



United States
General Accounting Office
Washington, D.C. 20548

Office of the General Counsel

B-225102

October 31, 1986

Mr. David H. Martin
Director, Office of Government Ethics
P.O. Box 14108
Washington, D.C. 20044

Dear Mr. Martin:

The following information is submitted as required by 5 C.F.R. 737.25 regarding Senior Employee designations under 18 U.S.C. 207, as amended.

As requested in your memorandum, we have reviewed the enclosed schedules of the United States General Accounting Office (GAO) designated and exempted positions and are returning annotated copies. In addition we are noting changes, additions, and deletions to the schedules in the body of this letter.

The changes to the current schedules of designated or exempted positions are the result of reorganizations and organizational realignments which changed the organizational titles of 19 positions, created 28 positions, abolished 7 positions, and more appropriately designated or exempted 3 positions. Where changes reflect substantive changes affecting the status relating to the Senior Employee designation, we have addressed the recommended change in status in the body of this letter.

Due to the scope of the reorganizations and attendant administrative workload, all of the requested position descriptions are not yet available; however, these positions will be forwarded to you as soon as they are all completed. All other requested information is hereby provided.

We are adding the following new positions to the schedule for designation as Senior Employee positions under 18 U.S.C. 207:

SES	Assistant Comptroller General for Resources, Community, and Economic Development Programs;
SES	Deputy Director for Operations, Accounting and Financial Management Division (AFMD);
SES	Deputy Director for Planning and Reporting, AFMD;
SES	Assistant Comptroller General for General Government Programs;
SES	Assistant Comptroller General for Human Resources Programs;
SES	Assistant Comptroller General for National Security and International Affairs Programs (NSIAD);

SES	Deputy Director for Planning and Reporting, Information Management and Technology Division (IMTEC);
SES	Special Assistant to the Comptroller General;
SES	Director, Office of Publishing and Communications (OPC); and
SES	Director, Office of Information Resources Management (OIRM).

Each of these positions is at the Deputy Director level or above. All are sufficiently broad-scoped in terms of supervisory responsibility and decisionmaking authority on major GAO policy issues in their respective areas to warrant their designation as Senior Employee positions.

The following new positions should be added to the schedule of exempted positions:

SES	Associate Director (Law Enforcement and Crime Prevention), General Government Division (GGD);
SES	Director, Personnel Task Group;
SES	Post Assignment Quality Review System Team Director;
SES	Director, Office of Affirmative Action Plans;
SES	Associate Director, Resources, Community, and Economic Development Division (RCED);
SES	Special Assistant to the Assistant Comptroller General for RCED Programs;
SES	Special Assistant to the Director, European Office;
SES	Associate Director (Budget Systems and Practices), AFMD;
SES	Associate Director (Civil Procurement, Property Management, and Postal Matters), GGD;
SES	Associate Director (Navy), NSIAD;
SES	Associate Director (Defense Management and Support Systems), IMTEC;
SES	Chief Scientist, IMTEC;
SES	Associate Director (Defense and Aeronautics Missions), IMTEC;

SES	Associate Director (Central Financial Operations and Law Enforcement Systems), IMTEC;
SES	Associate Director (Government-wide Information Technology), IMTEC;
SES	Associate Director (Accounting and Audit Policy), AFMD;
SES	Associate Director (Integrated Financial Management Systems), AFMD; and
SES	Associate Director (Financial Audits), AFMD.

Like other GAO positions for which exemption has been approved, we do not view the above positions as being sufficiently broad-scooped in terms of supervisory responsibilities or decisionmaking authority to warrant designation as Senior Employee positions. Each of these positions involves decisionmaking responsibility which does not regularly extend to major policy issues within the Agency and supervisory responsibility which extends to a portion, but not all, of a division or office. Accordingly, we recommend that these positions be exempted from designation.

The following positions should be deleted from the schedules, as annotated, as they have been abolished due to organizational changes:

Designated Schedule

SES	Deputy Director of Personnel;
SES	Assistant Comptroller General for Program Evaluation;
SES	Director, Office of Quality Assurance (OQA);
SES	Assistant to the Comptroller General for Retirement Matters; and
SES	Director, Personnel Systems Development Project.

Exempted Schedule

SES	Associate Director, NSIAD; and
SES	Associate Director, Senior Level (Special Projects-- Future Cost of Weapons Systems), NSIAD.

After reviewing all designated and exempted positions, we determined that several positions were more appropriately designated as follows and hereby recommend changes to the schedules which have also been annotated on the enclosures:

From Exempted to Designated Schedule

SES Deputy Director for Planning and Reporting, Human Resources Division (HRD); and

SES Deputy Director for Operations, HRD.

