Why GAO Did This Study

AWPS is an information system that produces management reports intended to link the Army’s industrial workload demands to workforce requirements. The Army has spent over $90 million on the system through fiscal year 2013 and plans to spend approximately $35.6 million over the next 5 years. AWPS relies on data from LMP, which was implemented after AWPS, and prior GAO reports have noted the potential overlap between AWPS and LMP. The Army has begun to assess whether unnecessary overlap exists between AWPS and LMP. Pub. L. No. 111-139 mandates that GAO identify federal programs, agencies, offices, and initiatives that have duplicative goals or activities. This review evaluates the extent to which the Army has followed certain applicable statutory and regulatory requirements for implementing AWPS and assessed whether there is unnecessary overlap between AWPS and LMP. GAO reviewed laws, regulations, and prior GAO reports; analyzed Army documents related to its assessment of unnecessary overlap between AWPS and LMP; and interviewed Army officials.

What GAO Found

The Army has not followed certain applicable statutory and regulatory requirements for implementing the Army Workload and Performance System (AWPS). The National Defense Authorization Act for Fiscal Year 2002 requires the Secretary of the Army to submit annual progress reports to Congress on the implementation of the AWPS master plan until the Secretary certifies to Congress that AWPS is fully implemented. Although the Secretary has not yet made this certification, the Army has not been submitting progress reports. According to Army officials, the Department of the Army does not plan to submit progress reports until a revised master plan for AWPS is completed. However, for many years the Army has been unable to complete an update to the master plan due to a lack of oversight. Additionally, the Army has not validated AWPS as a manpower requirements determination tool. Army regulation states that the U.S. Army Manpower and Analysis Agency (USAMAA) is responsible for reviewing and validating manpower requirements models like AWPS and that major commands—such as the Army Materiel Command (AMC)—are responsible for submitting their models to USAMAA for validation. AMC directs the activities of Army industrial sites and is the primary user of AWPS. USAMAA and AMC officials have discussed the need for AWPS to be validated, but AWPS has not yet been submitted to USAMAA for validation. USAMAA officials initially contacted AMC regarding submitting AWPS for validation; however, at the time, AMC was not prepared to proceed. Later, when ready to seek validation, AWPS officials told GAO they asked for assistance from USAMAA, but additional assistance had not yet been provided. Because USAMAA officials involved in these earlier contacts subsequently left USAMAA, GAO was unable to corroborate that USAMAA did not respond to AWPS officials’ request for assistance. Through USAMAA validation, the Army would have greater assurance that AWPS workforce management reports are accurate.

The Army has begun to assess whether unnecessary overlap exists between AWPS and the Logistics Modernization Program (LMP), but its overall progress has been limited. At the direction of AMC leadership, a project team was established and has begun to assess the extent to which AWPS’s software functionality can be replaced with existing or future LMP functionality. The team has distributed surveys to AWPS users to collect information on how they use AWPS, and whether they use other tools—such as LMP—to provide similar functionality. Initial responses to this survey indicate the potential for some overlap between AWPS and LMP. Identifying unnecessary overlap among government programs or activities is important because overlap can lead to unnecessary duplication and can result in unnecessary costs and less-efficient and less-effective services. However, the overall progress of the team’s assessment has been limited, due primarily to the absence of senior-level leadership attention and involvement and to the lack of a fully developed and documented approach for the assessment. Best practices have shown that sustained leadership attention and involvement can help organizations achieve positive results, and internal control standards call for proper documentation of evaluation processes. The absence of these elements increases the risk that the Army will not make progress in identifying and eliminating unnecessary overlap between AWPS and LMP.

What GAO Recommends

GAO is making four recommendations to the Army to (1) strengthen oversight for completing the update to the AWPS master plan, (2) direct that AWPS be submitted to USAMAA for validation, (3) identify a specific senior-level AMC manager or committee to provide oversight of the project team’s efforts, and (4) establish a fully developed and documented approach for the team’s assessment that includes a milestone for completing this effort. GAO requested comments from the Army, but none were provided.

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