INDIAN EDUCATION

Actions Needed to Ensure Students with Disabilities Receive Special Education Services
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What GAO Found

Schools funded by the Bureau of Indian Education (BIE) are required under the Individuals with Disabilities Education Act (IDEA) to provide services for eligible students with disabilities, such as learning disabilities or health impairments. Services for these students are listed in individualized education programs (IEP). GAO found that BIE schools did not provide or did not account for 38 percent of special education and related service time for students with disabilities, according to analysis of school documentation for a 4-month review period (see fig.). This included one school that did not provide any services to three students. While BIE has plans to improve documentation of such services, it has not established whether and when missed services should be made up, which has led to inconsistent practices among schools. Establishing consistent requirements for making up missed services could help students receive the special education and related services they need to make academic progress.

Why GAO Did This Study

BIE funds 185 elementary and secondary schools that serve more than 6,000 Native American students with special needs. The Department of Education has raised concerns about BIE’s implementation of IDEA in recent years, including its long-standing noncompliance with IDEA requirements. GAO was asked to examine the provision of special education and related services to eligible BIE students.

This report examines the extent to which (1) BIE students with disabilities are provided the special education and related services required by their IEPs, and (2) BIE oversees and supports the provision of special education at its schools. GAO analyzed data on special education and related services for a generalizable sample of 138 BIE students with IEPs at 30 schools over a 4-month period in school year 2017-2018 (the most recent complete school year at the time of our analysis); compared BIE special education practices with its policies and Interior and IDEA requirements; visited schools in two states selected for their large numbers of BIE schools; and interviewed school and agency officials.

What GAO Recommends

GAO is making seven recommendations, including that BIE establish consistent requirements for schools on making up missed services, annually verify special education services at all schools, comply with high-risk monitoring and technical assistance requirements, and ensure that BIE staff receive needed training. Interior agreed with the recommendations.

Percentage of Special Education and Related Service Time Provided by Bureau of Indian Education Schools to Eligible Students (between October 2017 and February 2018)

<table>
<thead>
<tr>
<th></th>
<th>Documented as received</th>
<th>Documented as not received</th>
<th>Documentation not provided</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>62%</td>
<td>20%</td>
<td>18%</td>
</tr>
</tbody>
</table>

Source: GAO analysis of Bureau of Indian Education school documentation. | GAO-20-358

Note: Estimates included in this figure have a margin of error at the 95 percent confidence level of plus or minus 12 percentage points or fewer.

BIE’s limited monitoring and technical assistance have hindered its oversight and support for special education at schools. For example:

- A division of BIE responsible for overseeing about half of all BIE schools decided to verify the provision of special education services at only one-third of its schools per year, although the Department of the Interior (Interior) requires BIE to annually verify the provision of services at all schools.
- BIE provided required monitoring reports late and did not provide required technical assistance plans to 14 schools that BIE determined were at high risk of not complying with IDEA and other federal education programs in school year 2018-2019.
- BIE officials said that the field office staff responsible for working with schools on special education often do not have the requisite expertise, which has hampered their oversight and support to schools.

Without verifying special education services at every school annually, following high-risk monitoring and technical assistance requirements, and providing training to its staff, BIE cannot ensure that the schools it funds are meeting their responsibilities under IDEA. Strengthening such oversight and support activities can help BIE as it works to address the unique needs of students with disabilities to help prepare them for future education, employment, and independent living.
Figure 3: Bureau of Indian Education (BIE) Offices Responsible for Overseeing and Supporting Special Education Programs at K-12 Schools

Figure 4: Estimated Percentage of Special Education and Related Services Time Provided by Bureau of Indian Education Schools to Eligible Students (between October 2017 and February 2018)

Figure 5: Reasons for Missed Special Education Services for Students as Documented by Bureau of Indian Education Schools

Figure 6: Number of Sampled Bureau of Indian Education Schools with Varying Practices For Whether to Make Up Missed Special Education Services, by Reason

Figure 7: Number of Days between the Bureau of Indian Education’s (BIE) School Monitoring Visits and Issuance of Monitoring Reports to Schools, School Year 2018-2019

Abbreviations

BIE   Bureau of Indian Education  
DPA   Division of Performance and Accountability  
Education Department of Education  
IDEA   Individuals with Disabilities Education Act  
IEP   individualized education program  
Indian Affairs Office of the Assistant Secretary-Indian Affairs  
Interior Department of the Interior  
NASIS   Native American Student Information System  
OSEP   Office of Special Education Programs  

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May 22, 2020

The Honorable Virginia Foxx
Republican Leader
Committee on Education and Labor
House of Representatives

Dear Dr. Foxx:

The Bureau of Indian Education (BIE), within the Department of the Interior (Interior), supports 185 elementary and secondary schools that serve approximately 41,000 mostly low-income Native American students in rural communities on or near reservations in 23 states. In fiscal year 2019, BIE received about $78 million from the Department of Education (Education) to help ensure that all eligible children with disabilities ages 5 through 21 at BIE schools receive special education and related services—such as speech-language pathology and occupational therapy—as required by Part B of the Individuals with Disabilities Education Act (IDEA). Special education and related services under IDEA are designed, among other things, to meet students’ unique needs and prepare them for further education, employment, and independent living.

Education has raised significant concerns about BIE’s implementation of IDEA in recent years, including concerns about BIE’s long-standing noncompliance with various IDEA requirements and repeated failure to take Education’s required corrective actions. As a result of BIE’s continued noncompliance, Education in July 2019 withheld 20 percent of BIE’s fiscal year 2019 IDEA Part B funds reserved for administrative costs, an action the department has taken very infrequently. Further, our prior work has found numerous weaknesses in BIE’s management and oversight of BIE schools, including problems with monitoring school

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1About two-thirds of BIE-funded schools are run by tribes, primarily through federal grants, and about one-third are run directly by the bureau.

2In this report, we define BIE schools as all schools that receive BIE funding, including schools run by tribes.

3IDEA is codified at 20 U.S.C. §§ 1400-1482.
You asked us to review special education and related services at BIE schools. For this report, we examined (1) the extent to which eligible BIE students with disabilities are provided the special education and related services required by their individualized education programs (IEP); and (2) the extent to which BIE oversees and supports the provision of these services at its schools.

To determine the extent to which eligible BIE students with disabilities are provided the special education and related services in their IEPs, as required by IDEA, we conducted a generalizable analysis of BIE schools’ provision of special education and related services for a 4-month period between October 2017 and February 2018, based on information contained in school service logs during the 2017-2018 school year, the most recent complete school year at the time of our analysis. We compiled and analyzed data for a nationally representative, random sample of 138 students with IEPs at 30 randomly selected BIE schools. We collected electronic documentation of services from schools for this period. Because the information contained in school service logs is self-reported by school personnel or service contractors, we were not able to assess the overall accuracy of this information, such as whether services were actually provided—a limitation that generally applies to research relying on self-reported information. We conducted extensive follow-up with schools, however, to ensure the most complete data collection possible and contacted schools when further information or clarification was needed to understand service log entries. We then compiled and coded the information from school documents for statistical analysis to determine the extent to which students in our sample received the services required by their IEPs, based on school documentation. Our results are generalizable to all BIE students who had an active IEP in place between September 2017 and February 2018, which included


5Service logs are documentation created by school personnel or contractors to record information about the provision of services required by students’ IEPs.

6For the purposes of this report, the term “BIE students with disabilities” means students with disabilities attending schools that receive BIE funding.
2,904 students from 169 schools. All percentage estimates in this report have a margin of error, at the 95 percent confidence level, of plus or minus 12 percentage points or fewer unless otherwise noted.

Additionally, we requested information from each of the schools in our sample about any challenges the schools face in providing special education and related services. We also conducted site visits to seven schools in New Mexico and Arizona—selected for their large numbers of BIE schools—and interviewed school administrators and teachers about their special education programs and services for students, including any challenges they face in providing services. In addition, we interviewed BIE officials about the bureau’s practices in supporting schools in addressing any challenges related to providing special education and related services to students. We compared BIE’s practices with requirements under IDEA, BIE’s policies and procedures, and federal standards for internal control.

To examine the extent to which BIE oversees and supports the provision of special education and related services at its schools, we reviewed BIE monitoring and technical assistance policies and procedures and interviewed agency officials about monitoring and assistance activities. We compared these activities against IDEA, Interior, and BIE requirements as well as federal standards for internal control to evaluate the sufficiency of their efforts in monitoring and supporting BIE schools’ special education programs.

For both research objectives, we also collected information from national organizations with expertise in Indian education and from members of BIE’s advisory committee on special education, which is tasked with providing the Secretary of the Interior information and recommendations on BIE’s implementation of IDEA. See appendix I for more information on our objectives, scope, and methodology.

