

September 2004

INTERDEPARTMENT RADIO ADVISORY COMMITTEE

IRAC Representatives Effectively Coordinate Federal Spectrum but Lack Seniority to Advise on Contentious Policy Issues





Highlights of GAO-04-1028, a report to congressional requesters

Why GAO Did This Study

The National Telecommunications and Information Administration (NTIA) within the Department of Commerce manages the federal government's use of the radio frequency spectrum with coordination and policy input from the Interdepartment Radio Advisory Committee (IRAC), comprised of 20 federal agencies that use spectrum. In recent years, the use of spectrum in wireless applications has expanded dramatically, leading occasionally to contentious disputes between government and commercial users over access to spectrum. Considering IRAC's key role in spectrum management, Congress asked us to (1) describe the evolution of IRAC and (2) obtain IRAC agency representatives' assessment of IRAC's spectrum coordination and policy advice, role as an advisor, and whether IRAC needs to be reformed.

What GAO Recommends

GAO is recommending that the Secretary of Commerce: (1) seek IRAC's assistance in establishing a set of best practices for training and succession planning to guide agencies' participation in IRAC; and, (2) establish a special IRAC committee comprised of senior level agency officials as needed to provide policy advice on contentious spectrum policy issues. In commenting on the report, the Department of Commerce indicated that it would prefer that a senior level advisory group be convened outside of IRAC.

www.gao.gov/cgi-bin/getrpt?GAO-04-1028.

To view the full product, including the scope and methodology, click on the link above. For more information, contact Mark L. Goldstein at (202) 512-2834 or goldsteinm@gao.gov.

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IRAC Representatives Effectively Coordinate Federal Spectrum but Lack Seniority to Advise on Contentious Policy Issues

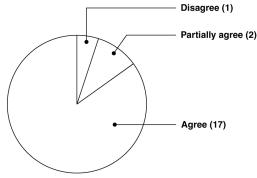
What GAO Found

The mission and placement of IRAC have evolved over time. IRAC began in 1922 by assisting in the assignment of frequencies to federal users and coordinating federal government spectrum use. In 1952, IRAC's mission was expanded to include responsibilities for formulating and recommending policies, plans, and actions for federal government spectrum use. Initially advising the Department of Commerce, IRAC has reported to or through various different entities, including at different times the Federal Communications Commission (FCC) and the Office of the President. Since 1978, IRAC has directly advised the Department of Commerce's NTIA. Currently, IRAC is comprised of a full committee, six standing subcommittees, and various ad hoc committees and working groups.

In interviews with GAO, IRAC agency representatives made the following key points in assessing IRAC:

- IRAC is effective in accomplishing spectrum coordination tasks, but its effectiveness is at times limited by representatives' uneven level of technical knowledge. This problem could worsen, as one-half of the 20 current IRAC representatives are currently eligible to retire.
- IRAC's ability to advise on national spectrum policy issues is limited because of representatives' lack of seniority within their agencies.

Most IRAC Representatives Agreed That the Formulation of National Spectrum Policy Must Occur at a More Senior Level Than Current IRAC Representatives



Source: GAO.

The chair of IRAC (an NTIA senior executive) is in agreement with representatives on these points. He said that he has gone outside IRAC directly to senior agency executives when he needed advice on contentious spectrum disputes such as those related to the introduction of new commercial communications services that would use federally controlled spectrum. A federal task force recently released a report that identified similar issues regarding IRAC's effectiveness and areas in need of reform.

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United States Government Accountability Office Washington, D.C. 20548

September 30, 2004

The Honorable Tom Davis Chairman, Committee on Government Reform House of Representatives

The Honorable Christopher Shays Chairman, Subcommittee on National Security, Emerging Threats, and International Relations Committee on Government Reform House of Representatives

The Honorable Adam Putnam Chairman, Subcommittee on Technology, Information Policy, Intergovernmental Relations, and the Census Committee on Government Reform House of Representatives

Over the past several decades, the development and use of wireless telecommunications and information technology has expanded dramatically, greatly increasing the use of and demand for the radio frequency spectrum.¹ Cellular telephones, wireless computer networks, and global-positioning system receivers are quickly becoming as common to everyday life as radios and televisions. Wireless communications have become critical to private industry and a variety of government missions—ranging from scientific research and public safety to homeland security and warfare. As a result, the radio frequency spectrum has become crowded and, in the future, may no longer be able to accommodate all users' needs.

Radio frequency spectrum in the United States is managed using a dual organizational structure. The National Telecommunications and Information Administration (NTIA) within the Department of Commerce

¹The radio frequency spectrum is the medium that makes possible wireless communications, such as mobile phone, radar, and radio and television broadcasting services and is accessed by government and commercial users. The radio waves used by wireless devices are a form of electromagnetic radiation made up of a series of waves of electric and magnetic energy that move together though space. Although the electromagnetic spectrum is vast, 90 percent of use is concentrated in the lowest 1 percent of the frequencies because the characteristics of those frequencies are favorable to many applications.

manages the federal government's use of spectrum, and the Federal Communications Commission (FCC) manages all other uses. In carrying out its responsibilities, NTIA relies on the assistance and advice of the Interdepartment Radio Advisory Committee (IRAC). Chaired by NTIA, IRAC is comprised of representatives from 20 federal agencies that use spectrum. IRAC helps coordinate federal use of spectrum and provides policy advice on spectrum issues.

Some recent, contentious disputes over who should have access to specific parts of the radio spectrum have occurred between government and commercial users. Because of IRAC's key role in spectrum management, you asked us to (1) describe the evolution of IRAC and (2) obtain IRAC representatives' assessment of IRAC's ability to coordinate federal spectrum use and provide policy advice, its role as an advisor, and whether IRAC needs to be reformed. To describe the evolution of IRAC, we reviewed relevant historical documents and interviewed key current and retired government officials. To obtain IRAC member opinions, we conducted interviews with each of the 20 IRAC representatives. We designed interviews that included a combination of closed-ended questions and open-ended questions, which provided an opportunity for the IRAC representatives to make additional comments. We also interviewed other FCC and NTIA officials, including the current chairs of the IRAC full committee and six standing subcommittees. Lastly, we also relied on audit work from our previous reports on spectrum management in forming our conclusions and recommendations. A list of related GAO reports on spectrum management appears at the end of this report. We conducted our work from October 2003 through June 2004 in accordance with generally accepted government auditing standards. This report summarizes the information we provided to your staff during our June 30, 2004, briefing. The briefing slides are included in appendix I. Key contacts and major contributors to this report are listed in appendix VII.