From Designated to Exempted Schedule

SES Special Assistant to the Assistant Comptroller General for Operations

These recommended changes are in keeping with the above mentioned reasons for designating or exempting positions.

We noted on the exemptions schedule that two positions listed read incorrectly and should be amended to read as follows:

<u>Page</u>	<u>Position</u>	<u>Correct Title</u>
2	12	Associate Director (Natural Resources Management), RCED; and
3	7	Associate Director (Health Financing), HRD.

Six positions have been added under the authority of 31 U.S.C. 731(e)(1) (formerly Public Law 93-604). The positions range in pay equivalency from GS-16 to GS-18. These positions are equivalent in scope and responsibility to other positions already approved as exempted; they have neither significant supervisory responsibility nor decisionmaking authority in major policy areas. Because these positions serve in advisory and technical capacities, we recommend that they be exempted from designation.

We believe the above information complies with the reporting requirements under 5 C.F.R. 737.25. If there are any questions concerning this submission, please do not hesitate to contact us.

Sincerely,


Henry R. Wray
GAO Ethics Counselor

Enclosures

AGENCY: GENERAL ACCOUNTING OFFICE**POSITIONS:**

SES		Deputy Director, for Planning and Reporting (RCED)
SES		Director, Resources, Community and Economic Development Division (RCED)
SES		Deputy Director, for Operations (RCFD)
SES		Director, Accounting and Financial Management Division (AFMD)
SES		Deputy Director (AFMD)
SES		Director, General Government Division (GGD)
SES	*	Deputy Director for Planning and Reporting (GGD)
SES	*	Deputy Director for Operations (GGD)
SES		Director, Human Resources Division
SES		Deputy General Counsel
SES		Director, Office of Internal Evaluation
SES	*	Director, European Branch Office
SES	*	Director, Far East Branch Office
SES		Director, Office of Policy
SES		Director of Personnel
SES		Director, General Services and Controller
SES		Deputy Director of Personnel
SES		Deputy Director, General Services and Controller
SES		Director, Office of Organization and Human Development
SES	*	Director, Program Evaluation and Methodology Division

SES * Deputy Director, Program Evaluation and Methodology Division

SES Director, Civil Rights Office

SES General Counsel

~~SES Assistant Comptroller General for Program Evaluation~~

SES * Assistant Comptroller General

SES Assistant Comptroller General for Planning and Reporting

SES Assistant Comptroller General for Operations

SES Assistant Comptroller General for Human Resources

~~SES Director, Office of Quality Assurance~~

~~SES * Special Assistant to the Assistant Comptroller General for Operations (2)~~

~~SES * Assistant to the Comptroller General for Retirement Matters~~

~~SES * Director, Personnel Systems Development Project~~

SES * Chief Economist

SES * Deputy Director (OCE)

SES * A Director, National Security and International Affairs (NSIAD) Programs

SES * Deputy Director for Planning and Reporting (NSIAD)

SES * Deputy Director for Operations (NSIAD)

SES * Director for Planning (NSIAD)

SES * Director, Information Management and Technology Division (IMTEC)

SES * Deputy Director for Operations (IMTEC)

SES Deputy Director for Planning and Reporting (IMTEC)

SES	Regional Manager, Los Angeles
SES	Regional Manager, Dallas
SES	Regional Manager, Philadelphia
SES	Regional Manager, Atlanta
SES	Regional Manager, Boston
SES	Regional Manager, San Francisco
SES	Regional Manager, Washington
SES	Regional Manager, Denver
SES	Regional Manager, New York
SES	Regional Manager, Detroit
SES	Regional Manager, Cincinnati
SES	Regional Manager, Kansas City
SES	Regional Manager, Seattle
SES	Regional Manager, Chicago
SES	Regional Manager, Norfolk

