

# United States Government Accountability Office Washington, DC 20548

March 18, 2011

Congressional Addressees:

Subject: List of Selected Federal Programs That Have Similar or Overlapping Objectives, Provide Similar Services, or Are Fragmented Across Government Missions

This report supplements our March 1, 2011, report *Opportunities to Reduce Potential Duplication in Government Programs, Save Tax Dollars, and Enhance Revenue*<sup>1</sup>—GAO's first annual report to Congress in response to a statutory requirement to identify federal programs, agencies, offices, and initiatives, either within departments or governmentwide, which have duplicative goals or activities.<sup>2</sup> Section I of that report touched on hundreds of federal programs governmentwide that we found have similar or overlapping objectives, provide similar services to the same populations, or are involved in government missions that are fragmented across multiple agencies.

As noted in our March 1 report, the presence of fragmentation and overlap can suggest the need to look more closely at the potential for unnecessary duplication. However, determining whether and to what extent programs are actually duplicative requires programmatic information that is often not readily available. In addition, while we have reported on examples where duplication, overlap, and fragmentation can hinder program performance and cause inefficiencies, we recognize that there could be instances where some degree of program duplication, overlap, or fragmentation may be warranted due to the nature or magnitude of the federal effort. For details on the extent to which we found the programs listed in this report to be fragmented, overlapping, or possibly duplicative, see the 34 area summaries contained in Section I of the March 1 report.

This report provides a more detailed listing of programs we identified in several areas discussed in our March 1 report.<sup>3</sup> To list names and numbers of specific programs where there could be potential duplication, overlap, or fragmentation, as identified in our March 1 report, we used a variety of methodologies. As needed, to assess the reliability of these lists, we took steps such as interviewing agency officials and other knowledgeable parties, and comparing the data in the lists to other sources of information. We conducted this work from February 2010 to March 2011 as part of our overall review in accordance with generally accepted government auditing

<sup>&</sup>lt;sup>1</sup>GAO, Opportunities to Reduce Potential Duplication in Government Programs, Save Tax Dollars, and Enhance Revenue, GAO-11-318SP (Washington, D.C.: Mar. 1, 2011).

<sup>&</sup>lt;sup>2</sup>Pub. L. No. 111-139, § 21, 124 Stat. 29 (2010), 31 U.S.C. § 712 Note.

<sup>&</sup>lt;sup>3</sup>We include funding information for a limited number of programs, where available.

standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives. See our March 1 report (GAO-11-318SP) for a detailed description of the scope and methodology used to conduct our work.

# **Summary**

We included nine areas for which we provided specific numbers of programs in Section I of our March 1 report. These areas contain hundreds of government programs that are fragmented across multiple agencies or, in some cases, within the same agency or department (see table 1). The enclosures at the end of this report contain enumerated lists of programs in each of the nine areas and provide funding information where available. While there is no standard definition for what constitutes a federal program, for the purposes of this report, programs include grants, initiatives, centers, loans, funds, and other types of assistance. This report does not list programs related to every area of potential duplication we identified in our March 1 report because many of those areas focus on activities or functions—such as business systems modernization or interagency contracting—performed by departments, agencies, military services, or other entities that are not programs per se. The programs listed here are from those sections of the report where we listed specific numbers of overlapping or fragmented programs. Furthermore, the areas we identified are not intended to represent the full universe of duplication, overlap, or fragmentation within the federal government. GAO will continue to identify additional areas in future reports.

Table 1 is a guide to the contents of each enclosure and provides links to additional information. To view the list of programs within each area, click on "View enclosure" in the "List of Programs" column below. Links in the "Areas Identified" column will take you to the corresponding area summary in our March 1 report.

Federal Programs  Areas identified	ures Containing Lists of Fragmented, Overlapping, or Potentially	· 
(Click on links below to		
view full area summaries from our March 1 report)	Description	List of programs
Economic development programs	Enclosure I names 80 economic development programs and provides funding information when available.	View enclosure I
Surface transportation programs	Enclosure II contains over 100 surface transportation programs identified by the Department of Transportation.	View enclosure II
Federal Emergency Management Agency (FEMA) grants	Enclosure III lists the 17 different Department of Homeland Security grant programs FEMA manages and provides funding information for these programs in fiscal year 2010.	View enclosure III
Domestic food assistance programs	Enclosure IV lists the 18 domestic food nutrition and assistance programs on which the federal government spent more than \$62.5 billion in fiscal year 2008.	View enclosure IV
Homelessness programs	Enclosure V provides a list of over 20 federal programs across seven different departments that provide shelter or housing assistance.	View enclosure V
Transportation services for transportation-disadvantaged persons	Enclosure VI contains a list of 80 programs across eight different departments that GAO identified as providing transportation services to transportation-disadvantaged persons, at a cost of at least \$1.7 billion in fiscal year 2009.	View enclosure VI

Areas identified (Click on links below to view full area summaries from our March 1 report)	Description	List of programs
Employment and training programs	Enclosure VII lists 47 federal employment and training programs GAO identified—44 overlap with at least one other program in that they provide at least one similar service to a similar population.	View enclosure VII
Teacher quality programs	Enclosure VIII lists the 82 federal programs supporting teacher quality improvement.	View enclosure VIII
Financial literacy efforts	Enclosure IX lists 56 federal financial literacy programs identified by federal agencies. However, the interpretation of what constituted a discrete "program" varied widely among the agencies we surveyed.	View enclosure IX

Source: GAO

We are sending copies of this report to the appropriate congressional committees and other interested parties. In addition, this report will be available at no charge on the GAO Web site at <a href="http://www.gao.gov">http://www.gao.gov</a>.