Results in Brief

IRAC's mission and placement have evolved over its 80-year history. IRAC was organized by federal agencies that were seeking a way to resolve issues related to federal spectrum use in a cooperative manner. IRAC's initial mission was to assist in the assignment of radio frequencies to federal users and to coordinate federal government spectrum use. In 1952, IRAC's mission was expanded to include formulating and recommending policies, plans, and actions for federal government spectrum use. Since its formation, IRAC has advised the entity responsible for exercising the authority of the President to assign radio frequencies to federal

government users and consequently, has reported to or through several different entities since its inception, including the Federal Communications Commission (FCC) and the Office of the President. Since 1978, IRAC has advised NTIA within the Department of Commerce. In our interviews with the 20 IRAC representatives, 15 said that the appropriate agencies were represented on IRAC. Additionally, 17 of the IRAC representatives said that coordinating with FCC through a liaison appointed by FCC was appropriate.

Overall, IRAC representatives have a generally positive view of IRAC, but a majority identified problems in a few areas. Regarding IRAC's accomplishment of spectrum-coordination tasks, IRAC representatives agree that the committee effectively assists in coordinating government spectrum use, but 8 of 20 representatives commented that some agency representatives lack sufficient technical knowledge and/or understanding of emerging technologies. This concern was also shared by 4 of the 6 IRAC subcommittee chairs. The current chair of IRAC, a senior-level manager at NTIA, also told us that he is concerned about the technical knowledge level of some agency representatives as well as the large number of current IRAC representatives who are eligible to retire (10 representatives out of 20 are already eligible to retire, and 3 additional representatives will become eligible in less than 5 years). He said that he has explored the possibility of training and certifying spectrum managers at agencies. Regarding IRAC's ability to provide policy advice, NTIA officials and IRAC representatives said that IRAC representatives are not senior enough to effectively provide policy advice on contentious spectrum issues. Currently, only one of the 20 IRAC representatives is a member of the Senior Executive Service. Seventeen out of 20 representatives said that national spectrum policy formation must occur at a higher level than that held by current IRAC members. The chair of IRAC agrees that current IRAC representatives are not senior enough to provide high-level policy advice. He told us that because of this, he has gone outside of the IRAC framework by directly contacting senior executives from relevant agencies when he needed advice on contentious national spectrum policy issues that can occur when both government and commercial users desire use of the same areas of the spectrum. Recently, specific instances of such issues include the introduction of new commercial communications services, such as third generation wireless (3G) devices, that require federal agencies to relinquish control over some spectrum. In terms of IRAC's ability to successfully promote the needs of government spectrum users. IRAC must rely on NTIA to negotiate with FCC in disputes between government and commercial users. Ten of 20 IRAC representatives raised concerns about the ability of

NTIA to adequately represent federal users in these disputes, and 16 of 20 representatives think that a new process is needed for reconciling competing demands. However, there was no consensus on specific reforms to improve government-commercial dispute resolution.

In order to improve the effectiveness of IRAC's contribution in spectrum management, we are recommending that the Department of Commerce develop a set of best practices for training and succession planning to help guide the agencies' participation in IRAC, and establish a special IRAC committee comprised of senior executives from member agencies to provide high-level policy advice as needed on contentious spectrum policy issues, such as those requiring either commercial or government entities to share or relinquish spectrum. In commenting on the draft of this report, the Department of Commerce supports career development programs to provide training for new spectrum managers and the establishment of a senior-level group to provide advice on contentious spectrum policy issues. However, the Department of Commerce feels that such a group would be better positioned to address unresolved issues if it were convened outside of the existing IRAC. (See letter at app. VI.) We did not change the recommendation because we believe IRAC would create a good foundation for such a group.

IRAC's Mission and Placement Have Evolved Since 1922

IRAC's role and placement have evolved over the last 80 years. IRAC was organized by several agencies in 1922 that, during a period of rapid growth in the use of radio services, recognized the need for interagency cooperation to resolve problems arising from the federal government's use of broadcasting and radio services. The first interagency committee meeting attended by interested federal agencies initially set out to regulate and guide the radio broadcasting operations at the Washington Navy Yard and any others that might be established by the federal government. In June 1922, the committee decided that its scope should extend beyond broadcasting and that it should be advisory to the Department of Commerce in all matters of government radio spectrum regulation.² In a 1927 letter to the Secretary of Commerce, the President affirmed IRAC's advisory role and its mission to assign frequencies and coordinate federal government spectrum use. In 1952, IRAC's mission was formally expanded

²Originally named the Interdepartment Advisory Committee on Governmental Radio Broadcasting, in 1923 the committee was renamed the Interdepartment Radio Advisory Committee.

to include responsibilities for formulating and recommending policies, plans, and actions for federal government spectrum use. Since its formation, IRAC has advised the different entities responsible for exercising the authority of the President to assign radio frequencies to federal government users. Consequently, IRAC has reported through or to the Secretary of Commerce; the FCC Chairman; the Telecommunications Advisor to the President; the Director, Office of Defense Mobilization and its successor agencies; the Director of Telecommunications Management; and the Director, Office of Telecommunications Policy. By executive order, in 1978, the Office of Telecommunications Policy was abolished and its spectrum functions were transferred to the Department of Commerce. Commerce formally established NTIA in 1978, and since then, IRAC has directly advised NTIA. See figure 1 for a more detailed timeline of IRAC's evolution.

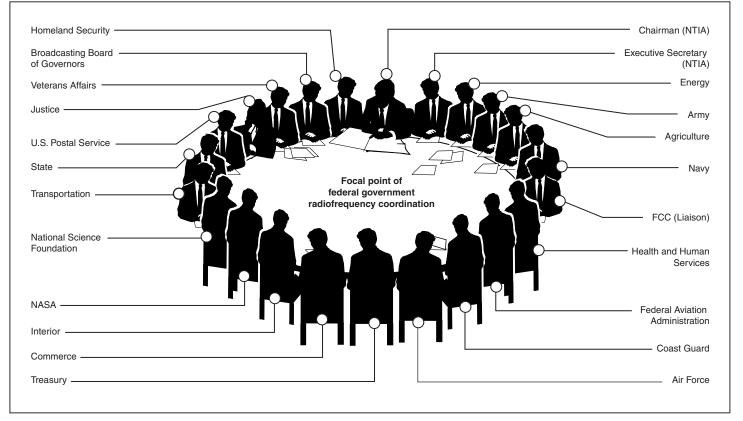
Mission changes 1922-IRAC is established as a committee to regulate broadcasting at a Navy installation.	IRAC	dent affirms 's spectrum Inment and lination				is expan- include f and reco policies,	ormulating mmending plans, and or federal						
1920		1930		1940		1950		1960		1970		1980	
Advisor changes 1922-IRAC serv as an advisor to the Department Commerce.		through t Radio Co (FRC) be 1932 and in 1934 v authoritie	ginning in I the FCC vhen FRC	1940-IRAC to Defense Communica Board creat wartime and emergency communica	itions ed for	Telecommu Advisor to t (TAP), afte citing growi demand an	reated office, unications he President r a study ng spectrum	study no long-rang and polic The posi Director Telecom Manager is establi executive	d in 1953, a tes a gap in ge planning cy-making. tion of the of munications nent (DTM)	1970-The O Telecommur Policy (OTP) established Executive O the Presider to address ti change and g telecommun IRAC reports	and its s functions to NTIA Departm Commen of EOP staffing r reports t ffice of nications) is in the ffice of nt (EOP) he rapid growth in ications.	s are transf within the nent of rce after a s organizatio needs. IRAC	ferred study on and

Figure 1: Timeline of Key Dates in IRAC's Evolution

Source: GAO.

