to
		employees in collusion with		Extra duty (military)
		individual recipient(s) of		2 Employee resigned pending
		Federal Covernment'finan-		dismissal
		cial assistance		2 Other
	0	Federal contractor(a)/		7 Unknown
		grantes(s) personnel -	•	O I IN I OUT
		(other than state and	1	12. Types of administrative action taken
		local government(a))	•	against non-federal government or-
		in collusion with in-		ganizations (contractors, corpora-
		dividual recipient(s)		tions, non-profit organizations,
		of federal Government		state and local governments, etc.)
		financial assistance		
	•			or individuals. a/
		Corporate recipients of Federal Covernment fi-		O Suspended from doing busi-
				ness with the federal
		nancial assistance in		Covernment
		collusion with indivi-		
		dual recipient(s) of		O Debarred from doing busi-
		Federal Government fi-		ness with the Federal
		nancial assistance		Government
		Other corporate or busi-		3 Contract/grant cancelled
		ness entities (such as		1 Issued warning and they a-
		lending institutions)		greed to take corrective
		in collusion with indi-		action
		vidual recipient(s) of		5 formal loss recovery plan;
		Federal Government fi-		agreed to
		nencial essistance		115 No action taken
				O Negotisting reimbursement
),	Status of	fraud cases.		O Declared ineligible for pro-
		•		gram participation under
	607	Closed (Includes cases		status claimed
		officially closed by		<pre>O Employes/enlistes dismissed;</pre>
		the agency)		employment/enlistment de-
		Open (Includes cases under		nied
		investigation and cases in		2 Other
		which some action may have		
		been taken but the cases		
		were not officially closed)	1	Type of legal action or prosecution
				taken.
h	. Fallowi	ng statistics are for		o Civil prosecution
		ially closed by the		22 Criminal prosecution
		isily closed by the		O Civil and criminal prosecution
y.	incy.			1 Pro-trial diversion
				O Court martial
n.	Type of a	tion taken against perpe-		
٠.	trator.	reven edutuer barbe-	14	4. Outcome of civil proceedings.
	cracor.			
		Administration to the control of the		O Judgment for plaintiff
		Administrative action only		O Judgment for the defendant
		Legal action only		0 Unknown
		Administrative and legal		
		action		
		Unknown	15	5. Outcome of criminal prosecution.
		No action taken - sus-		(Excludes court martials)
		pect identified		
	446	No action taken - sus-		o Acquittal
		pect unknown		22 Conviction (guilty plea, nolo
				contendre)
	Tunna	malandan dan kana da		o Other
١.	TOP TOP	Administrative action taken		
	against f	ederal employees.		
		_	<u>a</u> /	In many cases, federal agencies took
		No action taken	₽/	more than one type administrative
		Foderal employee dismissed		action against those who commit fraud
		·		
				or other illegal acts. All actions
				taken are recorded here.

Environmental Protection Agency

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect		5.	The period of time between occur- rence and discovery of the fraud- ulent activity.
1.	ld be identified. Number of fraud or other illegal ac-		55 Less than 3 months 14 3 to 6 months 11 6 months to one year 1 to 2 years
	tivity cases in the universe.		19 More than 2 years Unknown
	140	6.	How the alleged fraud was discov-
2.	Functional areas in which fraud occurred.	0.	ered.
			16 Routine audit, inspec-
	14 Procurement - award		tion, investigation,
	Property disposition		or review
	16 Payroll		16 Specially requested
	3 Grants		audit, inspection, or
	3 Financial assistance to		review
	individuals (excludes		
	loans)		71 Federal employee (know- ledge gained through
	11 Enforcement (i.e. Customs,		work)
	IRS)		o Former federal employee
	O Provision of health care		3 Contractor personnel
	or social services		O Grantee personnel (ex-
	O Loans		cludes state or local
	O Loan quarantees		government personnel)
	O Personnel		
	19 Inventory control/proper-		Private individual (not
	ty management		former employee)
	O Mail service		14 Informant
	3 Cash control		O Consumer complaint form
	27 Procurement - monitoring		0 Unsuccessful bidder
	38 Travel		O News media
	Administrative services		Congressional Committee
	O Training and education		Member - Staff
	Personal property manage-		O Alleged victim
	ment		Confession by perpetrator
	o Other		O Law enforcement investi-
	3 Unknown		gation - state & local
			6 Other
3.	Principal types of fraud		3 Unknown
	11 Work hour abuses	7.	Estimate of amount of loss incurred
	19 Private use of government	• •	by Federal Government
	property		,
	O Forgery		8 Less than \$100
	14 Kickbacks/Bribes		27 \$101 - \$1,000
	52 False statements		16 \$1,001 - \$10,000
	8 Non-performance of con-		11 \$10,001 - \$100,000
	tract terms		3 \$100,001 - \$500,000
	16 Theft		3 \$500,001 - \$1 million
	O Extortion		Over \$1 million
	O Food Stamp irregulari-		27 Monetary loss - amount not
	ties		available
	19 Miscellaneous fraud		
		8.	Participants in the alleged fraudu-
4.	The period of time over which the		lent activity.
	alleged fraudulent activity took		
	place.		66 Federal Covernment employ-
	69 Less than 3 months		ees alone and with others
	8 3 to 6 months		33 State and local government
	11 6 months to one year		employees
	11 1 to 2 years		36 Federal contractor(s)/grant-
	25 More than 2 years		ee(s) personnel - other than
	76 Holoowo		state and local squarements

Federal Government finan-	5 Federal employee issued
cial assistance	warning letter
O Individual recipient(a) of	o federal employee issued
Federal Government finan-	letter of counseling
cial essistance	14 Federal employee issued
5 Other individual citizens	oral warning
Other corporate or business	o federal employee demoted
entities (auch as lending	o Federal employee trans-
institutions) a Unknown	ferred
o State and local government employees in collusion with	agreed to O Extra duty (military)
individual recipient(s) of	2 Employee resigned pending
Federal Government finan-	dismissal
cial essistance	a Other
<pre>g federal contractor(s)/</pre>	5 Unknown
grantee(s) personnel -	
(other than state and	12. Types of administrative action taken
local government(s))	against non-Federal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations
of Federal Government	state and local governments, etc.) or individuals. a/
financial assistance of Corporate recipients of	
Federal Government fi-	o Suspended from doing busi-
nancial assistance in	ness with the federal
collusion with indivi-	Government
dual recipient(s) of	O Debarred from doing busi-
Federal Government fi-	ness with the Federal
nancial assistance	Government
Other corporate or busi-	O Contract/grant cancelled
ness entities (auch as	5 Issued warning and they a-
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(s) of	25 Formal loss recovery plan
Federal Government fi-	agreed to
nancial assistance	30 No action taken Negotiating reimbursement
	O Declared ineligible for pro-
9. Status of fraud cases.	gram participation under
126 Clased (Includes seems	atatus claimed
126 Closed (Includes cases officially closed by	O Employes/enlistee diaminsed;
the agency)	employment/enlistment de-
14 Open (Includes cases under	pied
investigation and cases in	5 Other
which some action may have	8 Unknown
been taken but the cases	
were not officially closed)	13. Type of legal action or prosecution
	taken.
	3 Civil prosecution
The following statistics are for	11 Criminal prosecution
cases officially closed by the	O Civil and criminal prosecution
agency.	3 Pre-trial diversion
	Q Court mertial
10. Type of action taken against perpe-	
trator.	14. Outcome of civil proceedings.
Cracor.	
82 Administrative action only	O Judgment for plaintiff
21 Legal action only	O Judgment for the defendant
5 Administrative and legal	3 Unknown
action	
o Unknown	15. Outcome of criminal prosecution.
27 No action taken - sus-	(Excludes court martials)
pect identified	
O No action taken - sus-	o Acquittal
pect unknown	8 Conviction (guilty plea, nolo
	contendre)
11. Types of administrative action taken	3 Other
against Federal employees. g/	
	<u> </u>
	a/ In many cases, federal agencies took
5 Federal employee dinmissed	more than one type administrative
	action against those who commit fraud
	or other illegal acts. All actions
	TARRES AFE CHICICALL SECTION

General Services Administration

case istr	a and oper	statistics are for closed cases where some admin- legal action was taken or	5.	•		of time between occur- liscovery of the fraud- ity.
		taken because no suspect				At 7
cont	d be ident	ified.				ess than 3 months
						to 6 months
						months to one year
1.	Number of	fraud or other illegal ac-				to 2 years
	tivity ca	ses in the universe.			44 M	fore than 2 years
	,				<u>84</u> U	Inknown
	1,1	26				
			6.		How the all	eged fraud was discov-
2.	Functiona	l areas in which fraud			ered.	
	occurred.					
					64 R	outine audit, inspec-
	38	Procurement - award				tion, investigation.
	17	Property disposition				or review
	27					
		Payroll				pecially requested
		Grants				audit, inspection, or
	1	Financial assistance to				review
		individuals (excludes				ederal employee (know-
		loans)				ledge gained through
	1	Enforcement (i.e. Customs,			•	work)
		IRS)			9 F	ormer federal employee
	0	Provision of health care				ontractor personnel
		or social services				rantee personnel (ex-
	•	Loans				cludes state or local
						qovernment personnel)
		Loan guarantees				
	5	Personnel				ormer grantee personnel
	899	Inventory control/proper-				rivate individual (not
		ty management			1	former employee)
	5	Mail service			19 II	nformant
	6	Cash control			O Co	onsumer complaint form
	110	Procurement - monitoring				nsuccessful bidder
	4	Travel				ews media
	13	Administrative services				ongressional Committee
	- 13					Member - Staff
		Training and education				
	0	Personal property manage-				lleged victim
		ment				onfession by perpetrator
	0	Other				aw enforcement investi-
		Unknown				gation - state & local
					31 0	ther
3.	Principal	types of fraud			57 U	nknown
		0,000 0, 1100				
	13	Work hour abuses				
	45	Private use of government	7.			amount of loss incurred
					by Federal (Government
	•	property				
	9	Forgery			127 L	ess than \$100
	42	Kickbacks/Bribes				101 - \$1,000
	38	False statements				1,001 - \$10,000
	21	Non-performance of con-			33 \$	10,001 - \$100,000
		tract terms				100,001 - \$500,000
	907	Theft				500,001 - \$1 million
	2	Extortion				
	0	Food Stamp irregulari-				ver \$1 million
		ties				onetary loss - amount not
	48	Miscellaneous fraud				available
		HISCOTISHEDGS (1900				
			8.		Participant:	s in the alleged fraudu-
4.	The perio	d of time over which the			lent activi	ty.
		fraudulent activity took				1
	place.				198 F	ederal Government employ-
	931	Less than 3 months				ees alone and with others
						tate and local government
	23	3 to 6 months				
	56	6 months to one year			_	employees
	24	1 to 2 years				ederal contractor(s)/grant-
	51	More than 2 years				ee(s) personnel - other than
	41	Unknown .				state and local governments