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7Our analysis of special education and related services used data starting in October 2017—rather than September 2017—to ensure that for IEPs established at the beginning of the school year, schools had sufficient time to coordinate and begin providing services.

8Our criteria for selecting schools included special education student enrollment size, whether a school was operated by BIE or a tribe, and tribal affiliation.

9The advisory board is required to, among other things, “advise and assist the Secretary of the Interior in the performance of the Secretary of Interior’s responsibilities” prescribed in IDEA. 20 U.S.C. § 1411(h)(6).
We conducted this performance audit from July 2018 to May 2020 in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

Background

BIE Schools and the Federal Government’s Trust Responsibility

BIE’s Indian education programs derive from the federal government’s trust responsibility to Indian tribes, a responsibility established in federal statutes, treaties, court decisions, and executive actions. In 2016, the Indian Trust Asset Reform Act included congressional findings stating “through treaties, statutes, and historical relations with Indian tribes, the United States has undertaken a unique trust responsibility to protect and support Indian tribes and Indians...”10 In addition, “the fiduciary responsibilities of the United States to Indians also are founded in part on specific commitments made in treaties and agreements securing peace, in exchange for which Indians surrendered claims to vast tracts of land...”11 It is the federal government’s policy to fulfill its trust relationship with and responsibility to the Indian people for the education of Indian children by working with tribes toward the goal of ensuring that Interior-funded schools are of the highest quality and provide for the basic elementary and secondary educational needs of Indian children, including meeting the unique educational and cultural needs of these children.12

Students with Disabilities in the BIE School System

Similar to students in elementary and secondary schools nationwide, some students in BIE schools have documented disabilities that require special educational or supplemental support. More than 6,000 students with disabilities, representing about 15 percent of total enrollment, attend BIE schools.13 Specific learning disabilities, such as perceptual disabilities, dyslexia, or impairments from brain injury, formed the most

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prevalent disability category among BIE students with disabilities in school year 2017-2018 (see table 1), affecting more than half of the students with disabilities at BIE schools.

Table 1: Number of Bureau of Indian Education Students Ages 6 through 21 Served under IDEA, Part B, by Disability Category, School Year 2017-2018

<table>
<thead>
<tr>
<th>Disability Category</th>
<th>Student Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>All disabilities</td>
<td>6,035</td>
</tr>
<tr>
<td>Specific learning disabilities</td>
<td>3,134</td>
</tr>
<tr>
<td>Speech or language impairments</td>
<td>864</td>
</tr>
<tr>
<td>Other health impairments</td>
<td>578</td>
</tr>
<tr>
<td>Developmental delays</td>
<td>405</td>
</tr>
<tr>
<td>Intellectual disabilities</td>
<td>323</td>
</tr>
<tr>
<td>Emotional disturbance</td>
<td>261</td>
</tr>
<tr>
<td>Autism</td>
<td>234</td>
</tr>
<tr>
<td>Multiple disabilities</td>
<td>118</td>
</tr>
<tr>
<td>Hearing impairments</td>
<td>52</td>
</tr>
<tr>
<td>Traumatic brain injury</td>
<td>30</td>
</tr>
<tr>
<td>Orthopedic impairments</td>
<td>24</td>
</tr>
<tr>
<td>Visual impairments</td>
<td>11</td>
</tr>
<tr>
<td>Deaf-blindness</td>
<td>1</td>
</tr>
</tbody>
</table>


An IEP is a written statement for each child with a disability designed to meet the child’s individual needs under IDEA. IDEA requires that every child who receives special education services have an IEP. Before an IEP is developed, a child with a disability must be identified, located, and

evaluated through a process known as Child Find. Generally, an adult familiar with the student’s abilities makes an official referral for a special education services evaluation. With parental consent, the student is then evaluated using a variety of assessment tools and strategies designed to help determine the student’s unique needs. Once a child is evaluated and determined to be eligible for special education and related services under IDEA, an IEP is developed describing the school’s delivery of required services to the child. IDEA regulations require that the services specified in a child’s IEP be provided to the child as soon as possible following the development of the IEP. Moreover, IDEA requires that a student’s IEP include, among other things, a projected date for the beginning of services and the anticipated frequency, location, and duration of those services. However, IDEA does not specifically address the steps that schools must take in cases where services are not provided in accordance with the anticipated service duration and frequency in the student’s IEP, such as cases where services were not provided at all or the duration was less than the amount of time specified in a student’s IEP. Educators are required to track the child’s academic progress over the school year and then annually review and update the IEP as needed at least once a year. IDEA requires schools to reevaluate children with IEPs at least once every 3 years to determine whether their needs have

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1720 U.S.C. § 1414(a)(1)(C), (D); (b)(2).

1834 C.F.R. § 300.323(c)(2).


20According to Education, while IDEA does not specifically address the steps that schools must take in such circumstances, IDEA and its implementing regulations do require public agencies to identify and correct noncompliance in a timely manner, consistent with their general supervision and monitoring responsibilities. 20 U.S.C. §§ 1412(a)(11) and 1416; 34 C.F.R. §§ 300.149 and 300.600. The agency said that this would include, if appropriate, making an individual determination of a child’s need for compensatory services to address the denial of a meaningful educational benefit, including any skills that may have been lost. 20 U.S.C. § 1414(d); 34 C.F.R. §§ 300.320-300.324.

changed and if they still qualify for special education and related services under IDEA\textsuperscript{22} (see fig. 1).

Under IDEA, Interior receives funding to assist in the education of children with disabilities in BIE schools.\textsuperscript{23} BIE is responsible for meeting all IDEA requirements for these children, including that an IEP is developed and implemented for each eligible student and that the requirements of any identified education and related services are defined in the IEP.\textsuperscript{24} BIE policy requires that IEPs identify services for eligible students under two main categories: education services and related services. Education services include math, reading, and written expression, among others, while related services include occupational therapy, physical therapy, and speech-language pathology, among others, according to BIE’s policy. BIE also requires that IEPs include the


\textsuperscript{23}20 U.S.C. § 1411(h).

\textsuperscript{24}20 U.S.C. § 1411(h)(2)(A) and 34 C.F.R. §§ 300.708(a)-(e) and 300.716. For the purposes of IDEA, Education treats BIE as a state educational agency.
type of provider for these services, such as a special education teacher for an education service, or a physical therapist for a related service, as well as information about the duration and frequency of the services to be provided (see fig. 2).

Figure 2: Example of Education and Related Services Section of a Bureau of Indian Education Student’s Individualized Education Program

<table>
<thead>
<tr>
<th>EDUCATION SERVICES (#300.320)</th>
<th>(Education Services based on Peer-reviewed research to the extent practicable (CFR 300.320 (4)).)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hours in a Typical School Week for This Child: 35.0</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Service</th>
<th>Provider</th>
<th>Minutes per session</th>
<th>Frequency</th>
<th>Start Date</th>
<th>End Date</th>
<th>Setting</th>
<th>Total Minutes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Math</td>
<td>Special Education Teacher</td>
<td>30.0</td>
<td>2.0 per week</td>
<td>02/23/2017</td>
<td>02/23/2018</td>
<td>disabled</td>
<td>60</td>
</tr>
<tr>
<td>Written Expression</td>
<td>Special Education Teacher</td>
<td>60.0</td>
<td>2.0 per week</td>
<td>02/23/2017</td>
<td>02/23/2018</td>
<td>disabled</td>
<td>120</td>
</tr>
<tr>
<td>Reading</td>
<td>Special Education Teacher</td>
<td>60.0</td>
<td>2.0 per week</td>
<td>02/23/2017</td>
<td>02/23/2018</td>
<td>disabled</td>
<td>120</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>RELATED SERVICES (#300.34)</th>
<th>(Education Services based on Peer-reviewed research to the extent practicable (CFR 300.320 (4)).)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Related Services were considered but not required for this student</td>
<td>[ ]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Service</th>
<th>Provider</th>
<th>Minutes per session</th>
<th>Frequency</th>
<th>Start Date</th>
<th>End Date</th>
<th>Setting</th>
<th>Total Minutes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Speech/Language</td>
<td>Speech Language Pathologist/ Clinician</td>
<td>30.0</td>
<td>2.0 per week</td>
<td>02/23/2017</td>
<td>02/23/2018</td>
<td>disabled</td>
<td>60</td>
</tr>
<tr>
<td>Physical Therapy</td>
<td>Physical Therapist</td>
<td>60.0</td>
<td>1.0 per week</td>
<td>02/23/2017</td>
<td>02/23/2018</td>
<td>disabled</td>
<td>60</td>
</tr>
</tbody>
</table>

BIE schools are required to develop and update students’ IEPs in the Native American Student Information System (NASIS), an online data management system the agency created in 2006 for all BIE schools to
BIE requires that schools document the special education and related services that their teachers or contracted providers deliver to students with IEPs, and Interior regulations require that schools maintain these and all other special education records for at least 4 years.

