

# GAO

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General Accounting Office  
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Office of the General Counsel

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October 31, 1986

Mr. David H. Martin  
Director, Office of Government Ethics  
P.O. Box 14108  
Washington, D.C. 20044

Dear Mr. Martin:

The following information is submitted as required by 5 C.F.R. 737.25 regarding Senior Employee designations under 18 U.S.C. 207, as amended.

As requested in your memorandum, we have reviewed the enclosed schedules of the United States General Accounting Office (GAO) designated and exempted positions and are returning annotated copies. In addition we are noting changes, additions, and deletions to the schedules in the body of this letter.

The changes to the current schedules of designated or exempted positions are the result of reorganizations and organizational realignments which changed the organizational titles of 19 positions, created 28 positions, abolished 7 positions, and more appropriately designated or exempted 3 positions. Where changes reflect substantive changes affecting the status relating to the Senior Employee designation, we have addressed the recommended change in status in the body of this letter.

Due to the scope of the reorganizations and attendant administrative workload, all of the requested position descriptions are not yet available; however, these positions will be forwarded to you as soon as they are all completed. All other requested information is hereby provided.

We are adding the following new positions to the schedule for designation as Senior Employee positions under 18 U.S.C. 207:

SES	Assistant Comptroller General for Resources, Community, and Economic Development Programs;
SES	Deputy Director for Operations, Accounting and Financial Management Division (AFMD);
SES	Deputy Director for Planning and Reporting, AFMD;
SES	Assistant Comptroller General for General Government Programs;
SES	Assistant Comptroller General for Human Resources Programs;
SES	Assistant Comptroller General for National Security and International Affairs Programs (NSIAD);

SES      Deputy Director for Planning and Reporting,  
            Information Management and Technology Division  
            (IMTEC);

SES      Special Assistant to the Comptroller General;

SES      Director, Office of Publishing and Communications  
            (OPC); and

SES      Director, Office of Information Resources  
            Management (OIRM).

Each of these positions is at the Deputy Director level or above. All are sufficiently broad-scoped in terms of supervisory responsibility and decisionmaking authority on major GAO policy issues in their respective areas to warrant their designation as Senior Employee positions.

The following new positions should be added to the schedule of exempted positions:

SES      Associate Director (Law Enforcement and Crime  
            Prevention), General Government Division (GGD);

SES      Director, Personnel Task Group;

SES      Post Assignment Quality Review System Team Director;

SES      Director, Office of Affirmative Action Plans;

SES      Associate Director, Resources, Community, and  
            Economic Development Division (RCED);

SES      Special Assistant to the Assistant Comptroller  
            General for RCED Programs;

SES      Special Assistant to the Director, European Office;

SES      Associate Director (Budget Systems and Practices),  
            AFMD;

SES      Associate Director (Civil Procurement, Property  
            Management, and Postal Matters), GGD;

SES      Associate Director (Navy), NSIAD;

SES      Associate Director (Defense Management and Support  
            Systems), IMTEC;

SES      Chief Scientist, IMTEC;

SES      Associate Director (Defense and Aeronautics  
            Missions), IMTEC;

SES Associate Director (Central Financial Operations and Law Enforcement Systems), IMTEC;

SES Associate Director (Government-wide Information Technology), IMTEC;

SES Associate Director (Accounting and Audit Policy), AFMD;

SES Associate Director (Integrated Financial Management Systems), AFMD; and

SES Associate Director (Financial Audits), AFMD.

Like other GAO positions for which exemption has been approved, we do not view the above positions as being sufficiently broad-scoped in terms of supervisory responsibilities or decisionmaking authority to warrant designation as Senior Employee positions. Each of these positions involves decisionmaking responsibility which does not regularly extend to major policy issues within the Agency and supervisory responsibility which extends to a portion, but not all, of a division or office. Accordingly, we recommend that these positions be exempted from designation.

The following positions should be deleted from the schedules, as annotated, as they have been abolished due to organizational changes:

Designated Schedule

SES Deputy Director of Personnel;

SES Assistant Comptroller General for Program Evaluation;

SES Director, Office of Quality Assurance (OQA);

SES Assistant to the Comptroller General for Retirement Matters; and

SES Director, Personnel Systems Development Project.

Exempted Schedule

SES Associate Director, NSIAD; and

SES Associate Director, Senior Level (Special Projects-- Future Cost of Weapons Systems), NSIAD.

After reviewing all designated and exempted positions, we determined that several positions were more appropriately designated as follows and hereby recommend changes to the schedules which have also been annotated on the enclosures:

From Exempted to Designated Schedule

SES                   Deputy Director for Planning and Reporting, Human Resources Division (HRD); and

SES                   Deputy Director for Operations, HRD.