As shown in figure 2, IRAC is currently comprised of 20 federal agencies that use radio spectrum, a chairman and an executive secretary from NTIA, and FCC as a nonvoting liaison.

Figure 2: The Federal Agencies Currently Represented on IRAC



Source: NTIA.

Note: The NTIA represents other agencies that are not member agencies of IRAC or are not represented by IRAC members (for example, the Department of Treasury represents the Federal Reserve System).

In addition to the full committee, IRAC mission responsibilities are also carried out in six standing subcommittees, as well as a number of ad hoc committees and working groups.

• Frequency Assignment Subcommittee: Assignment of frequencies in government bands of spectrum.

- Spectrum Planning Subcommittee: Planning spectrum use and certifying major government systems that will use radio frequencies.
- Radio Conference Subcommittee: Coordination of U.S. government positions for international conferences where agreements are made regarding the global and regional allocation of spectrum.
- Space Systems Subcommittee: International registration of government satellite systems and development of procedures for implementing space-related provisions of international agreements.
- Technical Subcommittee: Addresses issues that relate to the technical aspects of the use of radio spectrum, including the development of new technical standards and recommendations on better utilization of the available spectrum.
- Emergency Planning Subcommittee: Formulation and review of emergency preparedness planning for government spectrum-dependant systems.

Both the IRAC full committee and six IRAC subcommittees are chaired by NTIA. From our interviews of IRAC representatives, we found that most IRAC representatives are satisfied with the agencies that are members of IRAC, the FCC liaison function, and the subcommittee missions. Specifically, 15 of 20 representatives said that the appropriate agencies are represented on IRAC. Seventeen IRAC representatives believe that coordinating with FCC through a liaison appointed by FCC is appropriate,³ and 15 representatives agreed that most of the time, IRAC and FCC work well together. Thirteen of 19 IRAC representatives said that the missions of the IRAC subcommittees are appropriate, given current and future spectrum needs.

³Up until 1952 the FCC participated in IRAC as a voting member. However, since that time FCC has appointed a liaison that assists in providing coordination on spectrum issues with NTIA.

IRAC Representatives' Assessment of IRAC Is Mostly Positive, but Some Are Concerned That an Uneven Level of Technical Knowledge and a Lack of Seniority Limit IRAC's Effectiveness

IRAC representatives generally agree that IRAC is effective in coordinating federal government spectrum use but are concerned about training and succession planning. IRAC and its subcommittees perform various mission tasks related to coordinating federal government spectrum use, including frequency assignment, certification of spectrum-dependent equipment, coordination of U.S. government positions for international conferences, and registration of U.S. government satellite systems internationally. In our interviews with IRAC representatives, they generally agreed that IRAC is effectively accomplishing these spectrum coordination tasks. For example, 17 of 19 said that IRAC is very or mostly effective at assigning frequencies in government-controlled spectrum, and 13 of 17 said that IRAC is very or mostly effective at equipment certification for federal agencies. However, training and succession planning issues could limit IRAC coordination efforts. Eight representatives commented that some agency representatives lack sufficient technical knowledge and/or understanding of emerging technologies. This concern was also shared by 4 of the 6 subcommittee chairs, who believe that more technically knowledgeable representatives would improve IRAC's performance. The potential shortage of spectrum specialists in agencies could also limit future IRAC coordination efforts. Ten out of 20 representatives are already retirement eligible, and 3 more will become eligible in less than 5 years. These concerns are consistent with the human capital findings in our 2002 report on needed improvements in spectrum management.⁴

Greater concern was expressed about IRAC's ability to fulfill its mission of providing high level policy advice on national spectrum issues. NTIA officials and IRAC representatives said that IRAC's effectiveness in this area is limited by the representatives' lack of seniority. Seventeen out of 20 representatives said that national spectrum policy formation must occur at a higher level than that held by current IRAC members. According to NTIA, only one agency on IRAC has assigned a member of the senior executive service as the agency representative to IRAC and 13 of the 20 representatives said that the assistant secretary who oversees spectrum management in their agency has little or no direct involvement in spectrum management. The chairman of IRAC (an NTIA senior executive) agrees with the majority of IRAC representatives that current IRAC representatives are not senior enough within their agencies to provide

⁴GAO, *Telecommunications: Better Coordination and Enhanced Accountability Needed to Improve Spectrum Management*, GAO-02-906 (Washington, D.C.: Sept. 30, 2002).

policy advice on issues requiring either commercial or government users to share or relinquish spectrum. He told us that he has gone outside of the IRAC framework when he needed advice on such contentious policy issues, such as those related to the introduction of new commercial communications services. For example, the IRAC chairman said that he directly contacted senior executives from the relevant agencies after he was unable to obtain from the IRAC representatives viable advice on how to make possible the introduction of commercial 3G and ultra wideband services. Both services would require federal agencies to share or relinquish spectrum under their control.⁵ The IRAC chairman said that he supports more involvement of senior agency executives in IRAC.

As an advisor representing government spectrum concerns, IRAC must rely on NTIA to negotiate with FCC in disputes between government and commercial users over the use of spectrum. Most IRAC representatives believe there should be a better way to resolve these disputes. When new wireless technologies require access to government as well as commercial spectrum, NTIA and FCC must cooperate in order to determine which entity will have access to what spectrum. While IRAC must rely on NTIA to negotiate with FCC to make those determinations, 10 of 20 IRAC representatives have concerns about NTIA's ability or willingness to support the needs of government agencies. Some representatives told us they did not think either NTIA or the Department of Commerce is able to protect the interests of government users; others told us that government users could not be well represented by an agency whose primary mission is to promote commercial interests. In addition, 14 IRAC representatives told us that their primary concern in the next 1 to 3 years will be either the availability of spectrum to fulfill agency missions or the threat of harmful interference from new technologies. Although almost three quarters of the 20 representatives said that they believe that IRAC's advice does influence NTIA's recommendations to the Assistant Secretary of Commerce, 13 representatives were able to cite important instances in which NTIA's final position differed significantly from IRAC's advice. Of those instances in which NTIA and IRAC differed, 7 IRAC representatives cited recent decisions on issues of spectrum availability, such as 3G services, or interference from new technologies, such as ultra wideband. Most IRAC representatives said that a new process is needed to resolve disputes

⁵Third generation or 3G services enable handheld communication devices to provide both voice and high-speed data. Ultra wideband devices employ very narrow or short duration pulses to create wideband transmissions.

between government and commercial users, but there was no consensus among the representatives on what specifically would improve the process.