Multiple offices under the BIE Director are responsible for overseeing and supporting schools’ special education programs to help ensure that they comply with IDEA and other federal requirements for special education (see fig. 3).

Figure 3: Bureau of Indian Education (BIE) Offices Responsible for Overseeing and Supporting Special Education Programs at K-12 Schools

Source: GAO analysis of Bureau of Indian Education documentation (BIE). | GAO-20-358
The School Operations Division was established under the bureau’s recent reorganization to provide direction and assistance to BIE schools in education technology; human resources; communications; educational facilities; safety and facilities; and acquisition and grants. The division is also responsible for providing oversight over BIE school spending, including spending on special education.

Sixteen agency field offices called Education Resource Centers are located across the BIE school system and are administered by three separate BIE divisions under the Chief Academic Officer: the Associate Deputy Director-Tribally Controlled Schools, the Associate Deputy Director-Bureau Operated Schools, and the Associate Deputy Director-Navajo Schools. The Centers are primarily responsible for providing oversight and technical assistance to schools in a variety of areas, including their academic programs, fiscal management, and compliance with IDEA. In particular, Interior regulations and BIE procedures require that BIE annually verify that all students with an IEP in the BIE system are provided with special education services in accordance with their IEPs.²⁸

BIE’s Division of Performance and Accountability (DPA) is primarily responsible for overseeing Education-funded programs at BIE schools, including IDEA and Title I, Part A of the Elementary and Secondary Education Act of 1965, as amended.²⁹ DPA’s primary oversight responsibilities involve monitoring schools’ implementation of these federal education programs. DPA also provides schools and other BIE offices with technical assistance and training on IDEA requirements, among other program areas. Since 2018, DPA and other BIE divisions have been responsible for working together in monitoring schools the agency considers high risk in administering federal education programs. Specifically, in May 2018, BIE established a new policy and guidance for conducting annual targeted, risk-based monitoring of BIE school programs, which is separate from the requirements for the agency to verify the provision

²⁸25 C.F.R. subpart D.

²⁹Title I, Part A provides financial assistance to school districts and schools with high numbers or high percentages of children from low-income families to help ensure that all children meet challenging academic standards. 20 U.S.C. §§ 6301-6339.
of special education and related services annually. According to this policy, BIE is required to select a sample of 15 schools for this monitoring based on a variety of special education and other risk factors, including special education enrollment and unobligated IDEA funds. BIE’s policy requires that staff from five of its divisions—DPA, School Operations, and the three school divisions responsible for directly supporting BIE schools—coordinate and conduct joint monitoring activities as teams, including a review of schools’ special education programs. These teams are required to issue in depth monitoring reports and technical assistance plans to schools within 30 days of an on-site monitoring visit.

Role of Education’s Office of Special Education Programs

Education’s Office of Special Education Programs (OSEP) awards funds to states and BIE, and provides assistance and oversight in their implementation of IDEA. BIE, as with states, is required to report certain compliance information to OSEP. OSEP, in turn, determines BIE’s performance and level of compliance with IDEA and provides assistance to BIE to improve in specific areas.

Over the past 8 years, OSEP has found significant problems with BIE’s implementation of IDEA, which in 2019 prompted OSEP to withhold a portion of BIE’s IDEA funds. OSEP issued a determination letter in July 2019 that stated BIE needed intervention in implementing the requirements of IDEA because of its long-standing noncompliance and repeated failure to follow through on OSEP’s required corrective actions, among other issues. BIE had been in “needs intervention” status for each

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31 For the purposes of IDEA, Education treats BIE as a state educational agency and BIE schools as local educational agencies. Section 612(a)(11) of IDEA (20 U.S.C. § 1412(a)(11)) requires state educational agencies to exercise general supervision and monitor implementation of IDEA requirements, and applies to the BIE through section 611(h)(2)(A) of IDEA (20 U.S.C. § 1411(h)(2)(A)) and 34 C.F.R. § 300.708(a). Therefore, BIE, as the state educational agency, is required to exercise general supervision over all educational programs for children with disabilities and monitor implementation of IDEA requirements in all BIE schools. In addition, section 611(h)(2)(A) of IDEA (20 U.S.C. § 1411(h)(2)(A)) and 34 C.F.R. § 300.708(c) make certain local educational agency eligibility requirements in section 613 of IDEA (20 U.S.C. § 1413) apply to BIE schools.
of the last 8 years. As a result of BIE’s continued noncompliance, OSEP in July 2019 withheld 20 percent, or about $780,000, of BIE’s fiscal year 2019 IDEA Part B funds reserved for administrative costs, an action OSEP has taken very infrequently. OSEP provided BIE notice and an opportunity for a hearing, but BIE did not appeal the withheld funds.

OSEP’s activities in overseeing BIE’s implementation of IDEA included investigating special education services at one BIE school in 2018. As a result of the investigation, in early August 2018, OSEP sent a letter to the BIE Director about its findings, including that some students at one BIE-operated school had not received services required in their IEPs, including speech language therapy and physical therapy, for almost a year because service contracts with providers had expired. The letter notified BIE that failure to provide services in a student’s IEP violated the IDEA requirement that a free appropriate public education be made available to all eligible students with disabilities. OSEP’s investigation also determined that six other BIE-operated schools were under the same contracts and may not have delivered IEP-required services to students. OSEP’s August 2018 letter required BIE to take several corrective actions within 30 days, including determining whether other schools had IEP service disruptions. In addition, the letter required that BIE develop a plan by the end of October 2018 to prevent contractual problems that could result in a similar disruption of services in the future. As of February 2020, BIE had not notified OSEP that it had completed those corrective actions.

OSEP’s oversight of BIE also included visiting BIE schools and agency offices in spring 2019 to examine BIE’s accountability system for IDEA. OSEP presented its findings and corrective actions to BIE in a letter and monitoring report in October 2019. OSEP found that BIE did not have policies and procedures for implementation of IDEA Part B at its schools, and that school officials wanted guidance on IDEA requirements from BIE. OSEP also found evidence of a systemic problem with service

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32 Under 20 U.S.C. § 1416(d)(2), Education is required to issue an annual determination to each state on its progress in meeting the requirements of the statute. IDEA specifies four levels of compliance for states: (1) meets the requirements and purposes of IDEA, (2) needs assistance in implementing the requirements of IDEA, (3) needs intervention in implementing the requirements of IDEA, or (4) needs substantial intervention in implementing the requirements of IDEA.

33 According to Education officials, in addition to BIE, only the District of Columbia, among all state educational agencies that receive IDEA funds, has had a portion of its IDEA funds withheld because of compliance issues with the requirements of the statute.

providers. For example, officials that OSEP interviewed at one school OSEP visited said they had not had a physical therapist during the entire 2018-2019 school year and did not have a school counselor the previous year. Such staff were required in order to provide services in accordance with students’ IEPs. The corrective actions detailed by OSEP in its October 2019 letter to BIE were to be completed within 90 days, including that BIE develop a plan and timeline for adopting policies and procedures for implementing IDEA. The bureau, however, requested a 60-day extension, which OSEP granted, moving the required date of completion for BIE’s actions to early spring 2020.

Prior GAO Work on Indian Education

Our prior work on Indian education found numerous weaknesses in BIE’s management and oversight of BIE schools, including problems with monitoring school spending and conducting annual safety and health inspections of school facilities.\(^{35}\) As a result of these and other systemic problems with BIE’s administration of Indian education programs, we added Indian education to our High Risk List in February 2017.\(^{36}\) In our 2019 High Risk update, we found that BIE had made progress in addressing some of these key weaknesses in Indian education, such as demonstrating leadership commitment to change.\(^{37}\) We reported, however, that the agency needed to show progress in other key areas, such as increasing its capacity to support functions related to administering and overseeing BIE schools.

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\(^{35}\)GAO-15-121; GAO-16-313.

\(^{36}\)GAO, High Risk Series: Progress on Many High-Risk Areas, While Substantial Efforts Needed on Others, GAO-17-317 (Washington, D.C.: Feb. 15, 2017). Indian education was added to GAO’s 2017 High Risk List as part of a broader focus on federal programs serving Indian tribes and their members.

BIE schools did not provide an estimated 20 percent of special education service time to their students during a 4-month period between October 2017 and February 2018, and they did not provide documentation for another 18 percent of service time. Schools frequently did not include reasons for missing services in their service logs, and their practices for whether to make up these services varied. Further, some schools provided no documentation for one or more services, while many schools provided documentation that lacked key information. Difficulties in identifying special education and related service providers, especially in remote areas, limited some schools’ ability to provide services to students.