F	ederal Government finan-		15 Federal employee issued
	iel essistance		warning letter
	dividual recipient(s) of ederal Covernment finan-		o Federal employee issued letter of counseling
	lal essistence		
	mer individual citizens		oral warning
	mer corporate or business		g Federal employee demoted
	ntities (such as lending natitutions)		federal employee trans-
	KNOWN		12 Formal loss recovery plan
	ite and local government		agreed to
	ployees in collusion with		Extra duty (military)
	dividual recipient(s) of deral Government finan-		Employee resigned pending dismissal
	al essistance		1 Other
Fec	Meral contractor(a)/		6 Unknown
	antee(s) personnel -	,	10 Times of adalast-turble and the transfer
	other than state and scal government(s))	1	12. Types of administrative action taken against non-Federal government or-
	collusion with in-		ganizations (contractors, corpora-
	vidual recipient(s)		tions, non-profit organizations.
	Federal Government		state and local governments, etc.)
_	nancial assistance porate recipients of		or individuals. <u>a</u> /
	deral Government fi-		4 Suspended from doing busi-
	ncial assistance in		ness with the Federal
	llusion with indivi-		Covernment
	el recipient(s) of deral Government fi-		4 Debarred from doing busi- ness with the Federal
	ncial assistance		Government
	er corporate or busi-		10 Contract/grant cancelled
ne	ss entities (such es		11 Issued warning and they a-
	nding institutions)		greed to take corrective
	collusion with indi- dual recipient(s) of		action 20 Formal loss recovery plan
	deral Government fi-		agreed to
	ncial assistance		132 No action taken
			Negotiating reimbursement
9. Status of fra	ud cases.		O Declared ineligible for pre- gram participation under
1,076 Clos	ed (Includes cases		status claimed
	icially closed by		O Employes/enlistee dismissed;
	agency)		employment/enlistment de- nied
	(Includes cases under estigation and cases in		9 Other
	ch some action may have		18 Unknown
bee	n taken but the cases		
100	e not officially closed)	13	3. Type of legal action or prosecution taken.
			Langii.
The following a	tatistics are for		4 Civil prosecution
	y closed by the		95 Criminal prosecution
agency.	•		Q Civil and criminal prosecution
			O Court martial
10. Type of action	taken against perpe-		
trator.	, tandir againet perpe	14	4. Outcome of civil proceedings.
			4 Judgment for plaintiff
	Inistrative action only		O Judgment for the defendant
63 Lega 45 Admi	ol action only inistrative and legal		o Unknown
	ion		
o Unkr		15	5. Outcome of criminal prosecution.
	etion taken - sue-		(Excludes court martials)
	t identified action taken - sus-		9 Acquittal
	t unknown		86 Conviction (guilty ples, nolo
,,,,,			contendre)
11. Types of admi	nistrative action taken		o Other
against Feder	al omployees. B/		1
	-	/ و	In many cases, Federal agencies took
	action taken	<u>a</u> /	more than one type administrative
22_ Fed	eral employee dismissed		action against those who commit fraud
			or other illegal acts. All actions
			taken are recorded here.

Department of Realth, Education and Welfare

The	following	statistics are for closed n cases where some admin-	5.	The peri	od of time between occur- d discovery of the fraud-
ist	rative or .	legal action was taken or		ulent ac	
		taken because no suspect			
COU.	ld be iden	[1718G.		17	Less than 3 months
					3 to 6 months
				_34	6 months to one year
1.		fraud or other illegal ac-		_57	1 to 2 years
	tivity ca	ses in the universe.		57 _{1/1}	More than 2 years
				103	Unknown
		<u>279</u>	6.	How the	alleged fraud was discov-
2.	Functions	l areas in which fraud		ered.	arreged fraud was dracov-
	occurred.			0100.	
				86	Routine audit, inspec-
	6	Procurement - award			tion, investigation,
	0	Property disposition			or review
		Payroll		0	Specially requested
	74	Grants			audit, inspection, or
	34	Financial assistance to			review
		individuals (excludes		_80_	Federal employee (know-
		loans)			ledge gained through
	0	Enforcement (i.e. Customs,			work)
		IRS)			Former federal employee
	108	Provision of health care			Contractor personnel
		or social services		29	Grantee personnel (ex-
	6	Loans			cludes state or local
	23	Loan guarantees			government personnel)
	6	Personnel		6	Former grantee personnel
		Inventory control/proper-		23	Private individual (not
		ty management			former employee)
		Meil service			Informant
		Cash control			Consumer complaint form
		Procurement - monitoring			Unsuccessful bidder
	6	Travel			News media
		Administrative services			Congressional Committee
	6	Training and education			Member - Staff
		Personal property manage-			Alleged victim
	_	ment			Confession by perpetrator
		Other			Law enforcement investi-
	0	Unknown			gation - state & local
7	0-441	h		51	Other
3.	Litucipat	types of fraud			Unknown
	11	Work hour abuses			
	0	Private use of government	7.	Estimate	of amount of loss incurred
		property		by Federa	1 Government
	17	Forgery			
	6	Kickbacks/Bribes			Less than \$100
	182	False statements		51	\$101 - \$1,000
	23	Non-performance of con-		57	\$1,001 - \$10,000
		tract terms		34	\$10,001 - \$100,000
	17	Theft		6	\$100,001 - \$500,000
		Extortion			\$500,001 - \$1 million
		Food Stamp irregulari-			Over \$1 million
		ties		63	Monetary loss - amount not
	11	Miscellaneous fraud			available
			D	Dont dada	nt
4.	The period	of time over which the	8.	rarticipar	nts in the alleged fraudu-
7.		raudulent activity took		lent activ	ity.
	blace.	raddient activity took			Fodonol Coverses
	•	Less than 3 months		40	Federal Government employ-
	40	3 to 6 months		٥	ees alone and with others
	17	6 months to one year			State and local government
	40	l to 2 years		20	employees
	<u>40</u> 57	More than 2 years			Federal contractor(s)/grant
	86	Unknown			ee(s) personnel - other than
		OI TO I OWIT			state and local governments

	i
o Corporate recipient(s) of Federal Government finan-	
cial assistance 86	warning letter O Federal employee issued
Federal Government finan-	letter of counseling
cial assistance 29 Other individual citizens	Federal employee issued oral warning
80 Other corporate or business	Federal employee demoted
entities (such as lending institutions)	Federal employee trans-
6 Unknown	o Formal loss recovery plan
State and local government employees in collusion with	agreed to
individual recipient(s) of	Extra duty (military) Employee resigned pending
Federal Government finan- cial assistance	dismissal
	O Other G Unknown
grantee(s) personnel -	
(other than state and local government(s))	 Types of administrative action taken against non-Federal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s) of Federal Government	tions, non-profit organizations.
financial assistance	state and local governments, etc.) or individuals. a/
Corporate recipients of	O Suspended from doing busi-
Federal Government fi- nancial assistance in	ness with the Federal
collusion with indivi-	Covernment
dual recipient(s) of Federal Government fi	O Debarred from doing busi-
nancial assistance	Government
Other corporate or busi- ness entities (such as	6 Contract/grant cancelled
lending institutions)	O Issued warning and they a greed to take corrective
in collusion with indi-	action
vidusl recipient(s) of Federal Government fi-	57 Formal loss recovery plan agreed to
nancial assistance	114 No action taken 12 Negotiating reimbursement
9. Status of fraud cases.	O Declared ineligible for pro-
274 Closed (Includes cases	gram participation under status claimed
officially closed by	Employee/enlistee dismissed; employment/enlistment de-
the agency)	nied
investigation and cases in	Other Unknown
which some action may have been taken but the cases	Unknown
were not officially closed)	 Type of legal action or prosecution taken.
The following statistics are for	6 Civil prosecution
cases officially closed by the	Criminal prosecution Civil and criminal prosecution
agency.	2 Civil and criminal prosecution Pro-trial diversion
10. 7	O Court martial
 Type of action taken against perpetrator. 	14. Outcome of civil proceedings.
143 Administrative action only	6 Judgment for plaintiff
Legal action only Administrative and legal	O Judgment for the defendant Unknown
action and legal	
	15. Outcome of criminal prosecution. (Excludes court martials)
68 No action taken - sus- pect identified	(Excludes Court Wartlats)
O No action taken - sus-	Acquittal
pect unknown	Conviction (guilty plea, nolo contendre)
11. Types of administrative action taken	Other
against Federal employees. a/	ϵ
	a/ In many cases, Federal agencies took
11 Federal employee dismissed	more than one type administrative
	action against those who commit fraud or other illegal acts. All actions
	taken are recorded here.