From Designated to Exempted Schedule

SES                   Special Assistant to the Assistant Comptroller General for Operations

These recommended changes are in keeping with the above mentioned reasons for designating or exempting positions.

We noted on the exemptions schedule that two positions listed read incorrectly and should be amended to read as follows:

<u>Page</u>	<u>Position</u>	<u>Correct Title</u>
2	12	Associate Director (Natural Resources Management), RCED; and
3	7	Associate Director (Health Financing), HRD.

Six positions have been added under the authority of 31 U.S.C. 731(e)(1) (formerly Public Law 93-604). The positions range in pay equivalency from GS-16 to GS-18. These positions are equivalent in scope and responsibility to other positions already approved as exempted; they have neither significant supervisory responsibility nor decisionmaking authority in major policy areas. Because these positions serve in advisory and technical capacities, we recommend that they be exempted from designation.

We believe the above information complies with the reporting requirements under 5 C.F.R. 737.25. If there are any questions concerning this submission, please do not hesitate to contact us.

Sincerely,

*Henry R. Wray*  
Henry R. Wray  
GAO Ethics Counselor

Enclosures

AGENCY: GENERAL ACCOUNTING OFFICE

POSITIONS:

SES	Deputy Director, for Planning and Reporting (RCED)
SES	Director, Resources, Community and Economic Development Division (RCED)
SES	Deputy Director, for Operations (RCFD)
SES	Director, Accounting and Financial Management Division (AFMD)
SES	Deputy Director (AFMD)
SES	Director, General Government Division (GGD)
SES	Deputy Director for Planning and Reporting (GGD)
SES	Deputy Director for Operations (GGD)
SES	Director, Human Resources Division
SES	Deputy General Counsel
SES	Director, Office of Internal Evaluation
SES	Director, European Branch
SES	Director, Far East Branch
SES	Director, Office of Policy
SES	Director of Personnel
SES	Director, General Services and Controller
SES	Deputy Director of Personnel
SES	Deputy Director, General Services and Controller
SES	Director, Office of Organization and Human Development
SES	Director, Program Evaluation and Methodology Division

SES \* Deputy Director, Program Evaluation and Methodology Division

SES Director, Civil Rights Office

SES General Counsel

SES ~~Assistant Comptroller General for Program Evaluation~~

SES \* Assistant Comptroller General

SES Assistant Comptroller General for Planning and Reporting

SES Assistant Comptroller General for Operations

SES Assistant Comptroller General for Human Resources

SES ~~Director, Office of Quality Assurance~~

SES \* ~~Special Assistant to the Assistant Comptroller General for Operations (2)~~

SES \* ~~Assistant to the Comptroller General for Retirement Matters~~

SES \* ~~Director, Personnel Systems Development Project~~

SES \* Chief Economist

SES \* Deputy Director (OCE)

SES \* ~~Director, National Security and International Affairs (NSIAD)~~

SES \* Deputy Director for Planning and Reporting (NSIAD)

SES \* Deputy Director for Operations (NSIAD)

SES \* Director for Planning (NSIAD)

SES \* Director, Information Management and Technology Division (IMTEC)

SES \* Deputy Director for Operations (IMTEC)

SES \* Deputy Director for Planning and Reporting (IMTEC)

SES	Regional Manager, Los Angeles
SES	Regional Manager, Dallas
SES	Regional Manager, Philadelphia
SES	Regional Manager, Atlanta
SES	Regional Manager, Boston
SES	Regional Manager, San Francisco
SES	Regional Manager, Washington
SES	Regional Manager, Denver
SES	Regional Manager, New York
SES	Regional Manager, Detroit
SES	Regional Manager, Cincinnati
SES	Regional Manager, Kansas City
SES	Regional Manager, Seattle
SES	Regional Manager, Chicago
SES	Regional Manager, Norfolk

SES      Director, Research & Development

SES      Director, Manufacturing

SES      Director, Distribution & Management

SES	Director, Office of Program Planning, Office of Program Planning
GS-11/9	Executive Director, Joint Financial Management Improvement Program
SES	Associate Director, (Methodology Development and Measurement Assistance Group) PEMD
SES	Associate Director, (Demonstration, Design and Technical Assistance Group) PEMD
SES	Assistant General Counsel (Personnel Law), Office of the General Counsel
SES	Assistant General Counsel (Personnel Law), Office of the General Counsel
SES	Assistant General Counsel (Contracts), Office of the General Counsel
SES	Assistant General Counsel (Contracts), Office of the General Counsel
SES	Assistant General Counsel (Special Studies and Analysis), Office of the General Counsel
SES	Assistant General Counsel (General Government Matters), Office of the General Counsel
SES	Associate Director (Transportation Programs and Regulatory), Resources, Community, and Economic Development Division (2)
SES	Associate Director, (National Resources Management), (RCED)
SES	Associate Director (Housing and Community Development Programs), Resources, Community, and Economic Development Division
SES	Associate Director for Management Reviews, (RCED)
SES	Associate Director (Food Policy), Resources, Community, and Economic Development Division
SES	Associate Director, Senior Level (Fossil Energy Supply and Regulation), RCED
SES	Associate Director (Energy), RCED
SES	Associate Director (Energy), RCED
SES	Associate Director (Energy), RCED