We estimate that BIE schools either did not provide or did not account for 38 percent of the time for the special education and related services required by students’ IEPs, according to our analysis of school documentation. Specifically, we found that schools provided an estimated 62 percent of the service time specified in their students’ IEPs (see fig. 4). Of the service time remaining, we found that schools did not provide an estimated 20 percent of service time to students, and they did not provide any documentation for an additional 18 percent of such service time. When schools did not provide documentation, we were unable to determine whether services were delivered to students. Our analysis was based on a review of service logs at 30 BIE schools during a

For the purposes of this report, we define special education and related service time as the amount of time that is anticipated for the service, based on the service time listed in a student’s IEP and after adjusting for days that the school was not officially in session. All percentage estimates in this report have a margin of error, at the 95 percent confidence level, of plus or minus 12 percentage points or fewer unless otherwise noted.

IDEA does not specifically address the steps that schools must take in cases where services are not provided in accordance with the anticipated service duration and frequency in the student’s IEP.

These results are generalizable to all BIE students who had an active IEP in place for the duration of a 5-month period between September 2017 and February 2018, as noted previously.
4-month period between October 2017 and February 2018 for a nationally representative sample of students with IEPs.\textsuperscript{41}

\textbf{Figure 4: Estimated Percentage of Special Education and Related Services Time Provided by Bureau of Indian Education Schools to Eligible Students (between October 2017 and February 2018)}

\begin{figure}
\centering
\includegraphics[width=0.5\textwidth]{figure4}
\caption{Estimated Percentage of Special Education and Related Services Time Provided by Bureau of Indian Education Schools to Eligible Students (between October 2017 and February 2018)}
\end{figure}

Note: For the 18 percent of service time for which documentation was not provided, GAO was unable to determine whether students received their services. These data are generalizable to all BIE students who had one active IEP for the duration of the 5-month period between September 2017 and February 2018, including 2,904 students from 169 schools. Estimates included in this figure are presented as the percentage of total minutes and have a margin of error, at the 95 percent confidence level, of plus or minus 12 percentage points or fewer. Because the information contained in school service logs is self-reported by school personnel or service contractors, GAO was not able to assess the overall accuracy of this information, such as whether services were actually provided—a limitation that generally applies to research relying on self-reported information.

Of the students who clearly did not receive service time, according to school service logs, three students at one school received no service time at all during the period of our 4-month review. Officials at the school told us that the special education teacher responsible for providing these services did not fulfill her responsibility to provide services to these students and eventually left the school. They added that the school did not have other qualified staff to provide the services during the period of our review.

\textsuperscript{41}Because the information contained in school service logs is self-reported by school personnel or service contractors, we were not able to assess the overall accuracy of this information, such as whether services were actually provided—a limitation that generally applies to research relying on self-reported information.
Our analysis of school service logs found that an estimated one-quarter of the services that were missed did not have a reason listed in the logs, and as a result, we could not determine why the service was not delivered. Of the remaining estimated three-quarters of services that were missed, the top three reasons for missed services were student absences, school-sponsored activities (such as field trips), and provider absences (see fig. 5). BIE requirements do not specify that school service logs must include reasons for missed services.

![Figure 5: Reasons for Missed Special Education Services for Students as Documented by Bureau of Indian Education Schools](image)

Note: The estimated percentages in this figure do not add up to 100 percent because one category of missed services was excluded, as it only appeared at one school in the sample. Estimates included in this figure have a margin of error, at the 95 percent confidence level, of plus or minus 11 percentage points or fewer. GAO defined school-sponsored activities as activities in which students did not attend their regularly scheduled class, including field trips, cultural events, and school assemblies. GAO defined provider administrative duties as instances when a provider was performing other school-related functions, such as meeting with teachers and parents to discuss student individualized education programs.

We also found that the schools in our sample did not follow consistent practices for whether to make up regularly scheduled services that are missed. Based on our outreach to officials at the schools in our sample, 23 of the 30 schools that responded varied in their practices for whether to make up services that were missed for reasons including school-sponsored activities or unplanned school closures, such as snow days (see fig. 6).
Figure 6: Number of Sampled Bureau of Indian Education Schools with Varying Practices For Whether to Make Up Missed Special Education Services, by Reason

Note: Of the 30 schools in GAO’s sample, 23 provided answers to questions about missed services. Some rows do not add to 23 because schools did not provide yes or no responses for all the categories. These findings are not generalizable to all BIE schools.

In addition to information about their practices for whether missed special education services are expected to be made up, school officials also provided us with written responses about other factors that may influence this decision. For example, an official at one school responded that while providers of related services are expected to make up missed services when providers are absent, education service providers are not. Alternatively, an official at another school responded that all of the school’s service providers are responsible for finding a way to provide the IEP-required services regardless of the reason for missed service.

Additionally, we found that for schools that expect providers to make up missed services, timeframes for doing so varied considerably, based on written responses we received from schools. Specifically, while some school providers typically make up services within a week of the missed service, others aimed to provide them within a month or longer. One school official responded that related services—such as occupational therapy, physical therapy, and speech and language—may not be made up until the following summer, which could potentially result in a delay of up to 9 months if services are missed at the beginning of the school year.

BIE does not have official requirements on whether and when schools should make up missed services, and BIE officials provided schools with inconsistent information on this issue. For example, information provided to us by BIE’s Division of Performance and Accountability (DPA) shows that officials advised schools on one occasion that making up missed services is required only when they occur because the provider is not
available, but on another occasion advised schools that all missed services should be made up. Further, one official in another BIE office that oversees and supports tribally controlled schools advised schools that making up services is not expected when they are missed due to school-sponsored activities or testing. In contrast, another official with the same division advised schools that services should always be made up regardless of the reason they were missed.

While IDEA does not specifically address the steps that schools must take in cases where services are not provided in accordance with the service duration and frequency in the student’s IEP, Education officials said that IDEA does not preclude state educational agencies—including BIE—from establishing their own requirements in this area, as long as they are consistent with IDEA requirements. We found that at least four state educational agencies, including Maryland, New York, North Dakota, and the District of Columbia, have done so.42

IDEA requires that schools provide special education and related services to eligible students as outlined in their IEPs. However, because BIE schools follow inconsistent practices for whether to make up services when missed, and BIE has not established consistent requirements in this area, there is a risk that some schools may not be providing services in accordance with students’ IEPs. As noted previously, we found that schools did not provide or did not document almost an estimated 40 percent of students’ service time, based on our review of service logs. Missed services may delay students’ progress and increase the risk that they are not receiving a free appropriate public education as required under IDEA.

Some Schools Provided No Documentation for One or More Services, While Many Schools Provided Documentation That Lacked Key Information

In our generalizable analysis of service logs, we found that for an estimated 18 percent of service time, schools were not able to show whether education and related services were provided to students with IEPs because school service logs were either missing or incomplete. No service logs were provided by schools for 12 of the 138 students in our sample, and incomplete logs were provided for another 51 of the students. By school, 6 of the 30 schools in our sample did not provide any logs for at least one student, and 18 of the remaining 24 schools were missing a portion of the logs. The lack of service logs prevented us from

42The District of Columbia is considered a state for purposes of IDEA.
determining whether some students were provided their required IEP services.

In addition, we found that many schools’ service logs lacked key information. In particular, service logs frequently omitted or did not clearly indicate service duration and frequency. This information is important for determining whether a school has provided services in accordance with a student’s IEP. Key areas in which service logs varied included:

- **Service duration and frequency**: IEPs are required by BIE to specify the weekly frequency and duration of the services throughout the year. However, the service logs we reviewed often did not include both types of information. About one-quarter of the service log entries did not indicate the number of minutes provided, according to our statistical analysis. We estimate that about one-fifth included total minutes, but did not clarify how many times the service was provided. Just over half of the service log entries included both the duration and frequency of each service.\(^{43}\)

- **Individual vs. combined service entries**: Eleven of the 30 schools in our sample provided us with service logs that grouped multiple services together without indicating the specific amount of time or the number of sessions for each service per week. As a result, when these schools recorded that less time was provided, we were unable to identify which of the services were missed. For example, one student was to receive five 60-minute sessions each of reading, written expression, and math per week, according to the student’s IEP. The student’s service log recorded the total number of minutes provided in a day but did not specify which services were provided (e.g., 540 minutes were provided, of a total 900 minutes per week). Based on this information, we could infer from the shortage of total minutes provided that some services were missed, but we were unable to determine whether the student missed reading, written expression, math, or a combination of all three services.

- **School officials responsible for completing service log**: Service logs were completed by different types of staff across schools, including paraprofessionals, service providers, or special education coordinators.

\(^{43}\)These three estimated percentages have a margin of error, at the 95 percent confidence level, of plus or minus 13 to 18 percentage points.
School practices in documenting special education services varied widely because BIE has not established a standardized process for doing so. BIE officials told us the agency is currently developing a system to standardize how schools document services using a new online module within the Native American Student Information System. Officials provided documentation showing that they were developing this system to allow schools to consistently document both education and related services. BIE’s system, once fully implemented, may allow the agency to monitor and verify service provision more effectively and improve the consistency of schools’ documentation of services. BIE plans to fully implement the system and provide schools with the requisite training to use it by late 2020, according to agency documentation.