Should you or your staff have any questions concerning this report, please contact Patricia A. Dalton, Chief Operating Officer at (202) 512-5600, or DaltonP@gao.gov; or Janet A. St. Laurent, Managing Director, Defense Capabilities and Management at (202) 512-4300, or StLaurentJ@gao.gov. Contact points for our Offices of Congressional Relations and Public Affairs may be found on the last page of this report.

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Enclosures (9)

# List of Congressional Addressees

The Honorable Daniel K. Inouye Chairman The Honorable Thad Cochran Vice Chairman Committee on Appropriations United States Senate

The Honorable Kent Conrad Chairman The Honorable Jeff Sessions Ranking Member Committee on the Budget United States Senate

The Honorable Joseph I. Lieberman Chairman The Honorable Susan M. Collins Ranking Member Committee on Homeland Security and Governmental Affairs United States Senate

The Honorable Harold Rogers Chairman The Honorable Norman D. Dicks Ranking Member Committee on Appropriations House of Representatives

The Honorable Paul Ryan Chairman The Honorable Chris Van Hollen Ranking Member Committee on the Budget House of Representatives

The Honorable Darrell Issa Chairman The Honorable Elijah E. Cummings Ranking Member Committee on Oversight and Government Reform House of Representatives

The Honorable Scott Brown United States Senate

The Honorable Tom Coburn United States Senate

The Honorable Claire McCaskill United States Senate

The Honorable Mark R. Warner United States Senate

# **Enclosure I**

# **Economic Development Programs**

Enclosure I shows the names of 80 economic development programs and provides funding information when available. Using the Catalog of Federal Domestic Assistance and other agency documents, GAO identified 80 federal programs administered by the four agencies listed below that could fund economic development activities. GAO did not include tax credit programs aimed at economic development in this review.

Item No.	Program Name	FY 2010 Enacted Appropriation, \$
Depa	rtment of Commerce	
1.	Community Trade Adjustment Assistance	-
2.	Grants for Public Works and Economic Development Facilities	158,930,000
3.	Economic Development/Support for Planning Organizations	31,391,000
4.	Economic Development/Technical Assistance	9,800,000
5.	Economic Adjustment Assistance	45,270,000
6.	Research and Evaluation Program	1,963,000
7.	Trade Adjustment Assistance	18,987,000
8.	Global Climate Change Mitigation Incentive Fund	25,000,000
9.	Minority Business Enterprise Centers	8,601,193
10.	Native American Business Enterprise Centers	1,351,500
11.	Minority Business Opportunity Center	1,512,500
Depa	rtment of Agriculture	
12.	Empowerment Zones	500,000
13.	Woody Biomass Utilization Grant Program	5,000,000
14.	1890 Land Grant Institutions Rural Entrepreneurial Outreach Program/Rural Business Entrepreneur Development Initiative/BISNET	-
15.	Distance Learning and Telemedicine Loans & Grants	33,300,000
16.	Rural Telephone Loans and Loan Guarantees	-
17.	Public Television Station Digital Transition Grants	4,500,000
18.	Community Connect Program	18,000,000
19.	Rural Broadband Access Loans and Loan Guarantees	29,000,000
20.	Rural Electrification Loans and Loan Guarantees	-
21.	Assistance to High Energy Cost Rural Communities	17,500,000
22.	Denali Commission Loans and Grants	-
23.	State Bulk Fuel Revolving Fund Grants	-
24.	Small Business Innovation Research	22,000,000
25.	Biomass Research and Development Initiative Competitive Grants Program	-
26.	Schools and Roads—Grants to States	-
27.	Schools and Roads—Grants to Counties	-
28.	Community Facilities Loans & Grants	36,800,000
29.	Water and Waste Disposal Loans & Grants (Section 306C)	489,100,000
30.	Water and Waste Disposal Systems for Rural Communities	-

Item No.	Program Name	FY 2010 Enacted Appropriation, \$
31.	Emergency Community Water Assistance Grants	13,000,000
32.	Technical Assistance and Training Grants	19,500,000
33.	Grant Program to Establish a Fund for Financing Water and Waste Water Projects	500,000
34.	Solid Waste Management Grants	3,400,000
35.	Value Added Producer Grants	19,400,000
36.	Biobased Products and Bioenergy Program	2,000,000
37.	Agriculture Innovation Center	-
38.	Small Socially-Disadvantaged Producer Grants	3,500,000
39.	Intermediary Re-lending	8,500,000
40.	Business and Industry Loans	52,900,000
41.	Rural Business Enterprise Grants	38,700,000
42.	Rural Cooperative Development Grants	8,300,000
43.	Rural Business Opportunity Grants	2,500,000
44.	Rural Economic Development Loans and Grants	-
45.	Biorefinery Assistance Program	245,000,000
46.	Rural Energy for America Program	99,400,000
47.	Rural Microentrepreneur Assistance Program	9,000,000
Depa	rtment of Housing and Urban Development	
48.	CDBG/Entitlement Grants	2,760,223,970
49.	CDBG/Special Purpose/Insular Areas	6,930,000
50.	CDBG/States	1,176,594,747
51.	CDBG/Non-entitlement CDBG Grants in Hawaii	5,791,797
52.	CDBG/Brownfields Economic Development Initiative	17,500,000
53.	CDBG/Section 108 Loan Guarantees	6,000,000
54.	Section 4 Capacity Building for Affordable Housing and Community Development	50,000,000
55.	Rural Innovation Fund	25,000,000
56.	CDBG Disaster Recovery Grants	100,000,000
57.	Indian CDBG	65,000,000
58.	Hispanic Serving Institutions Assisting Communities	6,250,000
59.	Alaska Native/Native Hawaiian Institutions Assisting Communities	3,265,000
60.	Sustainable Communities Regional Planning Grant Program	98,000,000
61.	Community Challenge Grant Program	40,000,000
Small	Business Administration	
62.	8(a) Business Development Program	61,765,000
63.	7(j) Technical Assistance	6,580,000
64.	Procurement Assistance to Small Businesses	2,753,000
65.	Small Business Investment Companies	217,274,000
66.	7(a) Loan Program	99,589,000
67.	Surety Bond Guarantee Program	5,506,000
68.	SCORE	11,135,000
69.	Small Business Development Centers	129,426,000
70.	504 Loan Program	36,049,000
71.	Office of Women's Business Ownership	23,525,000
72.	Veterans' Businesses Outreach Centers	7,644,000