IRAC representatives have varying opinions on whether IRAC needs to be reformed, and if it does, what those reforms should be. Eight of the 20 representatives agreed that IRAC's organization and membership were well suited to meet current and foreseeable challenges; 6 partially agreed with that statement but thought some changes would improve IRAC. Specifically, 4 representatives thought agency representatives should be more knowledgeable or more senior, and 2 representatives said that IRAC would be timelier in its decision-making if there were fewer members. Six representatives did not believe that IRAC's organization and membership are well suited to meet current and foreseeable spectrum challenges.

The current IRAC chairman told us that he has instituted a number of improvements in the past year designed to improve the efficiency and effectiveness of IRAC. For example, the agendas for IRAC meetings are made available electronically for representatives to review before meetings and IRAC meeting minutes are now posted much faster than in the past. The chairman remains concerned about the knowledge level of some of the agency representatives and the number of IRAC representatives who are eligible to retire. To improve the long-term participation by agencies, he has explored the possibility of training and certifying spectrum managers.

A federal task force led by the Department of Commerce was conducting its work concurrently with our review and completed its report on a wide range of spectrum issues in late June 2004.⁶ The report included three recommendations that fit within the scope of this report. It recommended: (1) the government develop training programs for spectrum specialists; (2) the Department of Commerce form a policy and plans steering group comprised of assistant secretary-level officials from other agencies to provide advice on spectrum policies, strategic plans, and contentious issues; and (3) the existing White House Policy Coordinating Committee should be used to address spectrum issues that could not be resolved by the previously mentioned policy and plans steering group.

⁶Spectrum Policy for the 21st Century—The President's Spectrum Policy Initiative: Report 1 Recommendations of the Federal Government Spectrum Task Force, Michael D. Gallagher, Acting Assistant Secretary for Communications and Information, U.S. Department of Commerce, June 2004.

Conclusions	IRAC representatives generally concur that IRAC's current structure and membership are effective in dealing with key spectrum coordination tasks that are at the core of its mission, including assigning frequencies, certifying equipment, coordinating agency positions for international spectrum conferences, and managing satellite issues. IRAC's effectiveness in these areas could be strengthened by increasing the level of technical knowledge of some members and ensuring that agencies can provide qualified representatives to replace current members as they reach retirement.
	When it comes to dealing with contentious policy issues requiring negotiation between government and commercial users, however, IRAC representatives questioned the effectiveness of IRAC's current structure and membership. There is a strong consensus that more senior-level agency officials need to become involved in providing NTIA with advice on contentious spectrum policy issues. While NTIA officials seek out such senior-level advice as needed, this is an <i>ad hoc</i> process that occurs outside the current framework of IRAC.
Recommendations for Executive Action	In order to improve the effectiveness of IRAC's contribution in spectrum management, we recommend that the Secretary of Commerce take the following two actions:
	• Direct the Assistant Secretary of Commerce for Communications and Information to seek IRAC's assistance in establishing a set of best practices in human capital for agencies that participate in IRAC that include information on the appropriate knowledge and training levels for IRAC representatives, goals for continuing education in emerging technologies, and agency succession planning.
	• Establish a special committee within IRAC comprised of senior-level agency officials to be convened by the Assistant Secretary of Commerce for Communications and Information as needed to provide policy advice on contentious spectrum policy issues, such as those requiring either commercial or government entities to share or relinquish spectrum.
Agency Comments and Our Evaluation	We provided a draft of this report to NTIA, FCC, and the current IRAC member agencies for their review. The Department of Agriculture, the Department of the Treasury, National Science Foundation, NASA, and the

Broadcasting Board of Governors all said that they support the report and its recommendations. The Departments of Justice, Health and Human Services, Veterans Affairs, and the U.S. Postal Service and FCC did not have any comments on the report. The Department of State (see letter at app. II), and the Departments of Defense and Homeland Security offered technical comments that we incorporated as appropriate.

The Department of Energy (DOE) supported the best practices in human capital recommendation but indicated that a panel of independent experts should rule on contentious issues (see letter at app. III). As there was no consensus among the IRAC representatives as to how to resolve spectrum policy disputes between government and commercial interests, we chose not to make a recommendation on the issue. DOE also stated that the report did not identify that IRAC representatives and NTIA officials do not always share the same goals, an issue DOE views as a key contention with regard to spectrum management. We did not change the report because it already notes that fifty percent of IRAC representatives have concerns about NTIA's ability or willingness to support the needs of government agencies. The Department of the Interior also agreed with the recommendation to improve IRAC representatives' technical skills but disagreed with the recommendation to establish a senior-level committee to provide advice on contentious policy issues. It indicated that such a committee is not warranted because IRAC has no decision-making authority (see letter at app. IV). We chose not to change the recommendation because 17 of the 20 IRAC representatives and the IRAC chairman believe that more senior-level involvement is needed in formulating national spectrum policies. The Navy said that it agreed in spirit with the report's recommendations but suggested revising the wording of the second recommendation (see letter at app. V).

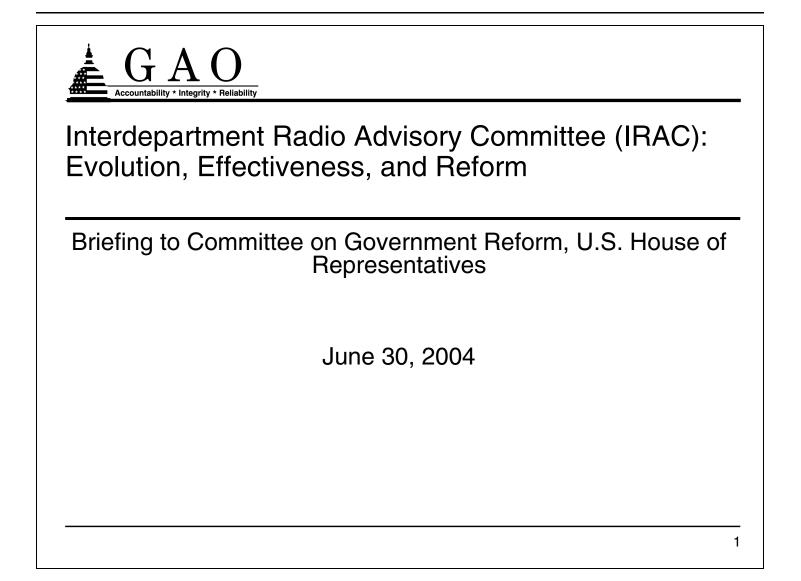
The Department of Commerce agreed with our recommendation for establishing best practices in human capital for the IRAC member agencies, but also indicated, as did the Department of Transportation (DOT), that a high level policy task force should be created within Commerce but outside IRAC per the Federal Spectrum Task Force's recommendation (see letter at app. VI). The primary difference between the Federal Task Force recommendation and ours is that the Federal Task Force recommended that a group of senior agency executives be formed outside of IRAC to advise on policy issues, and we recommended that the group be formed within the structure of IRAC. We chose not to change the second recommendation. As IRAC has been a stable, successful foundation for spectrum coordination for more than 80 years, we believe that senior-level executives of IRAC member agencies will be most able to provide useful advice on contentious spectrum policy issues.