Difficulties in Identifying Special Education Providers, Especially in Remote Areas, Limited Some Schools’ Ability to Provide Services to Students

Officials at 22 of the 30 schools in our sample provided us with information in addition to their service logs, and all 22 schools reported difficulties in recruiting, hiring, or retaining staff or contractors with the required qualifications to provide special education and related services, which some said limited their ability to provide students with high quality required services. In written responses and interviews we conducted, school officials cited school size and remote location as constraints to recruiting, hiring, or retaining qualified service providers. In particular, while schools often rely on contractors to provide related services—such as occupational and physical therapy—officials at 10 of the 30 schools in our sample reported that the availability of qualified contractors was limited.

Education services, which are typically provided by school special education staff, were required for nearly all students with IEPs in our sample. Some school officials said in interviews and written responses that in some cases students did not receive education services because their schools either did not have any or enough qualified staff to provide them. For example, according to a BIE official, one BIE school reassigned its only special education teacher to fill a vacant science teacher position and did not provide required services to 18 students during the 2018-19 school year. In another example, one school reported that it did not have qualified staff to provide services to two students with IEPs for 12 consecutive weeks during the 2017-2018 school year. Officials said the school was unable to find a substitute special education teacher, and as a result, each student missed about 5 hours of service time per week during

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As stated earlier, BIE policy requires that IEPs identify services students are to receive under two main categories: education services and related services.
this period. An official at another school said that after advertising for a special education teacher for three years, the position is still vacant.

These examples illustrate challenges with hiring and retaining special education staff that may exist more broadly across the country. For example, according to recent Education data, 43 states reported shortages in special education providers in the 2018-2019 school year. However, promising practices may be found within the BIE system as well as across the states that could provide BIE schools direction in addressing shortages of special education providers. For example, two BIE schools recruited and hired special education staff through international work exchange programs meant to facilitate the employment of qualified teachers from other countries. Some schools also reported using outreach to other local BIE or public schools to find and share contractors. Further, OSEP has developed resources for addressing special education teacher shortages that it has made available to states and school districts. In particular, in 2019 OSEP hosted a series of online symposia on general strategies and best practices for schools to attract and retain effective special education personnel. These sessions featured experts and practitioners who discussed strategies for attracting and retaining personnel. Such strategies and other relevant state and tribal resources for addressing special education teacher shortages could provide BIE with additional support to address its own challenges in this area.

BIE has not taken steps, however, to establish a mechanism, such as a community of practice, to identify and communicate promising practices for schools, especially those in remote locations, to address their special education staffing and contracting challenges. BIE’s advisory committee on special education stated in its 2018 annual report that BIE needed to better support the recruitment of special education and related service providers at BIE schools. Further, BIE’s 2018-2023 strategic plan has a goal of supporting schools by identifying and sharing best practices and collaborating with schools to recruit, hire, and retain highly effective staff. In addition, federal standards for internal control state that agencies should select an appropriate mechanism for communicating externally.


Without greater support from BIE, some schools will continue to struggle to find the special education staff and contractors they need, and students at these schools may not receive the special education services they need to thrive academically.

Limited monitoring and technical assistance have hampered BIE’s oversight and support for special education at BIE schools. BIE did not verify the provision of special education and related services at about 30 percent of its schools in school year 2018-2019 due to limited oversight by its largest division. Additionally, BIE has not provided high-risk schools with timely reports after monitoring visits so schools can address their noncompliance with IDEA requirements. Further, staff in BIE’s Education Resource Centers often lack expertise in special education, and school personnel did not always know which agency staff to contact for special education support.

BIE did not verify the provision of special education and related services at about 30 percent of its schools in school year 2018-2019 due to limited oversight by its largest division.

BIE did not verify the provision of special education and related services at about 30 percent of its schools in school year 2018-2019, according to available agency documentation. Interior regulations, however, require that BIE annually review all schools’ documentation to verify the provision of special education and related services for every eligible student, among other things. BIE’s guidance for conducting these reviews specifically directs reviewing personnel to verify that students with active IEPs are receiving timely services as indicated on their IEPs. However, the BIE division that oversees about half of all BIE schools, which is led by the Associate Deputy Director-Tribally Controlled Schools, established a policy for its staff to verify provision of services at only a third of its assigned schools each year. The two other divisions, which oversee BIE-operated and Navajo schools, respectively, reported that they conducted reviews at 100 percent of their schools in school year 2018-2019.

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48 The Office of the Associate Deputy Director-Tribally Controlled Schools oversees all tribally controlled schools in the BIE system, except for those in the Navajo region.

49 The Associate Deputy Director who authorized this policy said that it was first instituted in school year 2017-2018, although BIE did not provide documentation confirming when the policy began. For the other two-thirds of schools, the policy requires a letter from the schools attesting that they have the required documentation on file, although BIE staff do not review this documentation.
The Associate Deputy Director-Tribally Controlled Schools who authorized this policy, told us that she believed the policy complied with Interior regulations. However, Interior’s Office of the Solicitor told us that this policy does not comply with Interior’s regulations. BIE officials said the Office of the Associate Deputy Director-Tribally Controlled Schools established this policy to reduce the number of schools the division annually verifies because of the division’s limited staff capacity. Six of 13 staff positions in this division with roles in overseeing or supporting special education were vacant as of February 2020, according to BIE documentation and a senior official. Although BIE developed a strategic workforce plan in 2019 with a goal of addressing staffing shortages across the bureau, the plan does not include information on a strategy or timeframe to address vacancies in positions with responsibilities to oversee and support special education at its schools.

BIE’s verification of special education and related services at schools has identified noncompliance with federal requirements. For example, according to BIE, a recent verification visit at one school identified numerous irregularities in its special education documentation, which prompted the school’s superintendent to request that BIE conduct a formal investigation. BIE investigators reported that school staff had falsified service records showing that services were provided when a teacher was not present, and that services were provided in multiple and inappropriate settings (e.g., math services recorded at the same time and date during reading, physical education, and science periods), among other things. As a result, BIE required several corrective actions from the school.50 As this example illustrates, the verification process provides BIE with an important oversight mechanism. This mechanism, however, is not being fully utilized by BIE’s largest school division. Without BIE annually reviewing documentation to verify the provision of special education for every student at all schools, the agency cannot ensure that students are receiving the services required by their IEPs.

50The corrective actions included developing safeguards to prevent similar issues in the future, establishing a plan to provide required make-up services to students, and consulting with BIE human resources staff for guidance on appropriate disciplinary action for school staff.
BIE Has Not Provided High-Risk Schools with Timely Reports to Address Their Noncompliance with IDEA

BIE monitored 14 schools for high-risk monitoring in school year 2018-2019, but did not provide the schools with timely monitoring reports and technical assistance plans for their compliance with IDEA and other federal education program requirements. In addition to its annual process of verifying that students with IEPs are receiving required special education and related services, BIE also conducts targeted oversight of schools it deems high risk. BIE’s high-risk monitoring policy, established in May 2018, requires that it select a sample of schools based on risk indicators related to IDEA and other federal education programs, and provide schools with in-depth monitoring of their special education and other education programs. Nine of the 15 schools selected for BIE’s 2018-2019 high-risk monitoring were selected because BIE considered them to be at a higher risk in administering special education. The factors that BIE considered included a large enrollment of students with IEPs and a significant amount of unobligated IDEA funds, among other factors. One school, for example, had not obligated about 50 percent of its IDEA funds within the timeframe required by IDEA.

BIE’s monitoring policy requires that it provide both monitoring reports and technical assistance plans to schools within 30 days of a visit. However, BIE sent schools visited in the 2018-2019 school year their monitoring reports in late August 2019—well after its required 30-day timeframe and several weeks after we requested the reports as part of this review. For example, BIE sent two school reports more than 8 months after its monitoring visits, and another two school reports more than 6 months after visits (see fig. 7).

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51BIE selected 15 schools but had to cancel a monitoring site visit to one school due to inclement weather. Therefore, BIE included 14 schools instead of the required 15 for its high-risk monitoring in school year 2018-2019, according to BIE agency documents.

52Other federal education programs include Education’s Title I Formula Grant Program and Interior’s Indian School Equalization Program.

Further, the reports sent to schools were not accompanied by technical assistance plans, as required by BIE policy, which are required to outline how BIE will assist schools in addressing findings of noncompliance. BIE officials said that a timeframe for when the plans would be developed and issued to schools had not been established.