Item No.	Program Name	FY 2010 Enacted Appropriation, \$
73.	Microloan Program	27,328,000
74.	PRIME	40,076,000
75.	New Markets Venture Capital Program	315,000
76.	7(a) Export Loan Guarantees	983,000
77.	HUBZone	11,653,000
78.	Small Business Technology Transfer Program	585,000
79.	Small Business Innovation Research Program	-
80.	Federal and State Technology Partnership Program	2,490,000
Grand Total		\$6,529,337,707

 $Sources: Departments \ of \ Agriculture, \ Commerce, \ and \ Housing \ and \ Urban \ Development \ and \ the \ Small \ Business \ Administration.$ 

#### **Enclosure II**

# **Surface Transportation Programs**

The National Surface Transportation Policy and Revenue Study Commission and the Department of Transportation (DOT) have both identified over 100 surface transportation programs and recommended restructuring and refocusing DOT's surface program structure. For example, the commission proposed that 108 programs in 5 agencies—the Federal Highway Administration, Federal Transit Administration, National Highway Traffic Safety Administration, Federal Motor Carrier Safety Administration, and Federal Railroad Administration—be restructured around functional lines rather than individual modes. In addition, in 2008 DOT published a list of 101 surface transportation programs in 4 agencies to accompany a proposal for restructuring surface transportation programs, titled "Refocus. Reform. Renew. A New Transportation Approach for America" (see below).

Compiling a comprehensive list of surface transportation programs and associated funding is problematic because the appropriations account structure for transportation programs is not aligned with actual programs. For example, some appropriations accounts with a program orientation include the resources for a number of programs within a single account, and other appropriations accounts with a program orientation do not necessarily capture all related program resources.

Table 3: DOT's List of Surface Trans	portation Programs Acco	ompanying Its 2008 Reform Proposal

Prog	gram
Fede	eral Highway Administration
1.	Interstate Maintenance Program
2.	Interstate Maintenance Discretionary Program
3.	National Highway System
4.	Alaska Highway
5.	Territorial Highway Program
6.	Bridge Program
7.	Bridge Setaside for Designated Projects
8.	Off-system Bridges and Completion of Warwick Intermodal Station
9.	Surface Transportation Program
10.	On-the-Job Training/Supportive Services
11.	DBE Training
12.	Congestion Mitigation and Air Quality Improvement Program
13.	Highway Safety Improvement Program
14.	Elimination of Hazards and Installation of Protective Devices at Railway-Highway Crossings
15.	Protective Devices at Railway-Highway Crossings
16.	High Risk Rural Roads
17.	Appalachian Development Highway System
18.	Recreational Trails Program
19.	Metropolitan Planning
20.	Emergency Relief
21.	Federal Lands Highways Program
22.	Indian Reservation Roads

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	Program		
23.	Park Roads and Parkways		
24.	Refuge Roads		
25.	Public Lands Highways		
26.	Public Lands Discretionary		
27.	Forest Highways		
28.	National Corridor Infrastructure Improvement Program		
29.	Coordinated Border Infrastructure Program		
30.	National Scenic Byways Program		
31.	Construction of Ferry Boats and Ferry Terminal Facilities		
32.	Puerto Rico Highway Program		
33.	Projects of National and Regional Significance		
34.	High Priority Projects Program		
35.	Safe Routes to School Program		
36.	Deployment of Magnetic Levitation Transportation Projects		
37.	Highways for LIFE		
38.	Highway Use Tax Evasion Projects		
39.	Operation Lifesaver		
40.	Rail-Highway X-ing Hazard Elim. in High Speed Rail Corridors		
41.	Equity Bonus Program		
42.	Revenue Aligned Budget Authority		
43.	Transportation, Community, and System Preservation Program		
44.	Indian Reservation Road Bridges		
45.	Truck Parking Facilities		
46.	Freight Intermodal Distribution Pilot Grant Program		
47.	Delta Region Transportation Development Program		
48.	Work Zone Safety Grants		
49.	Transportation Infrastructure Finance and Innovation Act Amendments		
50.	Value Pricing Pilot Program		
51.	National Historic Covered Bridge Preservation		
52.	Additional Authorization of Contract Authority for States with Indian Reservations		
53.	Nonmotorized Transportation Pilot Program		
54.	Grant Program to Prohibit Racial Profiling		
55.	Transportation Projects		
56.	Denali Access System Program		
57.	Surface Transportation Research Program		
58.	Training and Education		
59.	Bureau of Transportation Statistics		
60.	University Transportation Research		
61.	Intelligent Transportation Systems Research		
Fede	eral Transit Administration		
62.	Metropolitan & Statewide Planning		
63.	Urbanized Area Formula Grants		
64.	Clean Fuels Grant Program		
65.	Major Capital Investments (New Starts)		