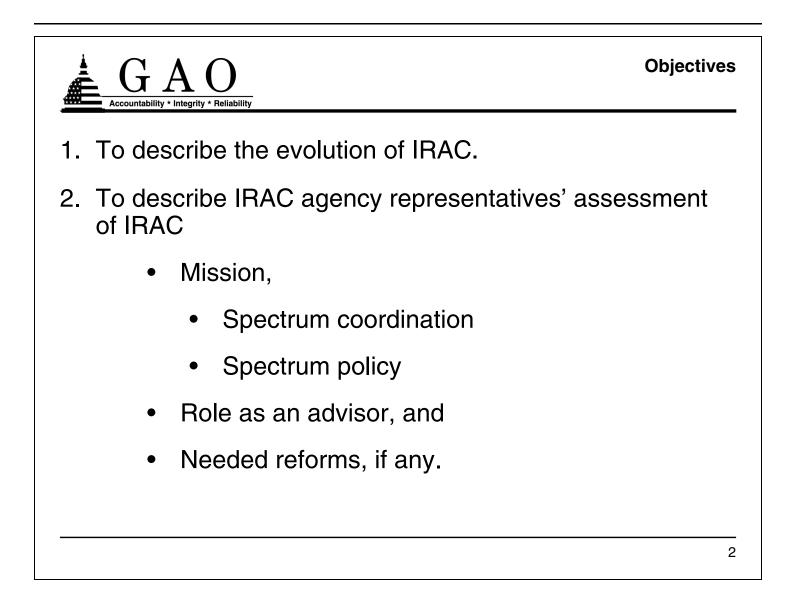
As agreed with your offices, we are providing copies of this report to the appropriate congressional committees, the Department of Commerce, FCC, IRAC member agencies, and others who are interested. We will also make copies available to others who request them. In addition, the report will be available on the GAO Web site at http://www.gao.gov. If you or your staff members have any questions about this report, please contact me on (202) 512-2834 or at Goldsteinm@gao.gov. Key contacts and staff acknowledgments for this report are listed in appendix VII.

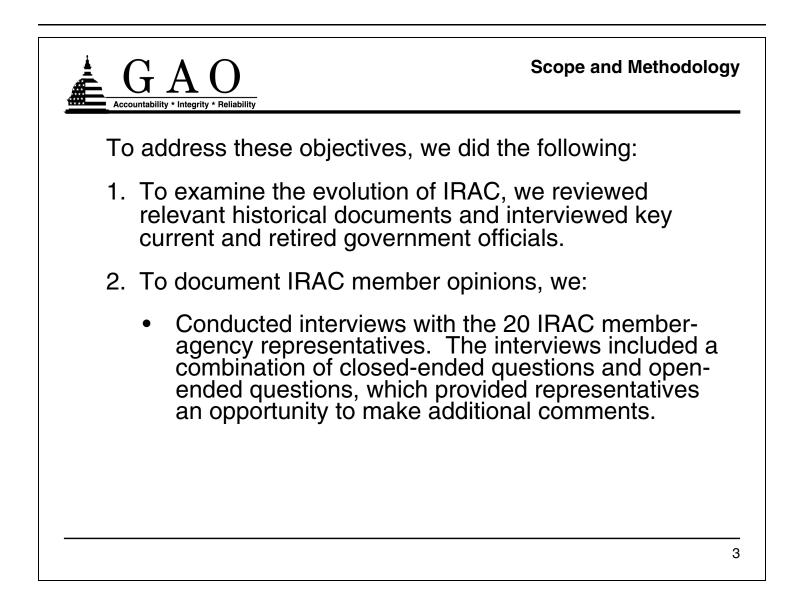
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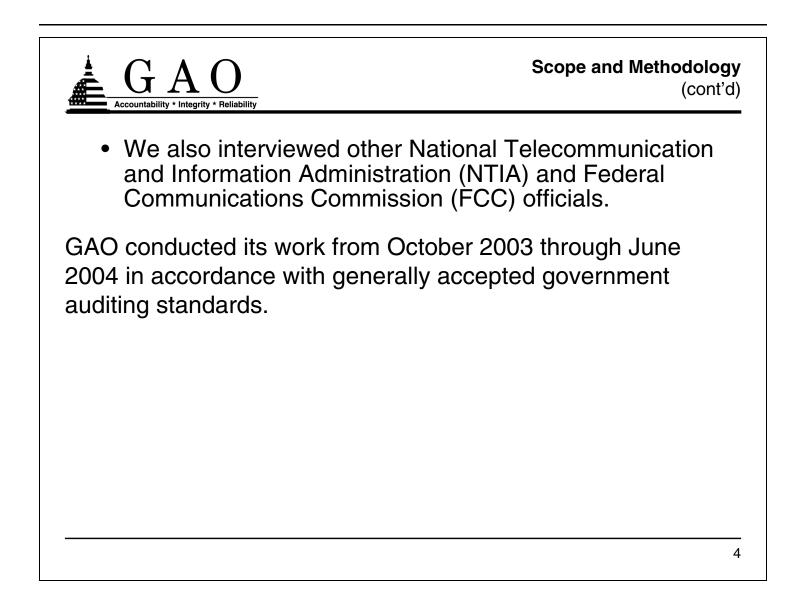
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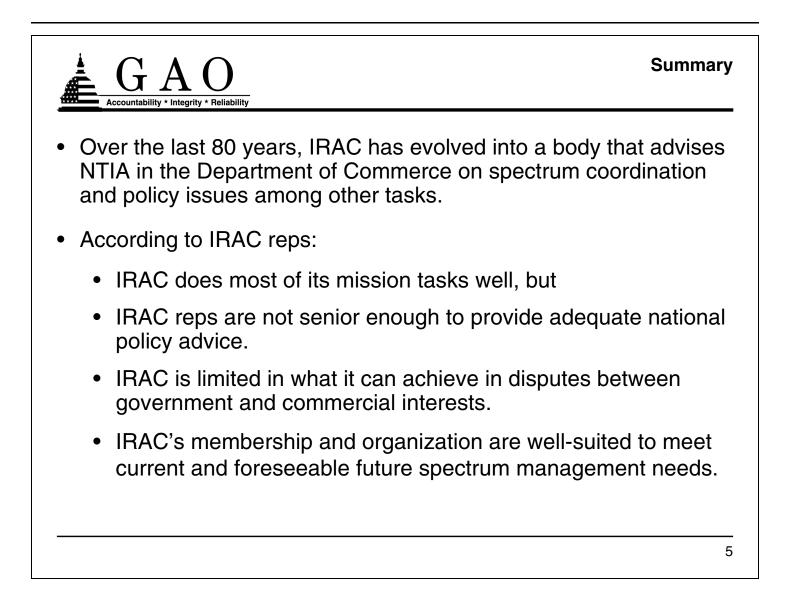
Mark L. Goldstein Director, Physical Infrastructure Issues