SES Associate Director (Nuclear Energy, DOE Management and Administration), RCED

SES Associate Director (Fraud Prevention and Audit Oversight), Accounting and Financial Management Division

SES Associate Director (Accounting Systems Policy and Methodology), Accounting and Financial Management Division

SES Associate Director, (Tax Administration), General Government Division

SES Associate Director, (Health Delivery and Quality of Care), Human Resources Division

SES Associate Director (Health, Finance), Human Resources Division

SES Associate Director, Senior Level, (Employment, Training and Education), Human Resources Division

SES Associate Director, (Congressional Request Group), HRD (1)

SES Chief Medical Officer, Human Resources Division

SES Associate Director for Management Reviews, HRD

SES Associate Director (Command, Control, Communications, and Intelligence), NSIAD

GS-18 Chair, GAO Appeals Board

GS-18 Board Members, GAO Appeals Board (4)

SES Associate Director for Management Reviews, (GGD)

SES Associate Director (Civilian Personnel), (GGD)

SES Associate Director, / (Facilities Acquisition and Management), (GGD)

SES Associate Director (Civil Personnel, Training Management, and Education), GGD

SES Associate Director (National Productivity Group), (GGD)

SES Associate Director (NSIAD)

SES Associate Director (Manpower, Reserve Affairs, and Logistics), (NSIAD)

## EXEMPTIONS

## General Accounting Office

SES Associate Director, (Accounting Systems Audit), Accounting and Financial Management Division

SES Associate Director, Senior Level (Programs and Information), (AFMD)

SES Associate Director, Senior Level (~~Intergovernmental Relations and Postal Matters~~), General Government Division

SES Deputy Director (Planning and Reporting), Human Resources Division

SES Deputy Director (Operations), Human Resources Division

SES Associate Director, (Income Security Programs), Human Resources Division

SES Associate Director Senior Level (Food, Agriculture and Land Programs), Resources, Community and Economic Development Division

SES Associate Director (Financial Institution Regulatory Agencies), General Government Division

SES Associate Director, Senior Level (Environmental Protection, Water and Oceans Programs), Resources, Community and Economic Development Division

SES Director, Office of Congressional Relations, Reporting to the Comptroller General

SES Associate General Counsel (Personnel Law), Office of the General Counsel

SES Associate General Counsel (Special Studies and Analysis), Office of the General Counsel

SES Associate General Counsel (~~Office of the General Counsel~~)

SES Associate General Counsel (General Government Matters), Office of the General Counsel

, Senior Level

SES Associate Director ~~in Charge~~ (Air Force), (NSIAD)

SES Associate Director, Senior Level (Special Projects - Future Cost of Weapons Systems), (NSIAD)

SES Associate Director, Senior Level (Navy), (NSIAD)

SES Associate Director, Senior Level (Army), (NSIAD)

SES Associate Director (Security and International Relations), (NSIAD)

SES Associate Director (Trade, Energy, and Finance), (NSIAD)

SES Associate Director (Development Assistance), (NSIAD)

SES Associate Director ~~for Management Reviews~~ (NSIAD)

SES Associate Director (Research, Development, Acquisition and General Procurement), (NSIAD) (2)

SES Associate Director (Army), (NSIAD)

SES Associate Director (Air Force), (NSIAD) (2)

SES Associate Director, Senior Level (IMTEC)

SES Associate Director ~~for~~ (Natural Resources and Human Services) (IMTEC)

SES Associate Director (IMTEC)

SES Associate Director (Defense Management and Warfare Systems), (IMTEC)

SES Associate Director, (IMTEC)

SES Associate Director (DDE, Defense Materiel and Supply), (DDE)

SES Associate Director (Central Financial Control and Bank Enterprise Services), (DDE)

SES Associate Director (Information and Information Technology), (DDE)

SES Associate Director (Accounting and Audit Policy), (AFMIS)

SES Associate Director (Integrated Financial Management - AFMIS), (AFMIS)

SES Associate Director (Financial Management), (AFMIS)

## Attachment C

## EXECUTIVE LEVEL POSITIONS

## General Accounting Office

**ELII** **Comptroller General**

**ELIII**      **Deputy Comptroller General**

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