Prog	Program		
66.	Major Capital Investments (Small Starts)		
67.	Fixed Guideway Modernization		
68.	Bus and Bus Facilities		
69.	Formula Grants for Special Needs for Elderly Individuals and Individuals with Disabilities		
70.	Nonurbanized Area Formula Grants		
71.	Rural Transit Assistance Program		
72.	Public Transportation on Indian Reservations		
73.	Transit Cooperative Research Program		
74.	National Research & Technology Program		
75.	Job Access and Reverse Commute Program		
76.	New Freedom Program		
77.	Alternative Transportation in Parks and Public Lands		
78.	Alternatives Analysis		
79.	University Transportation Centers Program		
80.	Over the Road Bus Program/Over the Road Bus Accessibility		
81.	National Fuel Cell Technology Development Program		
Fede	eral Motor Carrier Safety Administration		
82.	Motor Carrier Safety Grants		
83.	Administrative Expenses		
84.	Commercial Driver's License Program Improvement Grants		
85.	Border Enforcement Grants		
86.	Performance and Registration Information System Management Grant Program		
87.	Commercial Vehicle Information Systems and Networks Deployment		
88.	Safety Data Improvement Grants		
89.	Commercial Driver's License Information System Modernization		
90.	Intermodal Transportation		
Natio	onal Highway Traffic Safety Administration		
91.	State and Community Highway Safety Grants		
92.	Highway Safety Research and Development		
93.	Occupant Protection Incentive Grants		
94.	Safety Belt Performance Grants		
95.	State Traffic Safety Information System Improvements		
96.	Alcohol-Impaired Driving Countermeasures Incentive Grant Program		
97.	National Driver Register		
98.	High Visibility Enforcement		
99.	Motorcyclist Safety		
100.	Child Safety and Child Booster Seat Safety Incentive Grants		
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Source: DOT "Refocus. Reform. Renew. A New Transportation Approach for America" (2008).

101. Administrative Expenses for Programs

Note: In 2008, DOT published this list of surface transportation programs to accompany a proposal for restructuring surface transportation programs, titled "Refocus. Reform. Renew. A New Transportation Approach for America." GAO reproduced this list as released by DOT to illustrate the range of surface transportation programs. GAO did not independently validate this list.

# **Enclosure III**

# Federal Emergency Management Agency Oversight of Department of Homeland Security Grants

The Federal Emergency Management Agency manages 17 different Department of Homeland Security preparedness grant programs. This enclosure lists each program and funding distributed for these programs in fiscal year 2010.

Item No.	Program Name	FY 2010
1.	State Homeland Security Program	\$842,000,000
2.	Urban Areas Security Initiative	832,520,000
3.	Operation Stonegarden	60,000,000
4.	Metropolitan Medical Response System	39,359,956
5.	Citizen Corps Program	12,480,000
6.	Tribal Homeland Security Grant Program	10,000,000
7.	UASI Nonprofit Security Grant Program	19,000,000
8.	Emergency Management Performance Grants	329,799,991
9.	Interoperable Emergency Communications Grant Program	48,000,000
10.	Regional Catastrophic Preparedness Grant Program	33,600,000
11.	Emergency Operations Center Grant Program	57,600,000
12.	Driver's License Security Grant Program	48,000,000
13.	Buffer Zone Protection Program	48,000,000
14.	Port Security Grant Program	288,000,000
15.	Intercity Passenger Rail (Amtrak)	20,000,000
16.	Freight Rail Security Grant Program	15,000,000
17.	Intercity Bus Security Grant Program	11,520,000
Total		\$2,714,879,947

Source: Department of Homeland Security FY 2010 Preparedness Grant Programs Overview (Washington, D.C.: Dec. 8, 2009).

# **Enclosure IV**

# **Domestic Food Assistance Programs**

The federal government spent more than \$62.5 billion on the following 18 domestic food nutrition and assistance programs in fiscal year 2008.

Item No.	Program Name
USDA	
1.	Child and Adult Care Food Program
2.	Commodity Supplemental Food Program
3.	Community Food Projects Competitive Grant Program <sup>a</sup>
4.	Food Distribution Program on Indian Reservations
5.	Fresh Fruit and Vegetable Program
6.	National School Lunch Program
7.	Nutrition Assistance for Puerto Rico
8.	School Breakfast Program
9.	Senior Farmers' Market Nutrition Program
10.	Special Milk Program
11.	Summer Food Service Program
12.	SNAP
13.	The Emergency Food Assistance Program
14.	WIC
15.	WIC Farmers' Market Nutrition Program

16. Emergency Food and Shelter National Board Program

# **HHS Administration on Aging**

- 17. Elderly Nutrition Program: Home-Delivered and Congregate Nutrition Services
- 18. Grants to American Indian, Alaska Native, and Native Hawaiian Organizations for Nutrition and Supportive Services

Source: GAO, Domestic Food Assistance: Complex System Benefits Millions, but Additional Efforts Could Address Potential Inefficiency and Overlap among Smaller Programs, GAO-10-346 (Washington, D.C.: Apr. 15, 2010).