BIE officials told us the late monitoring reports and the lack of technical assistance plans for schools resulted from BIE not fully implementing its 2018 high-risk monitoring policy. Officials said the monitoring policy requires monitoring teams to be comprised of staff from five BIE divisions: DPA, School Operations, and the three divisions responsible for directly supporting BIE schools. These staff work together to monitor special education and other school programs and develop reports and technical assistance plans for schools. However, BIE officials said that four of these divisions did not contribute staff to lead the monitoring teams, leaving the
Task of developing monitoring reports to a single division—DPA. DPA officials told us that developing such plans requires the knowledge, expertise, and coordination of staff across all five BIE divisions. They said that without participation from the other divisions, it is unlikely the plans will be developed and sent to schools because DPA itself does not have the staff capacity to do so. BIE officials told us they were aware of problems with coordination on high-risk monitoring across the five divisions and were considering how to make improvements, but did not provide a timeframe for doing so.

BIE’s monitoring reports and technical assistance plans are intended to provide high-risk schools with important information about their compliance with IDEA and other federal education funding programs, according to agency documentation. Each of BIE’s monitoring reports for the 14 schools in 2018-2019 included multiple findings of school noncompliance with special education requirements under IDEA or Interior regulations. Specifically, monitoring reports for several schools included findings related to their provision of special education services. For example, one report found that a school maintained no service logs and was not able to demonstrate it had provided any services to students. Without timely monitoring reports, schools lack vital information to address areas of noncompliance, including ensuring that staff and contractors provide and document special education services as required. Further, without the technical assistance plans that BIE policy states are to accompany monitoring reports, schools may not know what BIE resources are available to them for addressing specific special education compliance issues.

Staff in BIE’s Education Resource Centers often do not have sufficient expertise on special education to provide appropriate oversight and technical assistance to schools, according to BIE officials. Staff in Education Resource Centers have special education-related responsibilities that include annually verifying that schools are providing special education services and assisting schools when compliance issues with federal special education requirements are identified or when schools request help. Several BIE officials, however, told us these staff often do not have the requisite knowledge about special education to effectively carry out these responsibilities. For example, two senior BIE officials said these staff do not consistently have the expertise required to

54 As previously noted, Education Resource Centers are responsible for providing oversight and technical assistance to schools in a variety of areas, including their academic programs, fiscal management, and compliance with IDEA.
School officials said they did not always know which BIE staff to contact for support with their special education programs. Staff in BIE’s Education Resource Centers are responsible for regular outreach to schools about these programs, according to two senior BIE officials. However, officials we interviewed from some schools expressed confusion about the roles and responsibilities of various BIE offices and staff responsible for special education or said there has been a lack of outreach from Education Resource Center staff. For example, the special education coordinator at one tribally controlled school said she had received no information about which Education Resource Center was responsible for supporting her school. Several BIE officials acknowledged that schools do not always know which Education Resource Centers are responsible for supporting them. One senior BIE official also said that some schools are not aware

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**School Personnel Did Not Always Know Which Agency Staff to Contact for Support with Their Special Education Programs**

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that they can reach out to BIE for assistance with their special education programs.

BIE’s 2015 Communications Plan prioritizes regular communication with schools to provide key information and important developments affecting their schools. However, BIE officials said Education Resource Center staff do not consistently reach out to inform schools about how they can support schools’ special education programs. Additionally, as part of its recent reorganization, BIE shifted the roles and responsibilities of many offices and staff, including those responsible for supporting special education at schools. Without BIE taking steps to ensure its Education Resource Center staff communicate with all schools regarding their roles and responsibilities on special education, these staff may not consistently do so. As a result, schools may not know whom to contact for answers to questions, which could hinder their ability to provide effective special education services to students.

The purpose of IDEA is to fulfill the promise that all children with disabilities have available to them special education and related services designed to meet their unique educational needs. In exchange for the funds it receives from Education to implement IDEA, BIE must ensure that such an education is available to all of its students with disabilities. The potential for students with disabilities at BIE schools to advance academically depends, in part, on the ability of BIE to oversee and support schools in providing these students with the special education and related services required by their IEPs under IDEA. It is unclear, however, whether all BIE schools are meeting these students’ needs and ensuring that required services are consistently delivered because schools follow different practices for determining whether to make up services for students when they are missed. Further, the challenges that schools face in obtaining qualified special education staff and specialists to provide services—which may also exist for public schools nationwide—also present BIE with an important opportunity to partner with knowledgeable stakeholders and provide direction in this area. BIE also needs to address persistent administrative capacity issues in special education—such as vacancies and a need for training in key agency offices. In addition, BIE should ensure that relevant offices are reaching out to schools to inform them of their roles in overseeing and supporting schools’ special education programs. Finally, BIE must take steps to make sure its offices annually review school documentation to verify that students are receiving special education and related services and provide high-risk schools selected for targeted monitoring with timely reports and technical assistance plans.

Conclusions
In addition to IDEA’s requirement that special education services be provided to all eligible students with disabilities, BIE also has a responsibility to work towards the goal of ensuring that BIE schools are of the highest quality and provide for their students’ unique educational needs. Without taking steps to address weaknesses in key areas of special education, BIE cannot ensure that the schools it funds are meeting their responsibilities under IDEA or addressing the unique needs of more than 6,000 BIE students with disabilities.

We are making the following seven recommendations to BIE:

The Director of BIE should establish consistent requirements for schools on making up missed special education and related services and monitor schools to ensure that they follow these requirements. (Recommendation 1)

The Director of BIE should work with knowledgeable stakeholders in Indian education to establish a community of practice or other formal mechanism to identify and disseminate promising practices for schools—especially those in remote locations—on recruiting, hiring, and retaining special education teachers and contracting with providers. The Director of BIE could consider conferring with BIE’s special education advisory committee, OSEP, and relevant tribal and state education officials in addressing this recommendation. (Recommendation 2)

The Director of BIE should rescind the policy of its division overseeing tribally controlled schools that does not meet Interior’s requirement to annually review all schools’ documentation to verify the provision of services for every special education student, and ensure that all divisions comply with this requirement. (Recommendation 3)

The Director of BIE should update the agency’s workforce plan to include a strategy and timeframe for filling vacant staff positions responsible for overseeing and supporting schools’ special education programs. (Recommendation 4)

The Director of BIE should fully implement the agency’s high-risk monitoring policy for IDEA and other federal education programs, including requirements for agency-wide coordination, and ensure that schools selected for such monitoring receive reports and technical assistance plans within 30 days of agency on-site visits, as required by BIE policy. (Recommendation 5)
The Director of BIE should establish special education training requirements for staff in the agency’s Education Resource Centers who are responsible for supporting and overseeing schools’ special education programs, and ensure that staff complete those training requirements. (Recommendation 6)

The Director of BIE should take steps to ensure that all of the agency’s Education Resource Centers conduct outreach with schools to inform them of their new roles in overseeing and supporting schools’ special education programs under BIE’s reorganization. (Recommendation 7)

We provided a draft of this report to the Departments of the Interior (Interior) and Education (Education) for review and comment. Interior provided formal comments, which are reproduced in appendix II, agreeing with all seven recommendations and describing actions BIE plans to take to address them. Education provided technical comments, which we incorporated as appropriate.

As agreed with your office, unless you publicly announce the contents of this report earlier, we plan no further distribution until 30 days from the report date. At that time, we will send copies to the Secretaries of the Interior and Education and interested congressional committees. The report will also be available at no charge on the GAO website at http://www.gao.gov.

If you or your staff have any questions about this report, please contact me at (617) 788-0534 or emreyarrasm@gao.gov. Contact points for our Offices of Congressional Relations and Public Affairs may be found on the last page of this report. GAO staff who made key contributions to this report are listed in appendix III.

Sincerely yours,

Melissa Emrey-Arras
Director Education, Workforce, and Income Security Issues
Appendix I: Objectives, Scope, and Methodology

Our report examines (1) the extent to which eligible Bureau of Indian Education (BIE) students with disabilities are provided the special education and related services required by their individualized education programs (IEP); and (2) the extent to which BIE oversees and supports the provision of these services at its schools.

Analysis of Special Education and Related Service Provision at BIE Schools Based on Generalizable Sample

Sample Design

To obtain a generalizable sample of students, we defined our target population as all students at BIE schools with an active IEP covering a full 5-month period between September 2017 and February 2018 and obtained an electronic listing of IEPs for the 2017-2018 school year—the most recent complete school year at the time of our analysis—extracted from the Native American Student Information System (NASIS). We used these data as a basis to define a sample frame and identified 2,904 unique students with an active IEP for the full period from 169 BIE schools.¹ We assessed the reliability of these data by interviewing knowledgeable agency officials and reviewing technical documentation describing the methodology, assumptions, and inputs used to produce the IEP-related data we received from BIE, upon which we created our generalizable sample. We determined these data to be sufficiently reliable for the purposes of our report.