Department of Housing and Urban Development

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.		5.	The period of time between occur- rence and discovery of the fraud- ulent activity. 344 Less than 3 months	
1.	 Number of fraud or other illegal activity cases in the universe. 			#72 3 to 6 months 258 6 months to one year 373 1 to 2 years 344 More than 2 years 172 Unknown
	1	,665	_	And the state of t
2.	Functions occurred.	l areas in which fraud	6.	How the alleged fraud was discovered.
	0 29 0 29 402 0 29 861 57 0 0 29 57 29 0 0 0	Procurement - award Property disposition Payroll Grants Financial assistance to individuals (excludes loans) Enforcement (i.e. Customs, IRS) Provision of health care or social services loans Loan guarantees Personnel Inventory control/proper- ty management Mail service Cash control Procurement - monitoring Travel Administrative services Training and education Personal property manage- ment Other Unknown		373 Routine audit, inspection, investigation, or review 57 Specially requested audit, inspection, or review 58 Federal employee (know-ledge gained through work) 29 Former federal employee 258 Contractor personnel (excludes state or local government personnel) 70 Former grantee personnel 80 Former grantee personnel 91 Former grantee personnel 92 Former employee) 10 Former employee) 11 Former employee) 12 Consumer complaint form 12 Unsuccessful bidder 13 Congressional Committee 14 Member - Staff 9 Alleged victim 15 Confession by perpetrator 16 Law enforcement investigation - state & local 16 Other
3.	Principal	types of fraud Work hour abuses	,	29 Unknown
4.	alleged i	Private use of government property Forgery Kickbacks/Bribes False statements Non-performance of contract terms Theft Extortion Food Stamp irregularities Miscellaneous fraud d of time over which the fraudulent activity took	7.	by Federal Government O
	775 144 115 230 287 115	Less than 3 months 3 to 6 months 6 months to one year 1 to 2 years More than 2 years Unknown		173 Federal Covernment employ- ees alone and with others 115 State and local government employees 29 Federal contractor(s)/grant- ee(s) personnel - other than state and local governments

federal Government finan-	rederal employee suspended
cial assistance	Federal employee issued
Individual recipient(s) of	warning letter Federal employee issued
Federal Government finen-	letter of counseling
cial assistance	Federal employee issued
57 Other individual citizens	oral warning
344 Other corporate or business	o federal employee demoted
entities (such as lending	
institutions)	ferred
Unknown	formal loss recovery plan
29 State and local government	agreed to
employees in collusion with	o Extra duty (military)
individual recipient(s) of	Employee resigned pending
Federal Covernment finan-	dismissal
cial assistance	o Other
57 Federal contractor(s)/	0 Unknown
grantee(s) personnel -	
(other than state and	12. Types of administrative action taken
local government(s))	against non-federal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations,
of Federal Government	state and local governments, etc.)
financial assistance	or individuals. a/
o Corporate recipients of	
Federal Government fi-	Suspended from doing busi-
nancial assistance in	ness with the Federal
collusion with indivi-	Covernment
dual recipient(s) of	29 Debarred from doing busi-
federal Government fi-	ness with the Federal
nancial assistance	Government
144 Other corporate or busi-	O Contract/grant cancelled
ness entities (such as	316 Issued warning and they a-
landing institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(s) of	144 Formal loss recovery plan
Federal Government fi-	agreed to
nancial assistance	632 No action taken
9. Status of fraud cases.	o Declared ineligible for pro-
	gram participation under
1,608 Closed (Includes cases	status claimed
officially closed by	o Employee/enlistee dismissed;
the agency)	employment/enlistment de-
57 Open (Încludes cases under	nied
investigation and cases in	86 Other
which some action may have	86 Unknown
been taken but the cases	
were not officially closed)	13. Type of legal action or prosecution
	taken.
The following statistics are for	O Civil prosecution
cases officially closed by the	86 Criminal prosecution
agency.	o Civil and criminal prosecution
• •	O Pre-trial diversion
	O Court martial
10. Type of action taken against perpe-	
trator.	14. Outcome of civil proceedings.
890 Administrative action only	O Judgment for plaintiff
29 Legal action only	O Judgment for the defendant
57 Administrative and legal	<u>o</u> Unknown
action	
o Unknown	15. Outcome of criminal prosecution.
632 No action taken - sus-	(Excludes court martials)
pect identified	·
O No action taken - sus-	o Acquittal
pect unknown	86 Conviction (guilty plea, nolo
•	contendre)
11 Tunno of administrative	o Other
11. Types of administrative action taken	
against Federal employees. a/	
F7 No població	a/ In many cases, Federal agencies took
57 No action taken	more than one type administrative
O Federal employee dismissed	action against those who commit fraud
	or other illegal acts. All actions
	taken are recorded here.
	- arrer - mar - street word 1700 000

Department of Interior

		statistics are for closed	5.	The period of time between occur-
		n cases where some admin-		rence and discovery of the fraud-
		legal action was taken or taken because no suspect		ulent activity.
	d be ideni			106 Less than 3 months
	0 00 10011	.2, 2001		9 3 to 6 months
				9 6 months to one year
1.	Number of	fraud or other illegal ac-		3 1 to 2 years
		ses in the universe.		6 More than 2 years
	•			g Unknown
	14	<u>3</u>		
_			6.	
2.		l areas in which fraud		ered.
	occurred.			10 Doubles soully towns
	•	Procurement - award		12 Routine audit, inspec-
	9	Property disposition		tion, investigation, or review
	15	Payroll		O Specially requested
	0	Grants		audit, inspection, or
	0	Financial assistance to		review
		individuals (excludes		82 Federal employee (know-
		loans)		ledge gained through
		Enforcement (i.e. Customs,		work)
		IRS)		3 Former federal employee
		Provision of health care		O Contractor personnel
	_	or social services		O Grantee personnel (ex-
	<u>_</u>	Loans Loan quarantees		cludes state or local
		Personnel		government personnel)
	64	Inventory control/proper-		30 Private individual (not
	B3	ty management		former employee)
	0	Mail service		12 Informant
	15	Cash control		O Consumer complaint form
		Procurement - monitoring		O Unsuccessful bidder
	6	Travel		O News media
	6	Administrative services		O Congressional Committee
		Training and education		Member - Staff
		Personal property manage-		O Alleged victim
	•	ment Other		O Confession by perpetrator
		Unknown		Law enforcement investi- gation - state & local
		O I K I O O I		3 Other
3.	Principal	types of fraud		0 Unknown
		-,,		
	12	Work hour abuses	7.	Estimate of amount of loss incurred
	64	Private use of government	/•	by Federal Government
		_property		by rederal dovernment
		Forgery		24 Less than \$100
		Kickbacks/Bribes		39 \$101 - \$1,000
	<u> 12</u>	False statements Non-performance of con-		9 \$1,001 - \$10,000
		tract terms		6 \$10,001 - \$100,000
	<i>39</i>	Theft		<u>0</u> \$100,001 - \$500,000
	0	Extortion		
	0	Food Stamp irregulari-		Over \$1 million
		ties		15 Monetary loss - amount not
	15	Miscellaneous fraud		available
			8.	Participants in the alleged fraudu-
4.	The period	d of time over which the	٠.	lent activity.
	alleged	fraudulent activity took		
	place.			137 Federal Government employ-
	100	Less than 3 months		ees alone and with others
	6	3 to 6 months		o State and local government
	12	6 months to one year		employees
	6	1 to 2 years		3 Federal contractor(s)/grant-
		More than 2 years		ee(s) personnel - other ther
	15	Unknown		state and local governments

	Corporate recipient(a) of Federal Government financial assistance Individual recipient(s) of Federal Government financial assistance Other individual citizens Other corporate or business entities (such as lending institutions) Unknown State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance Federal contractor(s)/	federal employee suspended general employee issued warning letter federal employee issued letter of counseling federal employee issued oral warning general employee demoted federal employee transferred general loss recovery plan agreed to Extra duty (military) Employee resigned pending dismissal Other Unknown
	grantes(s) personnel - (other than state and local government(s))	12. Types of administrative action taken against non-Federal government or-
,	in collusion with in- dividual recipient(s) of Federal Government financial assistance corporate recipients of Federal Government fi- nancial assistance in	ganizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/ Suspended from doing business with the Federal
	collusion with indivi- dual recipient(s) of federal Government fi- nancial assistance	Government Debarred from doing business with the Federal Government Contract/grant cancelled
•	Other corporate or busi- ness entities (such as lending institutions) in collusion with indi- vidual recipient(s) of Federal Government fi- nancial assistance	3 Issued warning and they agreed to take corrective action 3 Formal loss recovery plan agreed to 3 No action taken 0 Negotiating reimbursement
9.	Status of fraud cases.	Declared ineligible for program participation under status claimed
	127 Closed (Includes cases officially closed by the agency) 16 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)	Employee/enlistee diamissed; employment/enlistment de- nied Other Unknown 13. Type of legal action or prosecution taken.
Cas	following statistics are for as officially closed by the ncy.	O Civil prosecution O Criminal prosecution O Civil and criminal prosecution O Pre-trial diversion O Court martial
10.	Type of action taken against perpetrator.	14. Outcome of civil proceedings.
	209 Administrative action only tegal action only Administrative and legal action	
	Unknown 18 No action taken - sus- pect identified	15. Outcome of criminal prosecution. (Excludes court martials)
	O No action taken - sus- pect unknown	<pre></pre>
11.	Types of administrative action taken against federal employees. <u>a</u> /	
		a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