<sup>a</sup>The Community Food Projects Competitive Grants Program is administered by the National Institute of Food and Agriculture (formerly the Cooperative State Research, Education, and Extension Service, CSREES) of USDA. All other USDA programs listed above are administered by the Food and Nutrition Service. Community Food Projects Competitive Grants Program participation information is from CSREES Update: September 17, 2009, Office of the Administrator, CSREES, USDA.

#### **Enclosure V**

#### **Homelessness Programs**

This enclosure lists selected federal programs that provide shelter or housing assistance.

#### Table 6: List of Selected Federal Programs That Provide Shelter or Housing Assistance

#### Item

#### No. Program Name

#### **Department of Housing and Urban Development**

- 1. Housing Choice Voucher (Section 8)
- 2. Public Housing
- 3. Homeless Assistance Programs: Single Room Occupancy
- 4. Homeless Assistance Programs: Shelter Plus Care
- 5. Homeless Assistance Programs: Supportive Housing Program
- 6. Homeless Assistance Programs: Emergency Shelter Grant
- 7. HUD-VA Supportive Housing
- 8. Native American Housing Assistance and Self Determination Act
- 9. Self-Help Homeownership Opportunity Program
- 10. HOME Investment Partnerships
- 11. Community Development Block Grant

#### **Department of Health and Human Services**

- 12. Projects for Assistance in Transition from Homelessness
- 13. Runaway and Homeless Youth
- 14. Federal Surplus Real Property

# **Department of Veterans Affairs**

- 15. Homeless Providers Grants & Per Diem
- 16. HUD-VA Supportive Housing

# **Department of Justice**

17. Transitional Housing Assistance for Victims of Domestic Violence, Stalking, or Sexual Assault

#### Department of Homeland Security/Federal Emergency Management Agency

18. Emergency Food and Shelter

#### **Department of Agriculture**

- 19. Housing programs such as Single-Family Housing and Multi-family housing
- 20. Community Facilities Loan

# Department of the Interior/Bureau of Indian Affairs

21. Human services programs, such as Housing Improvement Program

Sources: GAO, Homelessness: A Common Vocabulary Could Help Agencies Collaborate and Collect More Consistent Data, GAO-10-702 (Washington, D.C.: June 30, 2010) and Rural Homelessness: Better Collaboration by HHS and HUD Could Improve Delivery of Services in Rural Areas, GAO-10-724 (Washington, D.C.: July 10, 2010).

# **Enclosure VI**

# Federal Programs Providing Transportation Services for Transportation-Disadvantaged Persons, As of October 2010

This list contains programs that GAO identified as providing transportation services to transportation-disadvantaged persons, with limited information available on funding. Transportation is not the primary purpose of many of these programs, but rather access to services, such as medical appointments. In many cases, funding data were not available as funds are embedded in broader program spending. However, GAO obtained fiscal year 2009 funding information for 23 programs (see below), which spent an estimated total of \$1.7 billion on transportation services that year.

Table 7: Federal Programs Providing Transportation Services for Transportation-Disadvantaged Persons <sup>a</sup>				
Item No.	Program Name⁵	Fiscal year 2009 federal spending on transportation		
Depar	Department of Agriculture			
1.	Food Stamp Employment and Training Program	no estimate available		
2.	Community Facilities Loans and Grants	no estimate available		
Depar	tment of Education			
3.	21st-Century Community Learning Centers	no estimate available		
4.	Voluntary Public School Choice	no estimate available		
5.	Special Education Grants to States	no estimate available		
6.	Special Education Preschool Grants	no estimate available		
7.	Special Education Grants for Infants and Families	no estimate available		
8.	Centers for Independent Living	no estimate available		
9.	Independent Living Services for Older Individuals Who Are Blind	no estimate available		
10.	Independent Living State Grants	no estimate available		
11.	Supported Employment Services for Individuals with Most Significant Disabilities	no estimate available		
12.	Vocational Rehabilitation Grants	\$79,356,746		
13.	Rehabilitation Services American Indians with Disabilities	no estimate available		
Depar	tment of Health and Human Services			
14.	Child Care and Development Fund	no estimate available		
15.	Community Services Block Grant Programs	no estimate available		
16.	Developmental Disabilities Projects of National Significance	no estimate available		
17.	Head Start	no estimate available		
18.	Refugee and Entrant Assistance Discretionary Grants	no estimate available		
19.	Refugee and Entrant Assistance State Administered Programs	no estimate available		
20.	Refugee and Entrant Assistance Targeted Assistance	no estimate available		
21.	Refugee and Entrant Assistance Voluntary Agency Programs	no estimate available		
22.	Social Services Block Grants	no estimate available		
23.	State Councils on Developmental Disabilities and Protection and Advocacy Systems	no estimate available		
24.	Temporary Assistance for Needy Families	\$355,322,883		
25.	Transitional Living for Homeless Youth	no estimate available		
26.	Native American Programs	no estimate available		

