# GAO Science, Technology Assessment, and Analytics

## SCIENCE & TECH SPOTLIGHT: E-CIGARETTES

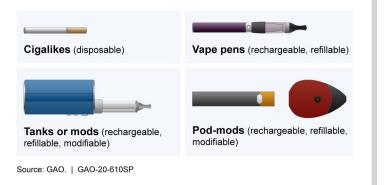
### **JUNE 2020**

#### WHY THIS MATTERS

With millions of teens and young adults using e-cigarettes, a new generation could become addicted to nicotine and face other health risks. For some adult smokers, e-cigarettes may offer a less harmful substitute for traditional cigarettes. However, longterm effects on users, second-hand exposure, and environmental effects are not yet fully known.

#### /// THE TECHNOLOGY

<u>What is it?</u> Electronic cigarettes—or e-cigarettes—are battery-powered devices that convert a liquid, usually with nicotine, into an inhalable aerosol. They are designed as an alternative to smoking traditional cigarettes. First introduced in the United States about 15 years ago, e-cigarettes have evolved from disposable, single-use products to more sophisticated devices that can be refilled and customized (fig. 1).



#### Figure 1. E-cigarettes can vary widely in design and appearance.

E-cigarettes are popular among teens and young adults, according to the Centers for Disease Control and Prevention (CDC). In 2019, nearly 28 percent of high-school students and 11 percent of middle-school students reported using e-cigarettes. About 8 percent of young adults ages 18 to 24 reported using e-cigarettes in 2018.

How does it work? Although e-cigarettes vary in design and appearance, they generally work the same way. An e-cigarette has four components: battery, cartridge or tank that holds a liquid, heating element or atomizer, and mouthpiece. Studies have identified a wide variety of chemicals in the cartridges, liquids, and aerosols, including between 60 and 113 ingredients in different liquid brands. According to CDC, the aerosol from the liquid generally contains fewer harmful chemicals than smoke from traditional cigarettes. However, risks of injury and death from e-cigarettes are becoming more apparent, and the toxicity of some ingredients in inhaled form is largely unknown.

The liquid typically contains water and other solvents, nicotine, flavoring, and other substances. The liquid can also contain tetrahydrocannabinol (THC), the main psychoactive chemical in marijuana. The aerosol can contain metals, volatile organic compounds, and formaldehyde, which is a known carcinogen.

The device heats the liquid, creating an aerosol. Inhalation, commonly called vaping, deposits particles in the user's lungs (fig. 2). Substances in the particles, including nicotine, pass into the blood. Nicotine flows rapidly into the brain, resulting in the release of dopamine, which is associated with pleasure and appetite suppression. Nicotine can cause addiction. E-cigarettes mimic the sensory experiences of smoking a traditional cigarette, such as inhaling, exhaling, and the hand-to-mouth motion. These have been found to contribute to addiction by creating additional pleasurable sensations through the taste, sight, and smell.

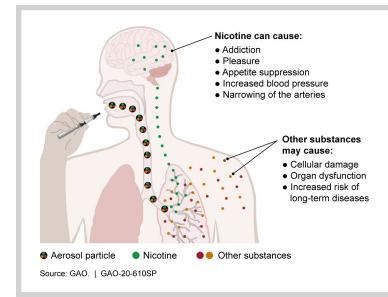


Figure 2. Substances from inhaled aerosol particles reaching the e-cigarette user's lungs, blood, and brain.

Nicotine exposure during adolescence can affect learning, memory, and attention. It can also increase risk for future addiction to nicotine and other drugs. However, many young users report they began using e-cigarettes because of the flavors and, according to CDC, do not realize they are inhaling nicotine.

How mature is it? E-cigarettes are relatively new. Therefore, direct and indirect health effects are not yet fully known, including long-term effects on users (e.g., increase or reduction in smoking), second-hand exposure to exhaled aerosol and accidental ingestion of the liquid, and environmental effects (e.g., lack of recycling and waste streams for used devices).