Department of Labor

cas isti no	following statistics are for closed es and open cases where some adminarative or legal action was taken or action was taken because no suspect ld be identified.	5.	rence and discovery of the fraudulent activity.
COU.	id be identified.		manufacture and the second sec
			3 to 6 months
			6 months to one year
1.	Number of fraud or other illegal ac-		<u>143** 1 to 2 years</u>
	tivity cases in the universe.		51 More than 2 years
	11111)		80 Unknown
	430		
	430	,	Ham Aka attament Canada in attam
_		6.	
2.	Functional areas in which fraud		ered.
	occurred.		
			75 Routine audit, inspec-
	O Procurement - award		tion, investigation,
	o Property disposition		or review
			O Specially requested
			audit, inspection, or
	226 Financial assistance to		review
	individuals (excludes		171 Federal employee (know-
	loans)		ledge gained through
	o Enforcement (i.e. Customs,		work)
	IRS)		former federal employee
			C11
	O Provision of health care or social services		
	• • • • • • • • • • • • • • • • • • • •		
	OLoans		cludes state or local
	<u> </u>		government personnel)
	45 Personnel		Former grantee personnel
	O Inventory control/proper-		4 Private individual (not
	ty management		former employee)
	O Mail service		Informat
	2 Cash control		Consumer complaint form
	<pre>o Procurement - monitoring</pre>		Unsuccessful bidder
	o Travel		News media
	2 Administrative services		Congressional Committee
	82 Training and education		Member - Staff
	O Personal property manage-		o Alleged victim
	ment		Company Company
			Confession by perpetrator
	0 Other		45 Law enforcement investi-
	0 Unknown		gation - state & local
			Other
3.	Principal types of fraud		4 Unknown
• •			*
	28 Work hour abuses		
		7.	Estimate of amount of loss incurred
	O Private use of government		by Federal Government
	property		·
	37 Forgery		2 Less than \$100
	O Kickbacks/Bribes		
	280 false statements		41 \$101 - \$1,000
	49 Non-performance of con-		155 \$1,001 - \$10,000 °
	tract terms		72 \$10,001 - \$100,000
	T. 61		12 \$100,001 - \$500,000
			3500,001 - \$1 million
	15 Extortion		Q Over \$1 million
	o Food Stamp irregulari-		119 Monetary loss - amount not
	ties		available
	21 Miscellaneous fraud		available
		_	
		8.	Participants in the alleged fraudu-
4.	The period of time over which the		lent activity.
	alleged fraudulent activity took		· 1
	place.		183 Federal Government employ-
	38 Less than 3 months		ees alone and with others
			35 State and local government
	108 6 months to one year		employees
	100 1 to 2 years		101 Federal contractor(s)/grant-
	56 More than 2 years		ee(s) personnel - other tha
	3.0 Hokoowo		etate and local anyonnents

	Corporate recipient(s) of Federal Government finan-	federal employee suspended federal employee issued
	cial assistance Individual recipient(s) of Federal Government finan-	warning letter Federal employee issued letter of counseling
	cial essistance	Federal employee issued
	O Other individual citizens Other corporate or business	oral warning Federal employee demoted
	entitles (such as lending institutions)	rederal employee demoted Federal employee trans- ferred
	o_Unknown	42 Formal loss recovery plan
	9 State and local government	agreed to
	employees in collusion with individual recipient(s) of	<pre>Extra duty (military) Description Employee resigned pending</pre>
	Federal Government finen-	o Employee resigned pending dismissal
	cial assistance	OOther
	12 Federal contractor(s)/	<u>O</u> Unknown
	grantee(s) personnel - (other than state and	12. Types of administrative action taken
	local government(s)	against non-Federal government or-
	in collusion with in-	ganizations (contractors, corpora-
	dividual recipient(s)	tions, non-profit organizations,
	of Federal Government financial assistance	state and local governments, etc.) or individuals. a/
	a Corporate recipients of	
	Federal Government fi-	7 Suspended from doing busi- ness with the Federal
	nancial assistance in	
	collusion with indivi- dual recipient(s) of	Government O Debarred from doing busi-
	federal Government fi-	ness with the Federal
	nancial assistance	Government
	Other corporate or busi-	7 Contract/grant cancelled 7 Issued warning and they a-
	ness entities (such as lending institutions)	greed to take corrective
	in collusion with indi-	action
	vidual recipient(s) of	Formal loss recovery plan
	Federal Government fi-	agreed to 121 No action taken
	nencial sesistance	0 Negotiating reimbursement
9.	Status of freud cases.	de de la de la decembra del decembra de la decembra de la decembra del decembra de la decembra del decembra de la decembra de la decembra de la decembra de la decembra del decembra de la decembra del decembra de la decembra del decembra de la dec
	356 Closed (Includes cases	status claimed Employee/enlistee dismissed;
	officially closed by the agency)	employment/enlistment de-
	74 Open (Includes cases under	nied
	investigation and cases in	2 Other 3 Unknown
	which some action may have been taken but the cases	3 UNKINOWI
	were not officially closed)	 Type of legal action or prosecution taken.
		taken.
The	following statistics are for	o Civil prosecution
Cas	es officially closed by the	Criminal prosecution
	ncy.	O Civil and criminal prosecution Pro-trial diversion
		Court martial
10.	Type of action taken against perpetrator.	14. Outcome of civil proceedings.
	Administrative and a	O Judgment for plaintiff
	116 Administrative action only legal action only	O Judgment for the defendant
	34 Administrative and legal	0 Unknown
	ection	
	<u>o</u> Unknown	15. Outcome of criminal prosecution.
		(Excludes court martials)
	O No action taken - sus-	o Acquittal
	pect unknown	
		contendre)
11.		0 Other
	against federal employees.	
	85 No action taken	⊴/ In many cases, Federal agencies took
	28 Federal employee dismissed	more than one type administrative
		action against those who commit friud or other illegal acts. All actions
		taken are recorded here.

Law Enforcement Assistance Administration

Department of Justice

The	following	statistics are for closed	5.	The peri	od of time between occur-
case	es and ope	n cases where some admin-		rence an	d discovery of the fraud-
ist	rative or	legal action was taken or		ulent ac	tivity.
		taken because no suspect			
cou.	ld be iden	tiried.		5	Less than 3 months
					3 to 6 months
					6 months to one year
1.	Number of	f fraud or other illegal ac-	•	19	l to 2 years
	tivity ca	ases in the universe.		8	
				8	Unknown
		56			
_		House	6.	How the	alleged fraud was discov-
2.		al areas in which fraud		ered.	-
	occurred.	•			
		_		19	
		Procurement - award			tion, investigation,
		Property disposition			or review
	3	Payroll		0	
		Grants			audit, inspection, or
		financial assistance to			review
		individuals (excludes		5	
	_	loans)			ledge gained through
		Enforcement (i.e. Customs,			work)
	_	IRS)			Former federal employee
		Provision of health care			Contractor personnel
		or social services		3	
		Loans			cludes state or local
		Loan guarantees			government personnel)
	5	Personnel			Former grantee personnel
	5	Inventory control/proper-		8	
		ty management			former employee)
		Mail service		3	Informant
	5_	Cash control			
	3	Procurement - monitoring		3	
	8	Travel		3	News media
	3	Administrative services		0	Congressional Committee
	5	Training and education			Member - Staff
		Personal property manage-		0	Alleged victim
		ment		0	
		Other		0	Law enforcement investi-
	0	Unknown		***************************************	gation - state & local
				14	
3.	Principal	types of fraud		0	Unknown
		Work hour abuses	-	C.A.1	
	0	Private use of government	7.	ratimate	of amount of loss incurred
		property		by redera	l Government
	3	Forgery			
	5_	Kickbacks/Bribes		3	Less than \$100
	13	False statements			
	16	Non-performance of con-		8	\$1,001 - \$10,000
		tract terms		16	\$10,001 - \$100,000
	8	Theft		<u>.o</u>	\$100,001 - \$500,000
	0	Extortion		0	\$500,001 - \$1 million
	0	Food Stamp irregulari-		<u> </u>	Over \$1 million
		ties		13	Monetary loss - amount not
	11	Miscellaneous fraud			available
			_		
4.	The coni	1 of 61mm m.m. 42 4 44	8.	Participa	nts in the alleged fraudu-
٠.	THE PERIOD	of time over which the		lent activ	vity.
	erreded t	raudulent activity took			
	place.			3	Federal Government employ-
		Less than 3 months			ees alone and with others
	3	3 to 6 months		29	State and local government
	19	6 months to one year			employees
	8	1 to 2 years		19	Federal contractor(s)/grant-
		More than 2 years			ee(s) personnel - other than
		Unknown			state and local governments
					Janes 100