Item No.				
27.	Tribal Work Grants	no estimate available		
28.	Chafee Foster Care Independence Program	no estimate available		
29.	Grants for Supportive Services and Senior Centers	\$72,282,657		
30.	Program for American Indian, Alaskan Native, and Native Hawaiian Elders	no estimate available		
31.	Medicaid	no estimate available°		
32.	State Children's Health Insurance Program	\$4,518,297		
33.	Community Health Centers	\$24,340,787		
34.	Healthy Start Initiative	no estimate available		
35.	HIV Care Formula Grants	no estimate available		
36.	Maternal and Child Services Grants	no estimate available		
37.	Rural Health Care, Rural Health Network, and Small Health Care Provider Programs	\$187,500		
38.	Urban Indian Health Services	\$26,664		
39.	Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects	\$359,323		
40.	Community Mental Health Services Block Grant	no estimate available		
41.	Substance Abuse Prevention and Treatment Block Grant	no estimate available		
42.	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances	no estimate available		
43.	Substance Abuse and Mental Health Services Access to Recovery	\$3,000,000		
Depar	tment of Housing and Urban Development			
44.	Community Development Block Grants/Entitlement Grants	\$4,006,326		
45.	Community Development Block Grants/Special Purpose Grants/Insular Areas	no estimate available		
46.	Community Development Block Grants/State's program and Non- Entitlement Grants in Hawaii	no estimate available		
47.	Emergency Shelter Grants Program	no estimate available		
48.	Housing Opportunities for Persons with AIDS	\$2,581,945		
49.	Supportive Housing Program	\$12,970,863		
50.	Demolition and Revitalization of Severely Distressed Public Housing	no estimate available		
51.	Public and Indian Housing	no estimate available		
52.	Resident Opportunity and Supportive Services—Service Coordinators	no estimate available		
53.	Supportive Housing for the Elderly	no estimate available		
54.	Congregate Housing Services Program	no estimate available		
Depar	tment of the Interior			
55.	Indian Employment Assistance	no estimate available		
56.	Indian Schools Student Transportation	\$50,544,867		
57.	Indian Child and Family Education	no estimate available		
58.	Assistance for Indian Children with Severe Disabilities	no estimate available		
59.	Administrative Cost Grants for Indian Schools	no estimate available		
60.	Indian Education Assistance to Schools	no estimate available		
61.	Indian Social Services Welfare Assistance	no estimate available		
Depar	tment of Labor			
62.	Native American Employment and Training	no estimate available		
63.	Senior Community Service Employment Program	no estimate available		
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Item No.	Program Name⁵	Fiscal year 2009 federal spending on transportation		
64.	le Adjustment Assistance—Workers no estimate available			
65.	Workforce Investment Act Adult Services Program	no estimate available		
66.	Workforce Investment Act Youth Activities	no estimate available		
67.	Youthbuild	no estimate available		
68.	National Farmworker Jobs Program	no estimate available		
69.	Homeless Veterans' Reintegration Project	no estimate available		
70.	Veterans' Employment Program	no estimate available		
Department of Transportation				
71.	Capital and Training Assistance Program for Over-the-Road Bus Accessibility	\$14,006,307		
72.	Capital Assistance Program for Elderly Persons and Persons with Disabilities	\$90,003,703		
73.	Capital Investment Grants	\$9,096,277		
74.	Job Access and Reverse Commute	\$61,304,518		
75.	Nonurbanized Area Formula Program	\$419,924,875		
76.	Urbanized Area Formula Program	\$95,750,785		
77.	New Freedom Program	\$27,062,736		
Department of Veterans Affairs				
78.	Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces	\$61,600,000		
79.	VA Homeless Providers Grant and Per Diem Program	\$282,619		
80.	Veterans Medical Care Benefits	\$314,754,000		

Source: Federal departments and GAO analysis of the Catalog of Federal Domestic Assistance (October 2010).

While no estimates were available for fiscal year 2009, the Medicaid program in the Department of Health and Human Services spent \$704 million in fiscal year 2010 for transportation services—the first year for which such information was available.

<sup>&</sup>lt;sup>a</sup>The Corporation for National and Community Service—an independent federal agency—also funds three programs that provide transportation services: Retired and Senior Volunteer Program, Foster Grandparent Program, and Senior Companion Program.

<sup>&</sup>lt;sup>b</sup>Two new programs in the Departments of Agriculture (Hunger Free Communities) and Housing and Urban Development (Choice Neighborhoods) have not yet awarded grants, but will have transportation as an eligible use of funds. These have not been included in the count of programs.

# **Enclosure VII**

# **Employment and Training Programs**

Forty-four of the 47 federal employment and training programs GAO identified (see below), including those with broader missions such as multipurpose block grants, overlap with at least one other program in that they provide at least one similar service to a similar population. However, our review of three of the largest programs showed that the extent to which individuals receive the same services from these programs is unknown due to program data limitations.

Item	
No.	Program Name
Depa	rtment of Labor
1.	Community-Based Job Training Grants
2.	Disabled Veterans' Outreach Program
3.	Employment Service/Wagner-Peyser Funded Activities
4.	H-1B Job Training Grants
5.	Homeless Veterans' Reintegration Project
6.	Job Corps
7.	Local Veterans' Employment Representative Program
8.	National Farmworker Jobs Program
9.	Native American Employment and Training
10.	Registered Apprenticeship and Other Training
11.	Reintegration of Ex-Offenders
12.	Senior Community Service Employment Program
13.	Trade Adjustment Assistance
14.	Transition Assistance Program
15.	Veterans' Workforce Investment Program
16.	WIA Adult Program
17.	WIA Youth Activities
18.	WIA Dislocated Workers
19.	WIA National Emergency Grants
20.	WANTO
21.	YouthBuild
Depa	rtment of Education
22.	American Indian Vocational Rehabilitation Services
23.	Career and Technical Education—Basic Grants to States
24.	Career and Technical Education—Indian Set-aside
25.	Grants to States for Workplace and Community Transition Training for Incarcerated Individuals
26.	Migrant and Seasonal Farmworkers Program
27.	Native Hawaiian Career and Technical Education
28.	Projects with Industry
29.	Rehabilitation Services—Vocational Rehabilitation Grants to States
30.	State-Supported Employment Services Program