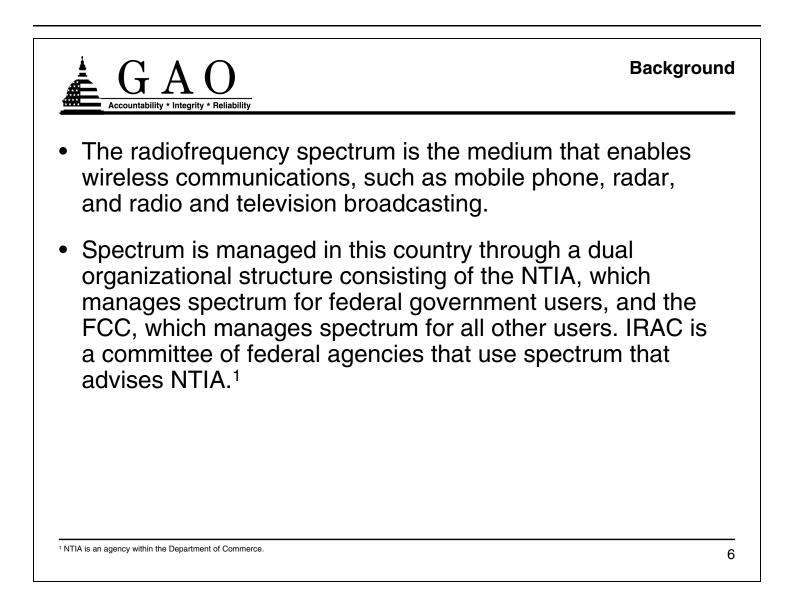


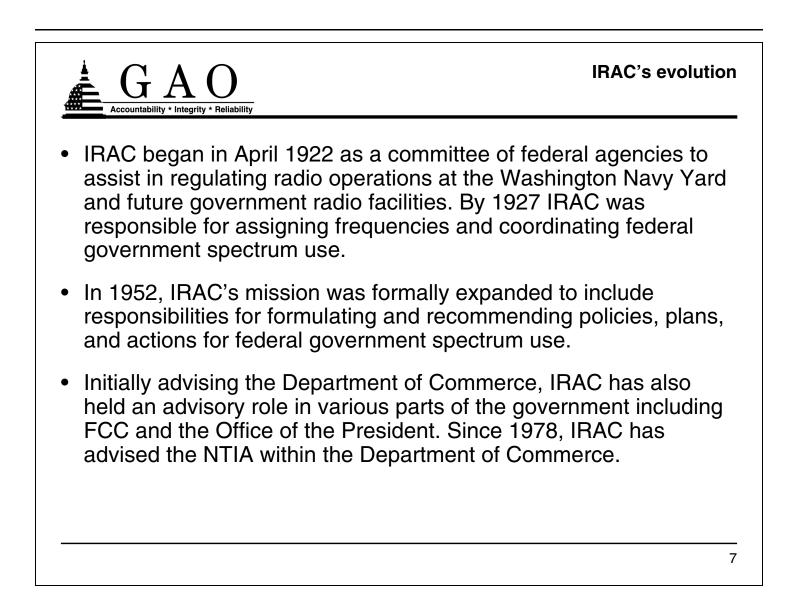


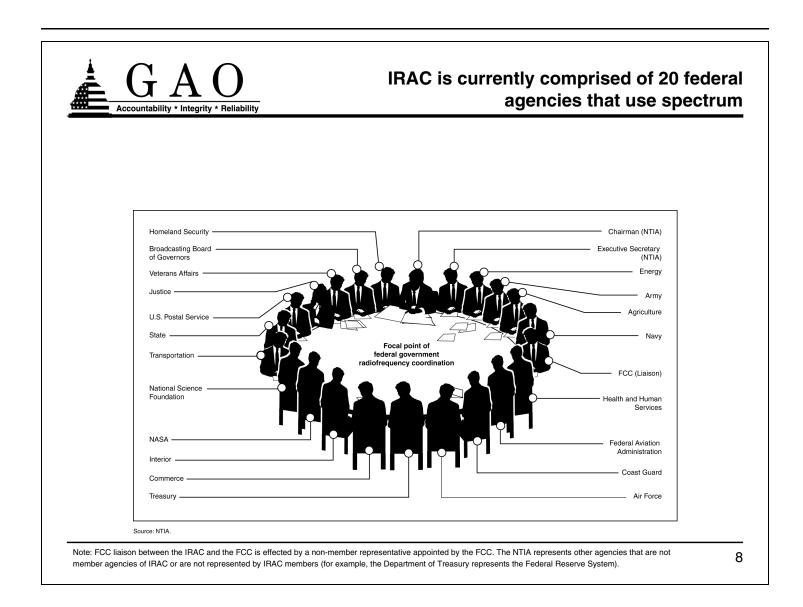


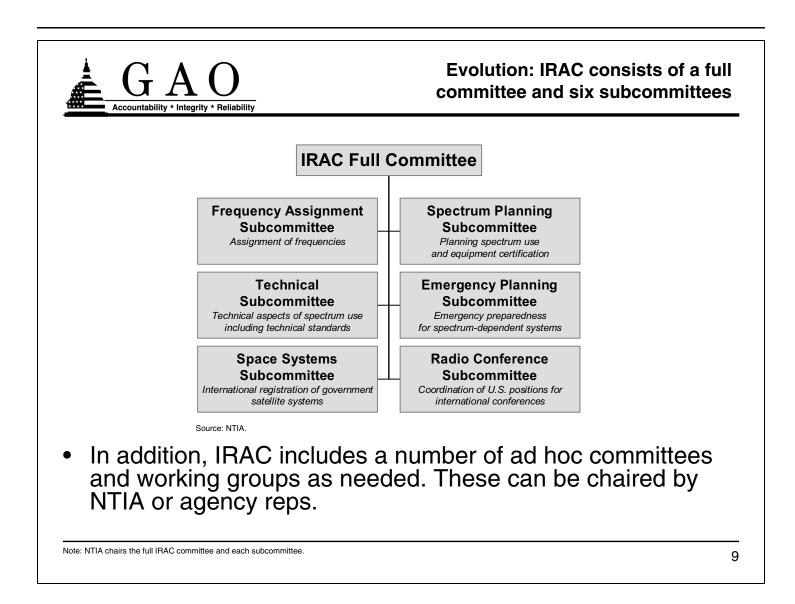


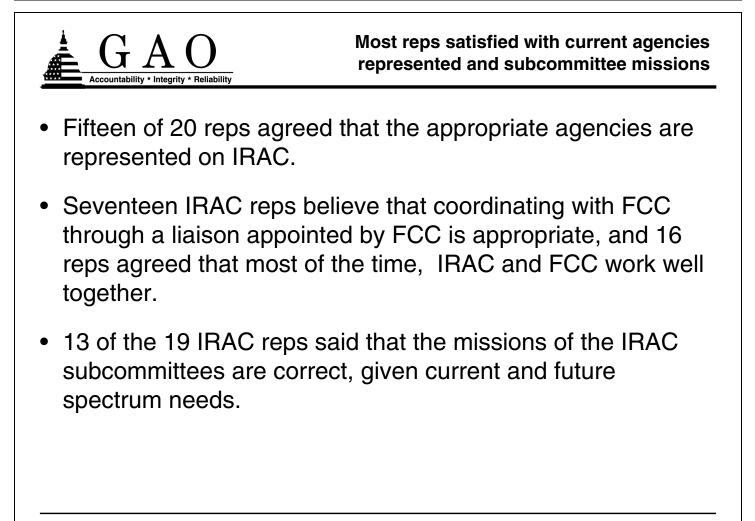