		Federal Government finan-			Coderat employee suspined	
		cial assistance				
	•	T-4: 14 - 1			warning letter	
		Federal Covernment finan-				*
		cial assistance			letter of counseling	
	1	Other individual citizens			oral warning	
		Other corporate or business				
		entities (such as lending		0	federal employee trans-	
		institutions)			ferred	
					Formal loss recovery plan	
		State and local government			agreed to	
		employees in collusion with			Extra duty (military)	
		individual recipient(s) of	,,,1	*	Employee resigned pending	
		Federal Government finan-			dismissal	
		cial assistance		_	Other	
					Unknown	
		onember(e) research(a)/			Ulikilowi	
		grantee(s) personnel -		2 T.man of		
		(other than state and	1	2. Types of	administrative action taken	
		local government(s))		edanusci	non-Federal government or-	
		in collusion with in-		ganizatio	one (contractors, corpora-	
		dividual recipient(s)		tions, n	on-profit organizations	
		of Federal Government		state and	local governments, etc.)	
		financial assistance		or indiv	iduals. a/	
		Corporate recipients of		_	Supposed from doing that	
		Federal Government fi-				
		nancial assistance in			ness with the Federal	
		collusion with indivi-			Government	
		dual recipient(s) of			Debarred from doing busi-	
		federal Government fi-			ness with the Federal	
					Government	
	_	nancial sasistance		_		
		Other corporate or busi-			Contract/grant cancelled	
		ness entities (such as		5_	Issued warning and they a-	
		lending institutions)			greed to take corrective	
		in collusion with indi-			action	
		vidual recipient(s) of				
		Federal Government fi-			agreed to	
		nancial assistance		21	No action taken	
				5	Negotiating reimbursement	
9.	Status of	f fraud cases.		0	Declared ineligible for pro-	
<i>,</i> ,	000000			***************************************	gram participation under	
	40	Closed (Includes cases			status claimed	
				Q	Employee/enlistee dismissed;	,
		officially closed by			employment/enlistment de-	,
		the agency)			nied	
	10	Open (Includes cases under		•	Other	
		investigation and cases in				
		which some action may have			Unknown	
		been taken but the cases				
		were not officially closed)	13	5. Type of 3	legal action or prosecution	
		•		taken.		
76.	#=11=m	laa ababiabiaa aaa gaa		0	Civil prosecution	
		ing statistics are for		5	Criminal prosecution	
		cially closed by the		0	Civil and criminal prosecut:	ior
age	ncy.				Pre-trial diversion	
					Court martial	
				<u>~</u>	Court marcial	
10.		action taken against perpe-		Outono	of civil proceedings.	
	trator.		14	. OULCOME	or civil broceenings.	
					1. d	
	19	Administrative action only			Judgment for plaintiff	
	3	Legal action only				
	3	Administrative and legal		0	Unknown	
		action				
	^		15	Outcome o	f criminal prosecution.	
	0	No action taken - aus-		(Fre ludee	court martials)	
				/ F VC 10000	coart martials)	
	_	pect identified		-	Annuith of	
	3			3	Acquittal	
		pect unknown		3_	Conviction (guilty plea, nol	0
					contendre)	
	Temes			0	Other	
11.	Types of	administrative action taken			r	
	edanuer	Federal employees. a				
		-	~ /	In menu en	nna Fadanal acanaian task	
		No action taken	<u>a</u> /	An many ca	ses, Federal agencies took one type administrative	
	0	-		more than	one type soministrative	
		,,		action aga	inst those who commit fraud	
					llegal acts. All actions	
				taken are	recorded here.	

U.S. Postal Service

case istr no e	following statistics are for closed es and open cases where some adminarative or legal action was taken or action was taken because no suspect ld be identified.	5.	The period of time between occur- rence and discovery of the fraud- ulent activity. 8.201 Less than 3 months
1.	Number of fraud or other illegal activity cases in the universe.		976 3 to 6 months 538 6 months to one year 268 1 to 2 years 1 to 2 years 1 do 3
	11,161	6.	How the alleged fraud was discov-
2.	functional areas in which fraud occurred.		ered.
	Procurement - award Property disposition Payroll Contact Financial assistance to individuals (excludes loans)		2.224 Routine audit, inspection, investigation, or review Specially requested audit, inspection, or review 3.952 Federal employee (knowledge gained through
			work) former federal employee Contractor personnel Grantee personnel (excludes state or local government personnel)
	Personnel Inventory control/property management Mail service Cash control		Former grantee personnel Private individual (not former employee) Informant Consumer complaint form
	Procurement - monitoring Travel Administrative services Training and education Personal property manage-		O Unsuccessful bidder O News media Congressional Committee Member - Staff O Alleged victim
3.	ment Other Unknown Principal types of fraud		O Confession by perpetrator Law enforcement investi- gation - state & local 314 Other Unknown
	296 Work hour abuses 83 Private use of government property	7.	Estimate of amount of loss incurred by Federal Government
	107 Forgery 0 Kickbacks/Bribes False statements 14 Non-performance of contract terms 7.783 Theft 0 Extortion food Stamp irregularities		1.667 Less than \$100 3.626 \$101 - \$1,000 799 \$1,001 - \$10,000 153 \$10,001 - \$100,000 0 \$100,001 - \$500,000 0 \$500,001 - \$1 million 28 Over \$1 million 1.314 Monetary loss - amount not
4.	2.552 Miscellaneous fraud The period of time over which the alleged fraudulent activity took	8.	available Participants in the alleged fraudu- lent activity.
	place. 7.948 Less than 3 months 798 3 to 6 months 624 6 months to one year 536 1 to 2 years 244 More than 2 years Unknown		federal Government employ- ees alone and with others State and local government employees federal contractor(s)/grant- ee(s) personnel - other than state and local governments

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Corborare secthrenc(8) of	977 rederat employee suspended
federal Covernment finan-	207 Federal employee issued
cial assistance	warning letter
o Individual recipient(s) of	
Federal Government finen-	letter of counseling
cial assistance	
	304 Federal employee issued
1.550 Other individual citizens	oral warning
129 Other corporate or business	197 Federal employee demoted
entities (such as lending	
institutions)	
	ferred
2.080 Unknown	1.315 Formal loss recovery plan
o State and local government	sgreed to
employees in collusion with	o Extra duty (military)
individual recipient(a) of	
	695 Employee resigned pending
Federal Government finan-	dismissal
cial essistance	321 Other
<pre>0 Federal contractor(s)/</pre>	23 Unknown
grantme(s) personnel -	williout
	30 Turana at administration of the state of
(other than state and	12. Types of administrative action taken
local government(s))	against non-federal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations,
of Federal Government	state and local governments, etd.)
	acate and local governments, etc.)
financial assistance	or individuals, g/
o Corporate recipients of	on Gunnadad Cara data at the
Federal Government fi-	23 Suspended from doing busi-
nancial assistance in	ness with the federal
collusion with indivi-	Gavernment
dual recipient(s) of	o Debarred from doing busi-
Føderal Government fi-	ness with the Federal
nancial assistance	Government
Other corporate or busi-	93 Contract/grant cancelled
ness entities (such as	
	greed to take corrective
lending institutions)	
in collusion with indi-	ection
vidual recipient(s) of	1.234 Formal loss recovery plan
Federal Covernment fi-	agreed to
nencial essistance	1.044 No action taken
	77 Negotiating reimbursement
O Chabus of Casual assess	0.11
9. Status of fraud cases.	
	gram participation under
10,693 Closed (Includes cases	status claimed
officially closed by	o Employee/enlistee dismissed;
the agency)	employment/enlistment de-
	nied
468 Open (Includes cases under	214
investigation and cases in	126_ Other
which some action may have	57 Unknown
been taken but the cases	
	17 Type of legal action on appropriation
were not officially closed)	13. Type of legal action or prosecution
	taken.
The following statistics are for	a Civil prosecution
INE LOTIOATING BEAUTHETER BLA LOL	1917 Criminal prosecution
cases officially closed by the	Civil and entered encounting
agency.	O Civil and criminal prosecution
• •	Pre-trial diversion
	55 Court martial
10. Type of action taken against perpe-	
to type of ection carell against perpe-	14. Outcome of civil proceedings.
trator.	14. odcome of crait biocoodings.
	3. da 6 3. da 1.00
Administrative action only	Judgment for plaintiff
252 Legal action only	
	O Unknown
2.133 Administrative and legal	**************************************
action	į
Unknown	15. Outcome of criminal prosecution.
1.757 No action taken - sus-	(Excludes court martials)
pect identified	, mar vallac/
pour rught 180	ma dia mataka m
1.861 No action taken - sus-	74 Acquittal
pect unknown	1.818 Conviction (guilty ples, nolo
·	contendre)
14 T	74 Other
11. Types of administrative action taken	**************************************
against Federal employees. a/	\
1,257 No action taken	a/ In many cases, Federal agencies took
2.062 Federal and a series	more than one type administrative
2.053 Federal employee dismissed	action against those who commit fraud
	or other illegal acts. All actions

Small Business Administration

		statistics are for closed n cases where some admin-	5.		d of time between occur- discovery of the fraud-
		legal action was taken or taken because no suspect		ulent act:	ivity.
	id be ident			173	Less than 3 months
				117	3 to 6 months
				117	6 months to one year
1.	Number of	fraud or other illegal ac-		110	1 to 2 years
		ses in the universe.		MI 88	More than 2 years
				86	Unknown
	6	92	6.	How the al	lleged fraud was discov-
2.	Functions occurred.	l areas in which fraud		ered.	
	occurred.			24	Routine audit, inspec-
	27	Procurement - award			tion, investigation,
	0	Property disposition			or review
	0	Payroll		12	Specially requested
	0	Grants			audit, inspection, or
	0	Financial assistance to			review
		individuals (excludes		412	Federal employee (know-
		loans)			ledge gained through
		Enforcement (i.e. Customs,			work)
		IRS)			Former federal employee
		Provision of health care		3	Contractor personnel
		or social services			Grantee personnel (ex-
	359	Loans			cludes state or local
	262	Loan guarantees		•	_government personnel)
	23	Personnel			Former grantee personnel
		Inventory control/proper-		109	Private individual (not
		ty management			former employee)
		Mail service		32	Informant
		Cash control			Consumer complaint form Unsuccessful bidder
		Procurement - monitoring Travel		<u> 10</u>	News media
		Administrative services		<u> </u>	Congressional Committee
		Training and education			Member - Staff
	0	Personal property manage-			Alleged victim
		ment		0	Confession by perpetrator
	0	Other		0	Law enforcement investi-
	0	Unknown		X	gation - state & local
				51	Other
3.	Principal	types of fraud		29	Unknown
	5	Work hour abuses	-	Cations a	of amount of loss incurred
	1.3	Private use of government	/•		Government
		property		by rederal	. dover ment
		Forgery		16	Less than \$100
	27	Kickbacks/Bribes		3	\$101 - \$1,000
	235	False statements		139	\$1,001 - \$10,000
		Non-performance of con-		317	\$10,001 - \$100,000
		tract terms		39	\$100,001 - \$500,000
	5	Theft		0	\$500,001 - \$1 million
		Extortion		1	Over \$1 million
		Food Stamp irregulari-		19	Monetary loss - amount not
	383	ties Miscellaneous fraud			available
			8.	Participar	nts in the alleged fraudu
4.	The perio	d of time over which the	0,	lent activ	
٠.		fraudulent activity took			
	place.			95	Federal Government employ-
	413	Less than 3 months			ees alone and with others
	64	3 to 6 months			State and local government
	39	6 months to one year			employees
	30	1 to 2 years			Federal contractor(s)/grant-
	46	More than 2 years			ee(s) personnel - other tha
	101	Unknown			state and local governments