# Item No. Program Name 31. Tech-Prep Education 32. Tribally Controlled Postsecondary Career and Technical Institutions

# Department of Health and Human Services

- 33. Community Services Block Grant
- 34. Refugee and Entrant Assistance—Voluntary Agency Matching Grant Program
- 35. Refugee and Entrant Assistance—Targeted Assistance Grants
- 36. Refugee and Entrant Assistance—Social Services Program
- 37. Refugee and Entrant Assistance—Targeted Assistance Discretionary Program
- 38. Temporary Assistance for Needy Families
- 39. Tribal Work Grants<sup>a</sup>

# **Department of the Interior**

- 40. Conservation Activities by Youth Service Organizations<sup>b</sup>
- 41. Indian Employment Assistance
- 42. Indian Vocational Training—United Tribes Technical College

#### **Department of Agriculture**

43. SNAP Employment and Training Program

#### **Department of Defense**

44. National Guard Youth Challenge Program

# **Environmental Protection Agency**

45. Brownfield Job Training Cooperative Agreements

#### **Department of Justice**

Second Chance Act Prisoner Reentry Initiative

#### **Department of Veterans Affairs**

Vocational Rehabilitation for Disabled Veterans<sup>c</sup>

Source: GAO, Multiple Employment and Training Programs: Providing Information on Colocating Services and Consolidating Administrative Structures Could Promote Efficiencies, GAO-11-92, (Washington, D.C.: Jan. 13, 2011).

<sup>&</sup>lt;sup>a</sup>Also known as the Native Employment Works program.

<sup>&</sup>lt;sup>b</sup>For the purposes of our study, this program includes several programs administered by Interior's National Park Service: Public Lands Corps, Youth Conservation Corps, Youth Intern Program, and Youth Partnership Program.

<sup>°</sup>Also known as the VetSuccess program.

# **Enclosure VIII**

# Federal Programs Supporting Teacher Quality Improvement

This enclosure lists the federal programs supporting teacher quality improvement we found governmentwide. The "Framework for Analysis" section on pages 148-149 of our March 1 report, GAO-11-318SP, provides the methodology used to conduct the work.

# Table 9: List of Federal Programs Governmentwide Supporting Teacher Quality Improvement Specifically, or as an Allowable Activity

Item No.	Program Name		
Depar	epartment of Education		
1.	Equity Assistance Centers Program		
2.	Title I Grants to Local Educational Agencies		
3.	Migrant Education: State Grant Program		
4.	Overseas Programs—Group Projects Abroad		
5.	Overseas Programs—Doctoral Dissertation Research Abroad		
6.	Special Education—Grants to States		
7.	Career and Technical Education—Basic Grants to States		
8.	Indian Education—Grants to Local Educational Agencies		
9.	Women's Educational Equity Act Program		
10.	Career and Technical Education—Grants to Native Americans and Alaska Natives		
11.	Fund for the Improvement of Postsecondary Education		
12.	Regional Educational Laboratories		
13.	Magnet Schools Assistance		
14.	Special Education—Preschool Grants		
15.	National Professional Development Project		
16.	Javits Gifted and Talented Students Education Grant Program		
17.	Even Start—State Educational Agencies		
18.	Even Start—Migrant Education		
19.	Academies for American History and Civics		
20.	Excellence in Economic Education		
21.	Carol M. White Physical Education Program		
22.	Teaching American History		
23.	Teach for America (TFA)		
24.	Language Resource Centers		
25.	Tech-Prep Education		
26.	Tribally Controlled Postsecondary Career and Technical Institutions		
27.	Freely Associated States—Education Grant Program		
28.	Even Start—Indian Tribes and Tribal Organizations		
29.	Native Hawaiian Career and Technical Education		
30.	Comprehensive Centers		
31.	Foreign Language Assistance		
32.	Indian Education—Special Programs for Indian Children (Demonstration Grants for Indian Children)		
33.	Indian Education Professional Development Grants		

34. Education Research, Development and Dissemination 35. **Education Technology State Grants** 36. Special Education—State Personnel Development 37. Special Education—Personnel Development to Improve Services and Results for Children with Disabilities **Department of Education** Special Education—Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities 39. Special Education—Technology and Media Services for Individuals with Disabilities 40. Advanced Placement Program Teacher Quality Partnership Grants 41. 42. Transition to Teaching 43. Arts in Education (Professional Development for Arts Educators—Arts in Education) Arts in Education (Model Development for Arts Educators—Arts in Education) 44. 45. Arts in Education (John F. Kennedy Center for the Performing Arts) Alaska Native Educational Programs 46. 47. **Rural Education** 48. Native Hawaiian Education 49. School Leadership 50. **English Language Acquisition Grants** 51. Native American and Alaska Native Children in School Program Mathematics and Science Partnerships 52 53. Improving Teacher Quality State Grants 54. Striving Readers 55. Statewide Data Systems 56. Teacher Incentive Fund School Improvement Grants 57. 58. Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) 59. Teachers for a Competitive Tomorrow (Baccalaureate) 60. Teachers for a Competitive Tomorrow (Masters) 61 Close Up Fellowship Program 62. Troops-to-Teachers 63. Advanced Certification or Advanced Credentialing National Writing Project **Department of Defense** 65. Invitational Grants for Military-Connected Schools 66. DOD, NDEP, DOTC-STEM Education Outreach Implementation Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools Department of the Interior Indian School Equalization Program 69. Indian Child and Family Education (FACE) Assistance for Indian Children with Severe Disabilities 70.

# **Environmental Protection Agency**

- 72. Environmental Education Grants
- 73. National Environmental Education Training Program

Indian Education—Assistance to Schools

71.