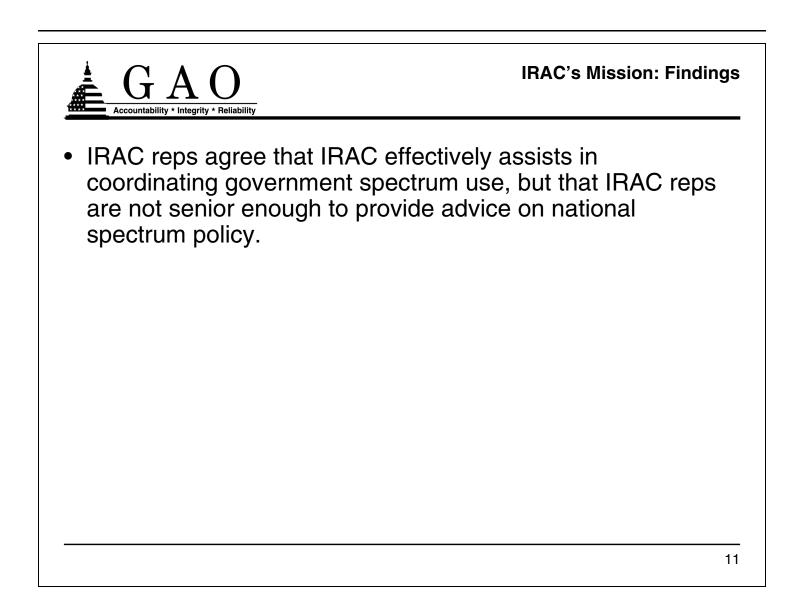


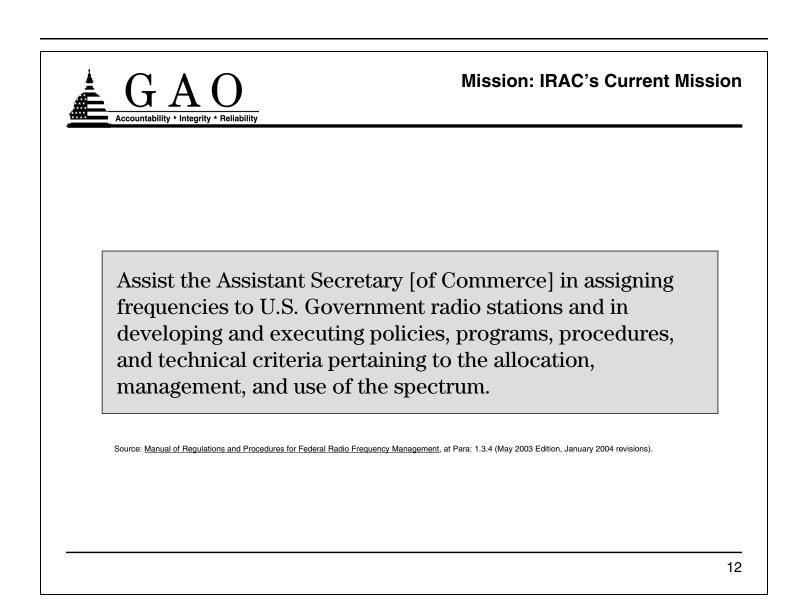


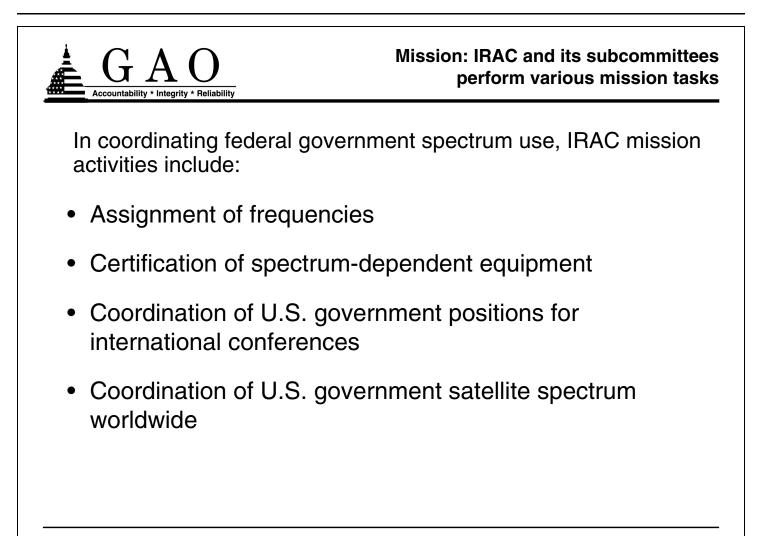




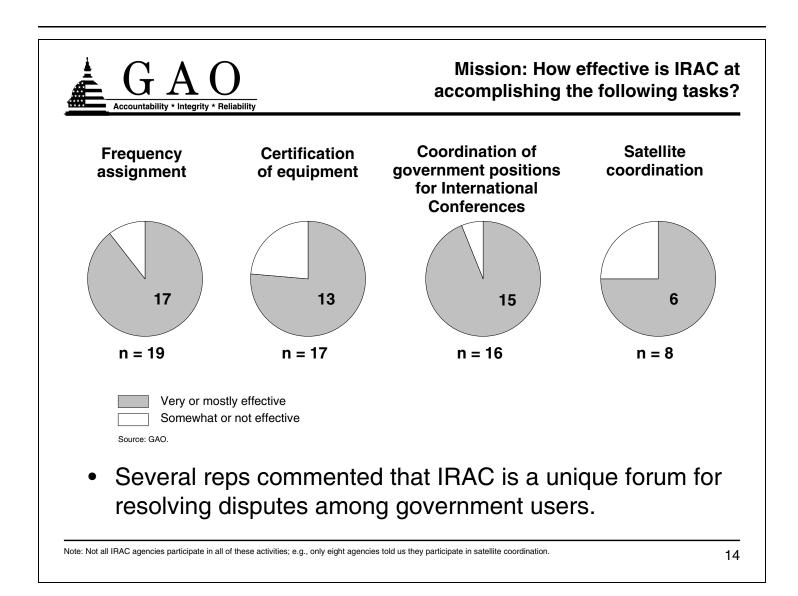
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