21/ corbutars recripted (a) of	T rederat emptoyee auspenden
Federal Government finan-	8 Federal employee issued
cial assistance	warning letter
58 Individual recipient(a) of	3 Federal employee issued
federal Government finan-	letter of counseling
cial assistance	
	Federal employee leaved
O Other individual citizens	oral warning
	3 Federal employee demoted
entities (such as lending	3 Federal employee trans-
institutions)	ferred
1 Unknown	
	Formal loss recovery plan
O State and local government	agreed to
employees in collusion with	o Extra duty (military)
individual recipient(a) of	
	22 Employee resigned pending
Federal Government finan-	dismissal
cial assistance	10 Other
o Federal contractor(s)/	3 Unknown
grantee(a) personnel -	30 7 mm - 40 - 4 3 - 3 3 - 4 3
(other than state and	 Types of administrative action taken
local government(s))	against non-Faderal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(a)	tions, non-profit organizations,
of Federal Government	state and local governments, etc.)
financial assistance	or individuals. a/
O Corporate recipients of	
corbotace tecrbraics of	o Suspended from doing busi-
federal Government fi-	ness with the Federal
nancial assistance in	tions Atril file (Sept.97
collusion with indivi-	Government
dual recipient(s) of	
Federal Government fi-	ness with the Federal
nancial assistance	Government
Other corporate or busi-	o Contract/grant cancelled
ness entities (such as	
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(a) of	80 Formal loss recovery plan
	agreed to
Federal Government fi-	
nancial assistance	No action taken
	O Negotiating reimbursement
9. Status of fraud cases.	29 Declared ineligible for pro-
9. Status of fraud cases.	
	gram participation under
690 Closed (Includes cases	status claimed
officially closed by	o Employee/enlistee dismissed;
	employment/enlistment de-
the agency)	
2 Open (Încludes cases under	nied
investigation and cases in	o_Other
which some action may have	352 Unknown
been taken but the cases	to Time of local making on agreementing
were not officially closed)	Type of legal action or prosecution
- "	taken.
The following statistics are for	
cases officially closed by the	47 Criminal prosecution
	Civil and criminal prosecution
agency.	10 Pre-trial diversion
	o Court martial
10. Type of action taken against perpe-	
trator.	14. Outcome of civil proceedings.
va deUt a	, -
	20 Judgment for plaintiff
487 Administrative action only	
49 Legal action only	0 Judgment for the defendant
Administration of the second	0 Unknown
18 Administrative and legal	Contract of the Contract of th
action	
23 Unknown	15, Outcome of criminal prosecution.
123 No action taken - sus-	(Excludes court martials)
	/runtanne contr metricis/
pect identified	
O No action taken - sus-	3_ Acquittal
pect unknown	44 Conviction (guilty plea, nolo
Proce of the British	contended, (Antiria hing) 11010
	contendre)
11 Tunna of administrative to the	o Other
11. Types of administrative action taken	
against Federal employees. a/	
• • • • •	
40 No antion tales	a/ In many cases, Federal agencies took
40 No action taken	more than one type administrative
11 Federal employee dismissed	
• •	action against those who commit fraud
	or other illegal acts. All actions
	taken are recorded here.

AGENCY PROFILE Social Security Administration

7.	C 11			
ine	LOTTOMING	statistics are for closed	5.	. The period of time between occur-
case	es and ope	n cases where some admin-		rence and discovery of the fraud-
isti	rative or	legal action was taken or		
no i	action was	taken because no suspect		ulent activity.
cou.	ld be iden	tified.		1.458 Less than 3 months
				1,090 3 to 6 months
				1,946 6 months to one year
1.	Number of	fraud or other illegal ac-		3,216 1 to 2 years
	tivity ca	asea in the universe.		4,541 More than 2 years
				896 Unknown
	1	3,147		
	-	- adams	6.	. How the alleged fraud was discov-
2.	Functions	al areas in which fraud	٠.	. How cur gireded Light Mas GISCOAT
~•				ered.
	occurred.	•		
		4		7,778 Routine audit, inspec-
	0	Procurement - award		
	0			tion, investigation,
		Property disposition		or review
		Payroll		187 Specially requested
	0	Grants		
		Financial assistance to		audit, inspection, or
	13.147	Tumicial assistance to		review
		individuals (excludes		709 Federal employee (know-
		loans)		ledge gained through
		Enforcement (i.e. Customs,		
		TOCY		work)
		IRS)		Former federal employee
	0	Provision of health care		Contractor personnel
		or social services		D =
	^			
				cludes state or local
		Loan guarantees		government personnel)
	0	Personnel		o Former grantee personnel
	0	Inventory control/proper-		Ormer drances bersonner
		Theorem Control/proper=		1.932 Private individual (not
		ty management		former employee)
		Mail service		582 Informant
	0			
		Once we want to the state of		O Consumer complaint form
		Procurement - monitoring		o Unsuccessful bidder
	<u> </u>	Travel		O News media
	0	Administrative services		O Congressional Committee
	0	Training and education		
				Member - Staff
		Personal property manage-		o Alleged victim
		ment		628 Confession by perpetrator
	0	Other		47 Law enforcement investi-
	0	Unknown		
		OURHOWIT		gation - state & local
_				803 Other
3,	Principal	types of fraud		481 Unknown
	•	7,		Olkiosii
		Mante bases at the same		
			7.	Fotimate of amount of land to
	0	Private use of government	,.	
		property		by Federal Government
	207	Forgery		
				ace I and then \$100
		Kickbacks/Bribes		295 Less than \$100
	12.045	False statements		<u>3.692</u> \$101 - \$1,000
	0	Non-performance of con-		<u>6.205</u> \$1,001 - \$10,000
				415 \$10,001 - \$100,000
		tract terms		\$100,001 = \$100,000
	594	Theft		<u>0</u> \$100,001 - \$500,000
	221	Extortion		
	0	Food Stamp irregulari-		Over \$1 million
	V			702 Monetany lead and
		ties		783 Monetary loss - amount not
	0	Miscellaneous fraud		available
			8.	Participants in the alleged fraudu-
4.	The period	of time over which the		lent activity.
	allened f	raudulent activity took		Tone accivity.
	nlas-	TROOPTRILL MCFIAITA COOK		
	place.			74 Federal Covernment employ-
	1.672	Less than 3 months		ees alone and with others
		3 to 6 months		
•				O State and local government
	2.327	6 months to one year		employees
		l to 2 years		Federal contractor(s)/grant-
	4.421	More than 2 years		- v coordi contractor(s)/grant-
•	609	Unknown		ee(s) personnel - other the
		······································		state and local coverements

1.00

o corporate recipient (8) or	
Federal Government finan-	o Federal employee issued
cial assistance	warning letter
11.262 Individual recipient(s) of	o Federal employee issued
Federal Government finan-	letter of counseling
cial assistance	o Federal employee issued
1 738 Other individual citizens	oral warning
Other corporate or business	o Federal employes demoted
entities (such as lending	O Federal employee trans-
institutions)	ferred
<u> </u>	o Formal loss recovery plan
O State and local government	agreed to
employees in collusion with	o Extra duty (military)
individual recipient(a) of	
Federal Government finan-	O Employee resigned pending dismissal
cial assistance	A. .
	,
o Federal contractor(a)/	o Unknown
grantee(a) personnel -	
(other than state and	12. Types of administrative action taken
local government(s))	against non-Federal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations,
of Federal Government	state and local governments, etc.)
financial assistance	or individuals. a/
	O Supported Supported by the
Federal Government fi-	O Suspended from doing busi-
nancial assistance in	ness with the Federal
collusion with indivi-	Covernment
dual recipient(s) of	O Debarred from doing busi-
Federal Government fi-	ness with the Federal
nancial assistance	Government
Other corporate or busi-	o Contract/grant cancelled
ness entities (such as	93 Issued warning and they a-
lending institutions)	greed to take corrective
in collusion with indi-	ection
vidual recipient(a) of	4.654 Formal loss recovery plan
Federal Government fi-	agraed to
nencial masistance	2.656 No action taken
	1,524 Negotiating reimbursement
9. Status of fraud cases.	2.653 Declared ineligible for pro-
,, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	gram participation under
11,657 Closed (Includes cases	status claimed
officially closed by	o Employee/enlistee dismissed;
the agency)	employment/enlistment de-
1,490 Open (Includes cases under	nied
	454 Other
investigation and cases in	and the state of t
which some action may have	
been taken but the cases	17 Yun of 1000 making on account to
were not officially closed)	13. Type of legal action or prosecution
	taken.
	_
The following statistics are for	o Civil prosecution
cases officially closed by the	636 Criminal prosecution
agency.	O Civil and criminal prosecution
agency.	147 Pre-trial diversion
	O Court martial
10. Town off maked in the control of	
10. Type of action taken against perpe-	14. Outcome of civil proceedings.
trator.	14. decome of civil proceedings.
	a ludement for alcietiff
8.854 Administrative action only	O Judgment for plaintiff O Judgment for the defendant
636 Legal action only	
147 Administrative and legal	O Unknown
action	
0 Unknown	15. Outcome of criminal prosecution.
2,020 No action taken - sus-	(Excludes court martials)
pect identified	
O No action taken - sus-	<pre></pre>
	626 Conviction (quilty plan dela
pect unknown	636 Conviction (guilty plea, nolo
	contendre)
11. Types of administrative action taken	O Other
against federal employees. a/	
-3	
a Almanhla ta	a/ In many cases, Federal agencies took
o No action tuken	more than one type administrative
O Federal employee dismissed	action against those who commit fraud
	action against those who commit fraud
	or other illegal acts. All actions
	taken are recorded here.