SES Special Assistant to the Controller

SES Director of Planning and Development

SES Director of Planning and Development

SES	Director, Office of Program Planning, Office of Program Planning
GS-119	Executive Director, Joint Financial Management Improvement Program
SES	Associate Director / (Methodology Development and Measurement Assistance Group) PEMD
SES	Associate Director / (Demonstration, Design and Technical Assistance Group) PEMD
SES	Assistant General Counsel (Personnel Law), Office of the General Counsel
SES	Assistant General Counsel (Personnel Law), Office of the General Counsel
SES	Assistant General Counsel (Contracts), Office of the General Counsel
SES	Assistant General Counsel (Contracts), Office of the General Counsel
SES	Assistant General Counsel (Special Studies and Analysis), Office of the General Counsel
SES	Assistant General Counsel (General Government Matters), Office of the General Counsel
SES	Associate Director (Transportation Programs and Regulatory), Resources, Community, and Economic Development Division (2)
SES	Associate Director / (National Resources Management) , (RCED)
SES	Associate Director (Housing and Community Development Programs), Resources, Community, and Economic Development Division
SES	Associate Director for Management Reviews / (and Financial Institutions Unit) RCED
SES	Associate Director (Food Policy), Resources, Community, and Economic Development Division
SES	Associate Director, Senior Level (Fossil Energy Supply and Regulation), RCED
SES	Associate Director (Energy), RCED
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SES	
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SES Associate Director (Nuclear Energy, ^{and Electricity} ~~DOE Management and Administration~~), RCED
 SES Associate Director (Fraud Prevention and Audit Oversight), Accounting and Financial Management Division
 SES Associate Director (Accounting Systems Policy and Methodology), Accounting and Financial Management Division
 SES Associate Director, (Tax Administration), General Government Division
 SES Associate Director, (Health Delivery and Quality of Care), Human Resources Division
 SES Associate Director (Health, ^{Finance} ~~Finance~~), Human Resources Division
 SES Associate Director, ~~Senior Level~~ (Employment, Training and Education), Human Resources Division
 SES Associate Director, (Congressional Request Group), HRD (2)
 SES Chief Medical Officer, Human Resources Division
 SES Associate Director for Management Reviews, HRD
 SES Associate Director (Command, Control, Communications, and Intelligence), NSIAD
 GS-18 Chair, GAO, Appeals Board
 GS-18 Board Members, GAO, Appeals Board (4)
 SES Associate Director for Management Reviews, (GGD)
 SES Associate Director (Civilian Personnel), (GGD)
 SES Associate Director, ^{Senior Level} (Facilities ~~Acquisition and~~ ^{Postal Materials} ~~Management~~), (GGD)
 SES Associate Director (National Productivity Group), (GGD)
~~SES Associate Director (NSIAD)~~
 SES Associate Director (Manpower, Reserve Affairs, and Logistics), (NSIAD)

EXEMPTIONS

General Accounting Office

SES	Associate Director, (Accounting Systems Audit), Accounting and Financial Management Division
SES	Associate Director, Senior Level (Programs and Information), (AFMD)
SES	Associate Director, Senior Level (Intergovernmental Relations and Postal Matters), General Government Division
SES	Deputy Director (Planning and Reporting), Human Resources Division
SES	Deputy Director (Operations), Human Resources Division
SES	Associate Director, (Income Security Programs), Human Resources Division
SES	Associate Director Senior Level (Food, Agriculture and Land Programs), Resources, Community and Economic Development Division
SES	Associate Director (Financial Institution Regulatory Agencies), General Government Division
SES	Associate Director, Senior Level (Environmental Protection, Water and Oceans Programs), Resources, Community and Economic Development Division
SES	Director, Office of Congressional Relations, Reporting to the Comptroller General
SES	Associate General Counsel (Personnel Law), Office of the General Counsel
SES	Associate General Counsel (Special Studies and Analysis), Office of the General Counsel
SES	Associate General Counsel, Office of the General Counsel
SES	Associate General Counsel (General Government Matters), Office of the General Counsel

SES	Associate Director, ^{Senior Level} in Charge (Air Force), (NSIAD)
SES	Associate Director, Senior Level (Special Projects - Future Cost of Weapons Systems), (NSIAD)
SES	Associate Director, Senior Level (Navy), (NSIAD)
SES	Associate Director, Senior Level (Army), (NSIAD)
SES	Associate Director (Security and International Relations), (NSIAD)
SES	Associate Director (Trade, Energy, and Finance), (NSIAD)
SES	Associate Director (Development Assistance), (NSIAD)
SES	Associate Director, ^(Planning and Budget Management Systems) for Management Reviews (NSIAD)
SES	Associate Director (Research, Development, Acquisition and General Procurement), (NSIAD) (2)
SES	Associate Director (Army), (NSIAD)
SES	Associate Director (Air Force), (NSIAD) (2)
SES	Associate Director , Senior Level (IMTEC)
SES	Associate Director for (Natural Resources and Human Systems), Services (IMTEC)
SES	Associate Director, ^(Energy, Science, Systems) (IMTEC)
SES	Associate Director (Defense Management and Support Systems), (IMTEC)
SES	Associate Director (IMTEC)
SES	Associate Director (Defense and International Affairs), (IMTEC)
SES	Associate Director (Control Systems, Operations and Enterprise Systems), (IMTEC)
SES	Associate Director (Government and Information Technology), (IMTEC)
SES	Associate Director (Acquisition and Audit Policy), (AFMID)
SES	Associate Director (Integrated Financial Management Systems), (AFMID)
SES	Associate Director (Finance and Auditing), (AFMID)

EXECUTIVE LEVEL POSITIONS

General Accounting Office

ELII

Comptroller General

ELIII

Deputy Comptroller General

ELV

Assistant Comptroller General, Financial Management
and Information Systems