### **James Madison Memorial Fellowship Foundation**

74. James Madison Memorial Fellowship Program

#### **National Endowment for the Humanities**

- 75. Promotion of the Humanities Professional Development
- 76. Promotion of the Humanities Teaching and Learning Resources and Curriculum Development

# **Department of State**

- 77. Academic Exchange Programs—Special Academic Exchange Programs
- 78. Academic Exchange Programs—Teachers
- 79. Academic Exchange Programs—English Language Programs

#### **Department of Agriculture**

80. Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (SPECA)

# **National Science Foundation**

81. Education and Human Resources

#### **National Aeronautics and Space Administration**

82. Science (Educational Outreach)

Source: GAO analysis of information from the Catalogue of Federal Domestic Assistance and the Department of Education.

# **Enclosure IX**

This enclosure lists 56 federal financial literacy programs across a number of different agencies. Federal agencies self-identified their financial literacy programs in a survey conducted in 2009 by the Departments of the Treasury and Education. However, the interpretation of what constituted a discrete "program" varied widely across agencies.

Table	Table 10: Federal Financial Literacy Programs			
Item No.	Program Name			
Com	modity Futures Trading Commission			
1.	www.cftc.gov			
Depa	rtment of Agriculture			
2.	Financial Security Program			
Depa	rtment of Education			
3.	Cooperative Civic Education and Economic Education Exchange Program			
4.	Excellence in Economic Education Program			
Depa	epartment of Defense			
5.	Financial Readiness Campaign			
Depa	rtment of Health and Human Services			
6.	Own Your Future Long-Term Care Awareness Campaign			
7.	National Education and Resource Center on Women and Retirement Planning			
8.	Pension Counseling and Information Program			
9.	Aging and Disability Resource Center			
10.	Medicare Improvements for Patients and Providers Act			
11.	Medicaid Program Eligibility			
12.	Medicare Options Compare			
13.	Medicare Prescription Drug Plan Finder			
14.	Insure Kids Now Hotline and Website			
15.	Questions and Answers about Health Insurance: A Consumer Guide			
16.	Executive Leadership Development Program			
17.	Public Health Officer Basic Course			
18.	Pre-Retirement Seminar			
Depa	rtment of Housing and Urban Development			
19.	Housing Counseling			
Depa	rtment of Labor			
20.	Saving Matters Retirement Savings Education Campaign			
21.	Wi\$eUp			
22.	Health Benefits Education Campaign			
Depa	rtment of the Treasury			
23.	National Financial Capability Challenge			
24.	Financial Education and Counseling Pilot Program			
25.	Community Financial Access Pilot			
26.	Money Math: Lessons for Life			
	rtment of Veterans Affairs			
27.	Financial Literacy Education Program			
	ral Deposit Insurance Corporation			
28.	Money Smart Financial Education Program			
29.	FDIC Consumer Protection Resources			
30.	FDIC Deposit Insurance Coverage Resources			

# Federal Reserve Board of Governors (does not include programs of the 12 individual regional Federal Reserve Banks)

31. Financial education initiatives

#### **Federal Trade Commission**

- 32. ftc.gov/moneymatters
- 33. ftc.gov/freereports
- 34. ftc.gov/youarehere
- 35. ftc.gov/gettingcredit
- 36. YouTube.com/FTCvideos
- 37. ftc.gov/idtheft
- 38. ftc.gov/bizopps
- 39. ftc.gov/hurricanerecovery

#### U.S. Mint

40. Mint Education Initiative

#### **National Credit Union Administration**

41. Deposit Insurance Education Campaign

#### Office of the Comptroller of the Currency

- 42. Building Public Awareness of Financial Issues Affecting Consumers: HelpwithMyBank.gov
- 43. Building Public Awareness of Financial Issues Affecting Consumers: Consumer Advisories
- 44. Building Public Awareness of Financial Issues Affecting Consumers: Public Service Announcements
- 45. Building Public Awareness of Financial Issues Affecting Consumers: Minority Media Campaign
- 46. Encouraging the Financial Literacy Efforts of National Banks: Financial Literacy Web Resource Directory
- 47. Encouraging the Financial Literacy Efforts of National Banks: Financial Literacy Update
- 48. Encouraging the Financial Literacy Efforts of National Banks: Other Financial Literacy Publications
- 49. Facilitating Strategic Financial Literacy Partnerships: OCC Staff Support and Consumer Information
- 50. Facilitating Strategic Financial Literacy Partnerships: OCC Leadership and Support
- 51. Federal Regulations and Policies That Impact Financial Literacy, Particularly the Community Reinvestment Act

#### Office of Personnel Management

52. Retirement Readiness NOW

#### **Securities and Exchange Commission**

53. Office of Investor Education and Advocacy

#### **Small Business Administration**

54. Financial Literacy Resource Directory

#### **Social Security Administration**

55. SSA Special Initiative to Encourage Saving

#### **Financial Literacy and Education Commission**

56. mymoney.gov

# **Bureau of Consumer Financial Protection**

#### Pending: Office of Financial Education

Source: Angela A. Hung, Kata Mihaly, and Joanne K. Yoong (RAND Corporation), "Federal Financial and Economic Literacy Education Programs, 2009" (Santa Monica, Calif.: 2010). See http://www.rand.org/pubs/technical\_reports/2010/RAND\_TR857.pdf.

Note: Funding information is generally not readily available because financial literacy efforts are not necessarily organized as separate budget line items or cost centers within federal agencies.

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