Department of Transportation

The	following statistics are for closed es and open cases where some admin-	5.	F
jet:	rative or legal action was taken or		rence and discovery of the fraud-
700	action was taken because no suspect		ulent activity.
COLL	ld be identified.		214
C GG	ra pe racutti tea.		314 Less than 3 months
			45 3 to 6 months
			45 6 months to one year
1.	Number of fraud or other illegal ac-		1 to 2 years
	tivity cases in the universe.		40 More than 2 years
			51 Unknown
	548		
	40 40	6.	How the alleged fraud was discov-
2.	Functional areas in which fraud		ered.
	occurred.		
			59 Routine audit, inspec-
	26 Procurement - award		tion, investigation,
	2 Property disposition		or review
	88 Payroll		34 Specially requested
	4 Grants		audit, inspection, or
	4 Financial assistance to		
	individuals (excludes		review
			354 Federal employee (know-
	loans)		ledge gained through
	14 Enforcement (i.e. Customs,		work)
	IRS)		
	O Provision of health care		10 Contractor personnel
	or social services		O Grantee personnel (ex-
	o Loens		cludes state or local
	O Loan guarantees		government personnel)
	61 Personnel		O Former grantee personnel
	232 Inventory control/proper-		
	ty management		28 Private individual (not
	8 Mail service		former employee)
			14 Informant
	16 Cash control		O Consumer complaint form
	18 Procurement - monitoring		0 Unsuccessful bidder
	57 Travel		O News media
	12 Administrative services		O Congressional Committee
	O Training and education		Member - Staff
	O Personal property manage-		O Alleged victim
	ment		O Confession by perpetrator
	4 Other		0 Law enforcement investi-
	Unknown		THE STREET
	OTRIONT		gation - state & local
3.	Oninginal turns of family		
,.	Principal types of fraud		28 Unknown
			·
	62 Work hour abuses	7.	Estimate of amount of loss incurred
	47 Private use of government	, ·	
	property		by Federal Government
	6 Forgery		F0 1 11 A100
	31 Kickbacks/Bribes		59 Less than \$100
	142 False statements		117 \$101 - \$1,000
	8 Non-performance of con-		<u>61</u> \$1,001 - \$10,000
	tract terms		30 \$10,001 - \$100,000
	212 Theft		4 \$100,001 - \$500,000
	4 Extortion		0 \$500,001 - \$1 million
			O Over \$1 million
			156 Monetary loss - amount not
			available
	37 Miscellaneous fraud		
		8.	Participants in the alleged found
١.	The period of time over which the	٠.	Participants in the alleged fraudu-
-	alleged fraudulent activity took		lent activity.
	place.		
	· ·		391 Federal Government employ-
	379 Less than 3 months		ees alone and with others
	33 3 to 6 months		20 State and local government
	18 6 months to one year		employees
	45 1 to 2 years		16 Federal contractor(s)/grant
	36 More than 2 years		ee(s) personnel - other th

Corporate recipient(s) or	
Federal Government finan-	
cial essistance	warning letter
o Individual recipient(s) of	14 Federal employee issued
Federal Covernment finen-	letter of counseling
cial assistance	
28 Other individual citizens	oral warning
O Other corporate or business	Federal amployee demoted
entities (such as lending	1.0
institutions)	
	ferred
	71 Formal loss recovery plan
O State and local government	agreed to
employees in collusion with	Extra duty (military)
individual recipient(s) of	Employee resigned pending
federal Government'finen-	dismissal
cial assistance	14 Other
O Federal contractor(s)/	68 Unknown
grantes(s) personnel -	and the same of th
(other than state and	12. Types of administrative action taken
	against non-Federal government or-
local government(a))	ederier uni-teneral done tumeur of-
in collusion with in-	ganizations (contractors, corpors-
dividual recipient(s)	tions, non-profit organizations,
of Federal Government	state and local governments, etc.)
financial assistance	or individuals. a/
o Corporate recipients of	_
Federal Government fi-	O Suspended from doing busi-
nencial assistance in	ness with the Federal
collusion with indivi-	Covernment
dual recipient(s) of	
Federal Government fi-	ness with the Federal
nancial assistance	Government
Other corporate or busi-	2 Contract/grant cancelled
ness entities (such as	4 Issued warning and they a-
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(s) of	2 Formal loss recovery plan
Federal Covernment fi-	agreed to
nancial assistance	38 No action taken
1.00 C + 07 G G G T O C G M C O	O Negotiating reimbursement
	13
9. Status of fraud cases.	
	gram participation under
514 Closed (Includes cases	etatus claimed
officially closed by	
the agency)	employment/enlistment de-
34 Open (Încludes cases under	nied
investigation and cases in	18 Other
which some action may have	36 Unknown
been taken but the cases	
were not officially closed)	13. Type of legal action or prosecution
sets int officially closes,	taken.
Wh	o Civil prosecution
The following statistics are for	8 Criminal prosecution
cases officially closed by the	
agency.	
•	O Pre-trial diversion
	12 Court martial
10. Type of action taken against perpe-	
trator.	14. Outcome of civil proceedings.
' क्रिकेट के	
297 Administrative action only	o Judgment for plaintiff
297 Administrative action only	O Judgment for the defendant
4 Legal action only	0 Unknown
16 Administrative and legal	
action	
o Unknown	15. Outcome of criminal prosecution.
113 No action taken - sus-	(Excludes court martials)
pect identified	
83 No action taken - sus-	o Acquittal
	16 Conviction (guilty plea, nolo
pect unknown	
	contendre)
11. Types of administrative action taken	4 Other
against Federal employees. a/	
-3-mine to contait subjects. B	
	a/ In many cases, Federal agencies took
115 No action taken	more than one type administrative
25 Federal employee dismissed	
	action against those who commit fraud
	or other illegal acts. All actions
	taken are recorded here.

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Department of the Treasury

Ine	, Lattamină	statistics are for closed	5	. The peri	iod of time between occur-
cases and open cases where some admin-					nd discovery of the fraud-
istrative or legal action was taken or				ulent ac	tivity.
no	action was	taken because no suspect		410	
COL	ld be iden	tified			tone About 7 workbox
COG	TO DE TUBII	C11 160.		1,012	
				200	3 to 6 months
				217	6 months to one year
ı.	Number of	f fraud or other illegal ac-		109	1 to 2 years
••	+41.4+11	non in the water			
	CIVILY C	ases in the universe.		173	More than 2 years
				283	Unknown
	1.	994			1
			6.	How the	alleged fraud was discov-
2.	Eunct ions	al areas in which fraud	•	ered.	2110304 1800 A42 01900A-
	occurred			eren.	1
	occurred.	•			_
				659	Routine audit, inspec-
	19	Procurement - award			tion, investigation,
	20	Property disposition			or review
	208	Payroll		• • •	
		Grants		126	
					audit, inspection, or
	19	Financial assistance to			review
		individuals (excludes		885	Federal employee (know-
		loans)			ledge gained through
	784	Enforcement (i.e. Customs,			work)
		IRS)			
					Former federal employee
		Provision of health care		15	Contractor personnel
		or social services		0	Grantee personnel (ex-
		Loans			cludes state or local
	0	Loan guarantees			government personnel)
	529	Personnel			dovernment hetaninet)
					Former grantee personnel
	309	Inventory control/proper-		146	Private individual (not
		ty management			former employee)
	3_	Mail service		58	
	27	Cash control		19	Consumer complaint form
	11	Procurement - monitoring			
		Travel			Unsuccessful bidder
					News media
	62_	Administrative services		0	Congressional Committee
		Training and education			Member - Staff
	0	Personal property manage-		0	Alleged victim
		ment			
		Other			Confession by perpetrator
		-			Law enforcement investi-
		Unknown			gation - state & local
				63_	Other
3.	Principal	types of fraud		21	Unknown
	•	•			STINITO WIT
	214	Work hour abuses			
			7.	Estimate	of amount of loss incurred
		Private use of government		by Endona	1 Government
		property		nà Ledet.a	r government
	60	Forgery			
	429	Kickbacks/Bribes		119_	Less than \$100
	571	false statements		295	\$101 - \$1,000
		Valse statements		200	\$1,001 - \$10,000
		Non-performance of con-			
		tract terms		20	\$10,001 - \$100,000
	251	Theft		<u> 19</u>	\$100,001 - \$500,000
	0	Extortion		1	\$500,001 - \$1 million
	0	Food Stamp irregulari-		0	Over \$1 million
				654	Monetary loss - amount not
		ties			available
	336	Miscellaneous fraud			available
			_		
	The second	1 -0 11	8.	Participa	nts in the alleged fraudu-
•	ine beriod	of time over which the		lent acti	vity.
	alleged f	raudulent activity took			-
	place.			7 200	Federal Coverset
	1.213	Less than 3 months		1,298	Federal Government employ-
		3 to 6 months			ees alone and with others
	182			. 0	State and local government
	161	6 months to one year			employees
	48	1 to 2 years		0	Federal contractor(s)/grant-
	186	More than 2 years			ee(s) personnel - other than
		Unknown			etate and local enters that
		The state of the s			state and local governments