We selected a random two-stage cluster sample of 30 BIE schools and 150 students (about 5 per school) who had at least one active IEP covering the full period from the sample frame of 169 schools and 2,904 students. We chose to use a two-stage sampling approach to control (limit) the number of schools that we would need to coordinate with to collect the school-level data required. Because the number of unique in-scope students ranged between 2 and 88 per school, we chose to select schools with probability proportional to size. We computed the target sample sizes of 30 schools and 150 students (about 5 per school) using estimated standard errors of student age that accounted for the additional variance resulting from the complex sampling approach (two-stage cluster

¹The number of special education students and schools in our target population were lower than the total number in the BIE system because we did not include students who did not have an active IEP in place for the duration of the full 5-month period.
sample) for various sample sizes. We then compared the change in standard errors for various sample sizes of schools and students to those from a simple random sample of size 150. Based on these results, we observed that the decrease in standard errors began to level out at a sample size of 30 schools (n=30) and that selecting more than 5 students (m=5) per school would not significantly decrease the standard errors. To estimate the likely margin of error we expected to achieve from this sample, we conducted a simulation of 10,000 samples of 30 schools and 150 students and examined the distribution of outcomes from these results for 3 proportion estimates. The proportion estimates were designed to provide a range of variance outcomes. Based on this simulation of possible results, we expected this sample design to generate percentage estimates to the sample frame (full population) of students with an overall precision of about plus or minus 12 percentage points or fewer.

During our review we learned that one school selected in our sample was under a BIE internal investigation into irregularities in the school’s special education documentation. As a result, we removed the five students at this school from the sample. We added an additional randomly selected school as a replacement. As a result, we completed our analysis for 30 of the 31 schools that we sampled. Additionally, we found that a number of students selected within schools were out of the scope of our defined target population, such as when a student transferred to another school during our review period. When possible, we selected additional cases to account for the out-of-scope students. The final sample included 138 students at 30 schools. Based on the final sample of students, we completed our analysis for 96.5 percent of the students that we sampled that were within the scope of our defined target population.

We defined the primary unit of analysis as the student and generated estimates at the student level summarized across 17 of the 18 weeks in the time period of our analysis (between October 2, 2017, and February 2, 2018). We chose not to include data collected for the school week from December 25, 2017, through December 29, 2017 because most schools either did not provide services during this week or were closed.

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2We used a 5-month period between September 2017 and February 2018 to determine our target population, but we used a 4-month period between October 2017 and February 2018 as our review period for school documentation to ensure that for IEPs established at the beginning of the school year, schools had sufficient time to coordinate and start providing services.
We collected and analyzed the data for students’ scheduled services on a weekly basis. The data collection at this level resulted in multiple, repeated observations for each student. For the purposes of generating weighted, generalizable estimates, these data were summarized at the student level for each service type.\(^3\) The sampling weights were computed at the student level so that estimates from this sample will be made to the population of students. The student weight, which is the inverse of the probability of selection, was computed by combining a stage 1 (school) weight and stage 2 (student within selected schools) weight that each accounted for the probability of selection at each stage. The final student weights varied slightly from school to school based on the number of students selected within each school. The final student weights ranged from 16.13 to 24.20, and most were 19.36.

Document Collection

We conducted a test run of our document collection and analysis methodology at one BIE-funded school to determine the feasibility of collecting and analyzing school service logs in electronic form. Based on the successful results of the test run, we concluded that this methodology would allow for the collection and analysis of service logs from our sample of schools. We then requested electronic copies of IEPs and any applicable IEP amendments from BIE for the students in our sample. We followed up with BIE on any issues of unclear or missing IEP documentation. After compiling IEPs for the students in our sample, we requested service logs from our sample schools and requested confirmation of key information in students’ IEPs (e.g., the type, duration, and frequency of services for our review period).

School File Review and Coding

To generate a data set based on schools’ service logs, we coded, by week, information contained in all service logs using a coding scheme that specified type of service (i.e., education vs. related), frequency of services received, duration of services received, and reasons for missed services. To determine the baseline of minutes and frequency for each service, we calculated the duration and frequency of services required in student IEPs and removed service duration and frequency on days that schools were not in session according to school calendars.

In cases in which schools did not provide us with service logs for part or all of our review period, we were not able to determine whether the

\(^3\)Some schools did not keep records broken out by service type for each student. For these occurrences, the team classified the service type as “combined services” and these were analyzed as a separate service type.
services were received. In such cases, we recorded these minutes in a separate category, labeled "service time not accounted for." In a small number of instances, schools recorded service log entries, but unclear notation prevented us from being able to determine whether the service was provided. This accounted for less than half of a percent of service time.

Because the information contained in school service logs is self-reported by school personnel or service contractors, we were not able to assess the overall accuracy of this information, such as whether services were actually provided—a limitation that generally applies to research relying on self-reported information. We conducted extensive follow-up with schools, however, to ensure the most complete data collection possible and contacted them when further information or clarification was needed to understand service log entries. Additionally, we obtained student attendance data from BIE to compare with entries in service logs from four schools. As the result of this comparison, we removed one student from our sample whose attendance data showed significantly higher absences than were reflected in school service logs.

In many cases, we received service logs that did not convey complete information about some aspects of service provision. For example, some logs used non-numerical notation to show that services were provided, such as checkmarks. In these cases, we assumed that a checkmark indicated that one full service was provided and recorded the number of minutes in a typical service. Additionally, some service logs combined multiple services (e.g., 60 minutes of math, 30 minutes of reading, and 30 minutes of writing) into one log and recorded the total number of minutes that services were provided within a week. As we could not determine which services were expected on which days within a week, we adjusted minutes and frequency for combined services when schools were not in session by prorating the weekly totals accordingly.

To collect information on reasons for missed services, we categorized recorded reasons into the following groups: (1) student absence; (2) student disciplinary action; (3) provider absence; (4) provider administrative duties; (5) unplanned school closure; (6) school-sponsored activities; (7) testing; and (8) reason not provided. We recorded missing

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4One school did not provide education service logs for three students for our entire review period, but school officials confirmed that education services were not provided to the students. As a result, we recorded that these students received no education service time.
service logs as a separate category ("service time not accounted for") and did not include them in our analysis of reasons for missed services.

| Generalizable Results Based on the Sample | Estimates from this sample are generalizable to the estimated in-scope population of about 2,600 (+/- 130) students with at least one active IEP covering the period from September 1, 2017, through February 1, 2018. Because we followed a probability procedure based on random selections, our sample is only one of a large number of samples that we might have drawn. Since each sample could have provided different estimates, we express our confidence in the precision of our particular sample’s results as a 95 percent confidence interval. This is the interval that would contain the actual population value for 95 percent of the samples we could have drawn. All estimates in this report have a confidence interval with a margin of error of plus or minus 12 percentage points or fewer, unless otherwise noted. |
| Non-Generalizable Information Collected from Sample Schools | In addition to the generalizable data we collected on schools’ special education service provision, we asked school officials to respond to an optional set of questions on the challenges schools face, if any, in providing services. Eighteen of the 30 schools in our sample provided responses. Of the schools who did not respond, we obtained information on challenges with service provision from four additional schools during our site visits, which are described below. Together, we obtained perspectives about the challenges schools face in special education service provision from a total of 22 of the schools in our sample. We also requested information from schools about the circumstances under which providers are expected to make up missed special education services, and the timeframe in which these make-up services are expected. Twenty-three of the 30 schools in our sample provided a response. |
| Site Visits | To help inform both of our research objectives, gather additional information about schools’ special education programs, and explore issues related to their provision of special education and related services, we conducted site visits to seven schools in our sample located in New Mexico (4 sites) and Arizona (3 sites), selected for their large numbers of BIE-funded schools. Our criteria for selecting schools included special education student enrollment size, whether a school was operated by BIE or a tribe, and tribal affiliation. At each site, we gathered information from participants—including school administrators and teachers—using semi-structured interview questions. |
Appendix I: Objectives, Scope, and Methodology

We collected information on school staff’s roles and responsibilities in administering and overseeing special education; policies, practices, and any challenges to providing and documenting special education and related services; and perspectives on guidance and support, if any, from relevant BIE offices.

Our site visits also included meetings with BIE officials in Albuquerque, New Mexico, and Window Rock, Arizona. Our interviews with officials focused on their roles and responsibilities in overseeing and supporting schools’ special education programs; staff capacity; intra-agency coordination on special education; policies and procedures related to special education monitoring; and their views on factors, if any, that may affect schools’ ability to provide special education and related services to students with IEPs.