In addition to the risk of nicotine addiction, e-cigarette use is associated with serious lung injury. As of February 2020, e-cigarette lung injury resulted in over 2,700 hospitalizations, mostly among young adults, and 68 deaths in the United States. Public health agencies found these

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cases are strongly linked to vitamin E acetate, an additive in some THCcontaining e-cigarettes, but have not ruled out the possible contribution of other chemicals.

The Food and Drug Administration (FDA) extended its regulatory authority over tobacco products to include e-cigarettes in May 2016. In December 2019, Congress raised the minimum age to buy tobacco products, including e-cigarettes, from 18 to 21. Because of the popularity of certain flavored e-cigarette products among children, FDA stated in January 2020 that it would prioritize enforcement actions against the manufacture and sale of most flavors in cartridge-based e-cigarettes. In April 2020, FDA extended from May to September 2020 the deadline for manufacturers to apply for premarket authorization, a process that includes the scientific evaluation of risks and benefits of e-cigarettes for the U.S. population.

#### **/// OPPORTUNITIES**

Possible reduction in smoking. E-cigarette use may offer opportunities to reduce the known long-term health effects of smoking traditional cigarettes, such as cancer and heart disease. According to CDC, e-cigarettes may benefit adult smokers who are not pregnant if used as a substitute for, not a complement to, traditional cigarettes. However, their long-term health effects and efficacy as tobacco cessation devices are not yet clear, and FDA has not approved e-cigarettes for tobacco cessation.

#### /// CHALLENGES

- Increased nicotine addiction. For younger users, e-cigarettes may lead to smoking, according to a 2018 study by the National Academies of Sciences, Engineering, and Medicine. This outcome could erase decades of progress in reducing smoking, the leading cause of preventable death in the United States and globally.
- Toxicity of inhaled chemicals. The toxicity of some inhaled additives is unknown because they had not previously been used for inhalation. This includes additives considered safe for ingestion in food or drink. For example, it is known that one butter-flavor additive (diacetyl) used in e-cigarettes caused serious lung injury and death when inhaled by workers at a popcorn factory.
- Varying hazards due to lack of standardization. Differences in design and engineering influence the concentrations of chemicals in the liquid and aerosol. This likely has implications for the e-cigarettes' health impact on users exposed to inhaled aerosol and non-users exposed to exhaled aerosol or to the liquid.

#### Effect on indoor and outdoor environments. E-cigarettes increase concentrations of nicotine, particulate matter, and other potentially toxic substances in indoor environments. The devices' lithium-ion batteries and trace amounts of hazardous chemicals make disposal and recycling difficult.

Possible impact on COVID-19 patients. Some public health officials have cautioned that because the virus that causes COVID-19 affects the respiratory tract, e-cigarette users and smokers could be at higher risk of COVID-19 complications. This is a new area of research.

#### /// POLICY CONTEXT AND QUESTIONS

- What are the health and environmental effects of e-cigarettes?
- What information and data could help policymakers better understand the risks and potential benefits of e-cigarettes compared to traditional cigarettes?
- What additional approaches might help discourage young people from using e-cigarettes? For example, how might federal and state taxes affect use?
- How can e-cigarettes be made safer to reduce second-hand exposure?

#### /// SELECTED GAO WORK

- GAO, Electronic Cigarettes: U.S. Imports, 2016-2018, GAO-19-619R: Jul. 12, 2019.
- GAO, Electronic Cigarettes: Effect on Federal Excise Taxes Collected on Traditional Cigarettes Is Not Currently Evident, GAO-15-771: Sept. 14, 2015.

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Food and Drug Administration. Vaporizers, E-Cigarettes, and other Electronic Nicotine Delivery Systems (ENDS), accessed Jun. 12, 2020.

National Academies of Sciences, Engineering, and Medicine. Public Health Consequences of E-Cigarettes. Washington, D.C.: The National Academies Press, 2018.

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