Corporate recipient(s) of	103 Federal employee suspended
Federal Government finan-	256 Federal employee issued
cial assistance	warning letter
o Individual recipient(s) of	
Federal Government finan-	letter of counseling
cial assistance	
232 Other individual citizens	122 Federal employee issued
	oral warning
Other corporate or business	Federal employee demoted
entities (such as lending	20 Federal employee trans-
inatitutions)	ferred
271 Unknown	82 Formal loss recovery plan
State and local government	agreed to
employees in collusion with	
individual recipient(a) of	
	27 Employee resigned pending
Federal Government finan-	dismissal
cial assistance	
o federal contractor(s)/	o_ Unknown
grantee(s) personnel -	
(other than state and	Types of administrative action taken
local government(g))	against non-federal government or-
in collusion with in-	ganizations (contractors, corpors-
	tions, non-profit organizations,
dividual recipient(a)	ctors, non-profit organizations,
of Federal Government	state and local governments, etc.)
financial assistance	or individuals. a/
o Corporate recipients of	O Suppended from daing bush
Federal Government fi⇒	o_ Suspended from doing busi-
nencial assistance in	ness with the federal
collusion with indivi-	Government
dual recipient(s) of	O Debarred from doing busi-
Federal Covernment fi-	ness with the Federal
nancial assistance	Government
	o Contract/grant cancelled
neas entities (auch as	o Issued warning and they a
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(s) of	o Formal loss recovery plan
Federal Government fi-	sgreed to
nancial assistance	351 No action taken
AMMORAL ABOVERNA	***************************************
9. Status of fraud cases.	O Declared inaligible for pro-
	gram participation under
1,852 Closed (Includes cases	status claimed
officially closed by	
the agency)	employment/enlistment de-
142 Open (Includes cases under	nied
investigation and cases in	78 Other
which some action may have	g Unknown
	- Division in the second secon
been taken but the cases	12 Ton of least action of annual time
were not officially closed)	Type of legal action or prosecution
	taken.
The following statistics are for	o Civil prosecution
and rollowing scattering are for	131 Criminal prosecution
cases officially closed by the	O Civil and criminal prosecution
agency.	O Pre-trial diversion
	O Court martial
	Court Hair rail
10. Type of action taken against perpe-	14 Outron of -4, 41 consendance
trator.	14. Outcome of civil proceedings.
Administrative action only	o Judgment for plaintiff
124 Legal action only	O Judgment for the defendant
	o Unknown
action	38 O.A
O Unknown	15. Outcome of criminal prosecution.
	(Excludes court martials)
pect identified	
	23 Acquittal
pect unknown	108 Conviction (quilty plea, nolo
hann miles	contendre)
	O Other
11. Types of administrative action taken	C ornet.
against Federal employees. a/	T.
	i de la companya de
con No making but	a/ In many cases, Federal agencies took
602 No action taken	more than one type administrative
Federal employee dismissed	action against those who commit fraud
	or other illegal acts. All actions
	taken are recorded here.
	LARBI BED COCUTUOU 19078.

Veterans Administration

ist:	following statistics are for closed es and open cases where some admin- rative or legal action was taken or . action was taken because no suspect ld be identified.	 The period of time between occur- rence and discovery of the fraud- ulent activity.
COU.	id be identified.	1339 Less than 3 months
		340 3 to 6 months 6 months to one year
1.	Number of fraud or other illegal ac-	377 1 to 2 years
	tivity cases in the universe.	512 More than 2 years
		255 Unknown
	1,996	
		6. How the alleged fraud was discov-
2.	Functional areas in which fraud occurred.	ered.
		Routine audit, inspec-
	Procurement - award	tion, investigation,
	Property disposition Payroll	or review
	o Grants	21 Specially requested sudit, inspection, or
	558 Financial assistance to	review
	individuals (excludes	382 Federal employee (know-
	loans)	ledge gained through
	O Enforcement (i.e. Customs,	work)
	IRS)	Former federal employee
	Provision of health care	29 Contractor personnel
	or social services	Grantee personnel (ex-
	12 Loans	cludes state or local
	1 Personnel	government personnel)
	1 Personnel 17 Inventory control/proper-	Former grantee personnel
	ty management	Private individual (not
	5 Mail service	former employee) 63 Informant
	21 Cash control	Consumer complaint form
	O Procurement - monitoring	Unsuccessful bidder
	31 Travel	O News media
	Administrative services	Congressional Committee
		Member - Staff
	O Personal property manage-	Alleged victim
	ment o Other	Confession by perpetrator
	O Unknown	Law enforcement investi-
	The state of the s	gation - state & local
3.	Principal types of fraud	466 Unknown
	6 Work hour abuses	7 Enhance of and a con-
	4 Private use of government	7. Estimate of amount of loss incurred
	property	by Federal Government
	254 Forgery	67 Less than \$100
	O Kickbacks/Bribes	148 \$101 - \$1,000
	1.555 False statements	<u>600</u> \$1,001 - \$10,000
	Non-performance of con- tract terms	160 \$10,001 - \$100,000
	61 Theft	0 \$100,001 - \$500,000
	Extortion	<u>o</u> \$500,001 - \$1 million
	Food Stamp irregulari-	
	ties	160 Monetary loss - amount not
	Miscellaneous fraud	available
		8. Participants in the alleged fraudu-
4.	The period of time over which the	lent activity.
	alleged fraudulent activity took	
	place.	275 Federal Government employ-
	853 Less than 3 months	ces alone and with others
	99 3 to 6 months	State and local government
	524 6 months to one year	employees
	301 I to 2 years	Federal contractor(s)/grant-
	176 More than 2 years 44 Unknown	ee(s) personnel - other than
	gg DINIDWII,	state and local novements

o Corporate recipient(s) of	7 Federal employee suspended
federal Government finan-	8 Federal employee issued
cial assistance	warning letter
802 Individual recipient(s) of	Federal employee issued
Federal Government finan-	letter of counseling
cial assistance	Federal employee issued
57 Other individual citizens	oral warning
164 Other corporate or business	Federal employee demoted
entities (such as lending	Coderal employee democed
institutions)	Federal employee trans-
	ferred
	Formal loss recovery plan
O State and local government	agreed to
employees in collusion with	Extra duty (military)
individual recipient(s) of	Employee resigned pending
Federal Government finan~	dismissal
cial assistance	o Other
Federal contractor(s)/	0 Unknown
grantee(s) personnel -	Y W CONT
(other than state and	12. Types of administrative action taken
local government(s))	against non-Federal government or-
in collusion with in-	ganizations (contractors, corpora-
dividual recipient(s)	tions, non-profit organizations,
of Federal Government	state and local governments, etc.)
financial assistance	or individuals. a/
Corporate recipients of	
Federal Covernment fi-	
nancial assistance in	ness with the Federal
collusion with indivi-	Government
	O Debarred from doing busi-
dual recipient(s) of	
Federal Government fi-	ness with the Federal
nancial assistance	Government
678 Other corporate or busi-	
ness entities (such as	5 Issued warning and they a-
lending institutions)	greed to take corrective
in collusion with indi-	action
vidual recipient(s) of	Formal loss recovery plan
Federal Government fi-	of taging
nencial assistance	835 No action taken
11011010101010100	58 Negotiating reimbursement
9. Statue of fraud cases.	
	gram participation under
1,666 Closed (Includes cases	status claimed
officially closed by	Employee/enlistee dismissed;
the agency)	employment/enlistment de-
330 Open (Includes cases under	nied
investigation and cases in	75 Other
which some action may have	33 Unknown
been taken but the cases	
were not officially closed)	13. Type of legal action or prosecution
were inc bilitially closed,	taken.
	5 Civil prosecution
The following statistics are for	
cases officially closed by the	Criminal prosecution
agency.	Civil and criminal prosecution
	Prc-trial diversion
	Court martial
10. Type of action taken against perpe-	
trator.	14. Outcome of civil proceedings.
-1 4744 1	-
and Admit Ad	5 Judgment for plaintiff
Administrative action only	O Judgment for the defendant
15 Legal action only	0 Unknown
Administrative and legal	- CHRITONI
action	
o Unknown	Outcome of criminal prosecution.
807 No action taken - sus-	(Excludes court martials)
pect identified	· · · · · · · · · · · · · · · · · · ·
No action taken - sus-	o Acquittal
pect unknown	
pect unidiown	
	contendre)
1]. Types of administrative action taken	O Other
against Federal employees. a/	
-2-1001 at embinages. 19/	
At	· · · · · · · · · · · · · · · · · · ·
	a/ In many cases, federal agencies took
No action taken	a/ In many cases, Federal agencies took
No action taken Federal employee dismissed	more than one type administrative
	more than one type administrative action against those who commit from
	more than one type administrative

(911534)

 $\{i,j\}_{i=1}^{n}$

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