Interviews and Reviews of Relevant Documents

To inform both research objectives, we also interviewed officials in several BIE offices with responsibilities for overseeing and supporting schools’ special education programs, including: the Office of the Director; the Division of Performance and Accountability; the Office of the Associate Deputy Director-Tribally Controlled Schools; the Office of the Associate Deputy Director-Bureau Operated Schools; and the Office of the Associate Deputy Director-Navajo Schools. Our interviews with agency officials focused on their roles and responsibilities in overseeing and supporting schools’ special education programs; staff capacity; intra-agency coordination on special education; policies and procedures related to special education monitoring; and their views on factors, if any, that may affect schools’ ability to provide special education and related services to students with IEPs. We compared BIE’s oversight and technical assistance activities against requirements under IDEA and Department of the Interior (Interior) regulations, BIE policies and procedures, and federal standards for internal control to evaluate the sufficiency of their efforts in monitoring and supporting BIE schools’ special education programs.

We also conferred with Interior’s Office of the Solicitor regarding their position on whether one BIE division’s policy for reviewing special education documentation at schools conformed to Interior’s regulations.

Additionally, we interviewed current and former members of BIE’s advisory committee on special education to obtain their views on the
extent to which BIE schools provide required services to students with IEPs and challenges, if any, that schools may face in delivering services.\footnote{IDEA requires that, similar to states, Interior establish an advisory board to advise the Secretary of the Interior among other things on the coordination of services in the provision of education for infants, toddlers, and children with disabilities. 20 U.S.C. § 1411(h)(6).}

We also interviewed national groups with expertise on Indian education and BIE schools—including the National Congress of American Indians, the National Indian Education Association, and the Tribal Education Departments National Assembly—to obtain their views on special education and related services at BIE schools.

Our review of relevant documentation included BIE’s monitoring and technical assistance policies and procedures as well as relevant federal laws and regulations, including requirements under IDEA Part B. This included BIE’s May 2018 policy and procedures on conducting high-risk monitoring of the implementation of federal education programs at BIE schools. In addition, we reviewed the Department of Education’s determination letters and October 2019 monitoring report to BIE assessing the agency’s compliance with IDEA requirements.

We conducted this performance audit from July 2018 to May 2020 in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.
Appendix II: Comments from the Department of the Interior

Ms. Melissa Emrey-Arras
Director, Education, Workforce, and Income Security Issues
U.S. Government Accountability Office
441 G Street, N.W.
Washington, DC 20548

Dear Ms. Emrey-Arras:

Thank you for providing the Department of the Interior (Department) the opportunity to review and comment on the draft Government Accountability Office (GAO) report entitled, Indian Education: Actions Needed to Ensure Students with Disabilities Receive Special Education Services (GAO-20-358). We appreciate GAO’s evaluation of the Bureau of Indian Education’s (BIE) special education services.

The GAO issued seven recommendations to the Department as part of its overall findings to improve the administration and oversight of special education services. Below is a summary of actions taken or planned to implement the recommendations.

Recommendation 1: The Director of BIE should establish consistent requirements for schools on making up missed special education and related services and monitor schools to ensure that they follow these requirements.

Response: Concur.

As highlighted within the report, following the May 2018 publication of the BIE High-Risk Oversight Policy and Handbook the BIE has been actively working to improve its oversight and management of its federal programs, including Indian School Equalization Program (ISEP) and Individuals with Disabilities Education Act (IDEA) related special education services. As with any newly implemented policy or process, there have been valuable lessons learned over the course of the first two years of policy implementation. Specifically, the BIE acknowledges that it must refine the guidance provided by its special education subject matter experts in a manner that ensures consistent guidance is provided regarding missed special education related services. The BIE anticipates that it will fully implement such reforms in the near future in the form of formally published guidance to its special education professionals.
Appendix II: Comments from the Department of the Interior

Recommendation 2: The Director of BIE should work with knowledgeable stakeholders in Indian education to establish a community of practice or other formal mechanism to identify and disseminate promising practices for schools—especially those in remote locations—on recruiting, hiring, and retaining special education teachers and contracting with providers. The Director of BIE could consider conferring with BIE’s special education advisory committee, OSEP, and relevant tribal and state education officials in addressing this recommendation.

Response: Concur.

The BIE values its stakeholder partnerships across Indian Country. As highlighted within the report, the BIE and its schools face significant challenges in recruiting and retaining high-quality staff across the Bureau. This deeply ingrained structural challenge is especially acute with regard to special education positions. As GAO correctly states within the report, the BIE has, for the first time in its history, published a Strategic Workforce Plan. Also, over the course of the past three years the BIE has successfully increased its internal human capital capabilities both in terms of recruitment, retention, and professional development. The BIE will work collaboratively with all of its special education stakeholders as it continues to build upon these and other human capital improvements.

Recommendation 3: The Director of BIE should rescind the policy of its division overseeing tribally-controlled schools that does not meet Interior’s requirement to annually review all schools’ documentation to verify the provision of services for every special education student, and ensure that all divisions comply with this requirement.

Response: Concur.

The BIE is currently reviewing all of its current special education policies and procedures. The BIE anticipates to have an updated, comprehensive special education policy and handbook in the coming months. As part of this process the BIE will ensure that it formally rescinds the Tribally-Controlled School Division’s three year review policy in favor of a process that ensures timely annual reviews. At present the BIE anticipates that it will have a first draft of its new special education policy and handbook in early summer. In keeping with the BIE’s past practice, the GAO will be provided an early opportunity to review the draft policy and handbook in order to provide targeted and timely input prior to formal publication.

Recommendation 4: The Director of BIE should update the agency’s workforce plan to include a strategy and timeframe for filling vacant staff positions responsible for overseeing and supporting schools’ special education programs.

Response: Concur.
As highlighted within the report, the BIE recently completed its first ever Strategic Workforce Plan. The human capital needs across the BIE are numerous and the challenges it faces are complex. As such, the first Strategic Workforce Plan intentionally focused on areas of critical concern that had been highlighted in previous GAO reports. Namely, the Strategic Workforce Plan focused on school safety inspection, fiscal oversight, and acquisitions functions. Before reviewing GAO’s special education report, the BIE had already planned to update and expand its Strategic Workforce Plan to include new function areas. At present, the BIE is planning to update its Strategic Workforce Plan in the coming months and will include special education and Johnson O’Malley functions to its update.

**Recommendation 5:** The Director of BIE should fully implement the agency’s high-risk monitoring policy for IDEA and other federal programs, including requirements for agency-wide coordination, and ensure that schools selected for such monitoring receive reports and technical assistance plans within 30 days of agency on-site visits, as required by BIE policy.

Response: Concur.

As stated above, following the May 2018 publication of the BIE High-Risk Oversight Policy and Handbook, the BIE has actively worked to improve its oversight and management of its federal programs, including ISEP and IDEA related special education services. The BIE has learned a number of valuable lessons in its first two years of implementation. As a result, the BIE is actively making targeted refinements to the policy and process of high-risk monitoring.

With regard to the timeliness of report submission and technical assistance delivery, the BIE has already begun a targeted reform designed to address this structural weakness. Specifically, over the course of the past two years the BIE identified the need to centralize the administrative functions of its oversight activities. To this end, in early February 2020 the BIE began standing up its first ever Performance Office. This newly established office will be tasked with assuming the administrative management and oversight of the high-risk fiscal monitoring policy and handbook, including the timely delivery of monitoring reports and technical assistance plans.

**Recommendation 6:** The Director of BIE should establish special education training requirements for staff in the agency’s Education Resources Centers who are responsible for supporting and overseeing schools’ special education programs, and ensure that staff complete those training requirements.

Response: Concur.

The BIE acknowledges that the identification of training standards and requirements is a critical element of strategic workforce planning. Indeed, training and professional development played a crucial role in the previous BIE Strategic
Appendix II: Comments from the Department of the Interior

Workforce Plan which focused on safety inspection, fiscal monitoring, and acquisitions functions. As such, the BIE will ensure that special education training and professional development requirements are identified as part of its planned Strategic Workforce Plan update and expansion.

Recommendation 7: The Director of BIE should take steps to ensure that all of the agency’s Education Resources Centers conduct outreach with schools to inform them of their new roles in overseeing and supporting schools’ special education programs under BIE’s reorganization.

Response: Concur.

As stated earlier, the BIE continues to refine and improve its management and oversight of its special education programs. As the BIE makes targeted reforms designed to improve the delivery of special education services, it will ensure that the roles and responsibilities of Education Resource Centers (ERC) are clearly identified and communicated to responsible BIE personnel. Specifically, within the framework of the planned update and expansion of the Strategic Workforce Plan the BIE will clearly and directly define the role of ERC personnel with respect to special education programs and services. Moreover, as the new Performance Office establishes timely and routine technical assistance plans, the BIE will work to operationalize the day-to-day engagement and outreach between ERC and school level personnel regarding special education related technical assistance.

If you have any questions, or need additional information, please contact Mr. Travis Clark at (202) 208-3612.

Sincerely,

[Signature]

Tara Sweeney
Assistant Secretary – Indian Affairs
### Appendix III: GAO Contact and Staff

#### Acknowledgments

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<thead>
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