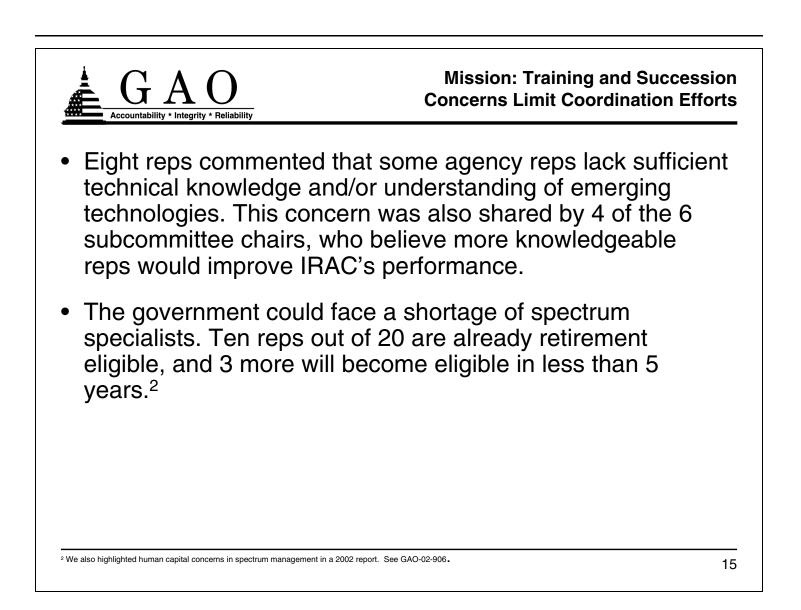


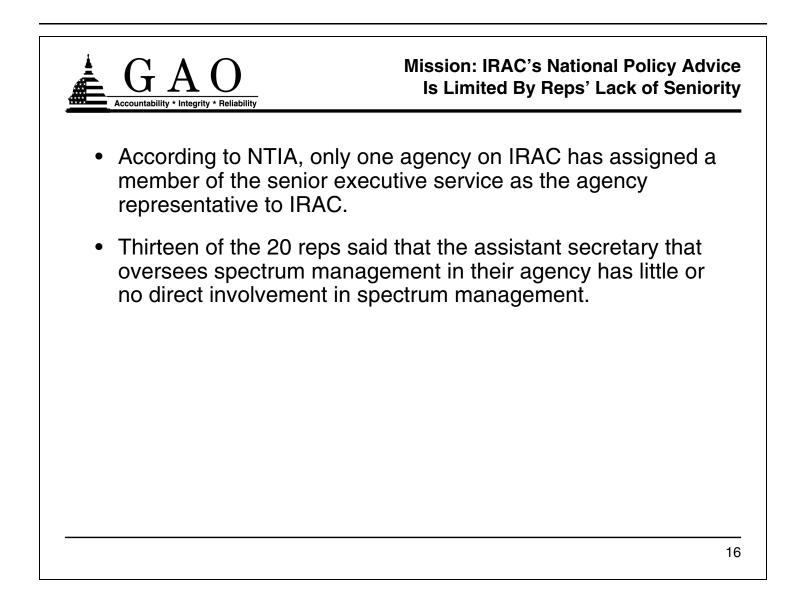


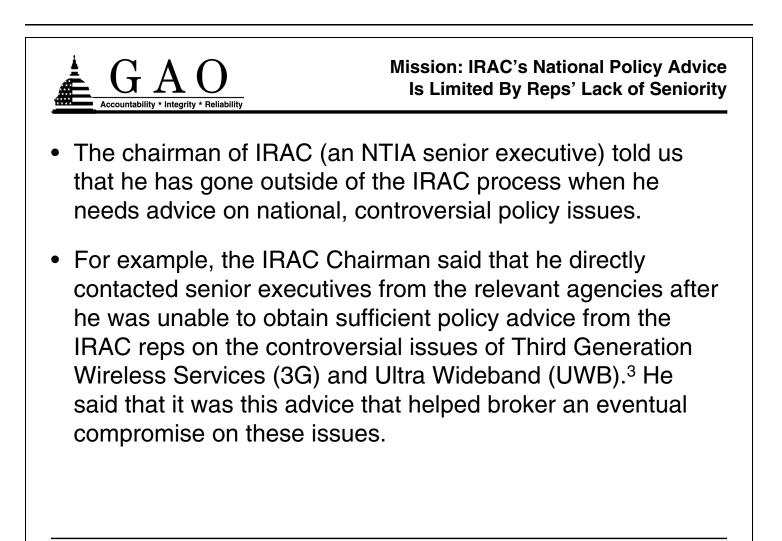


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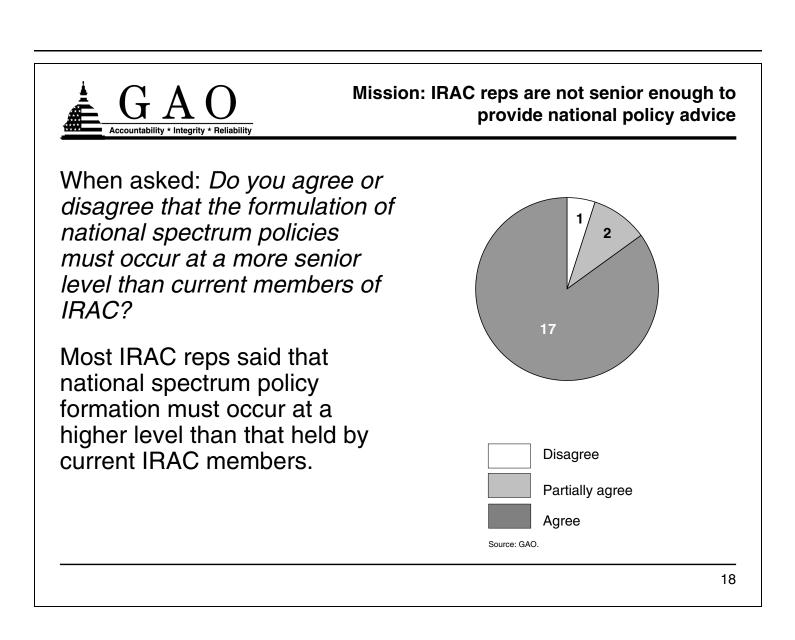


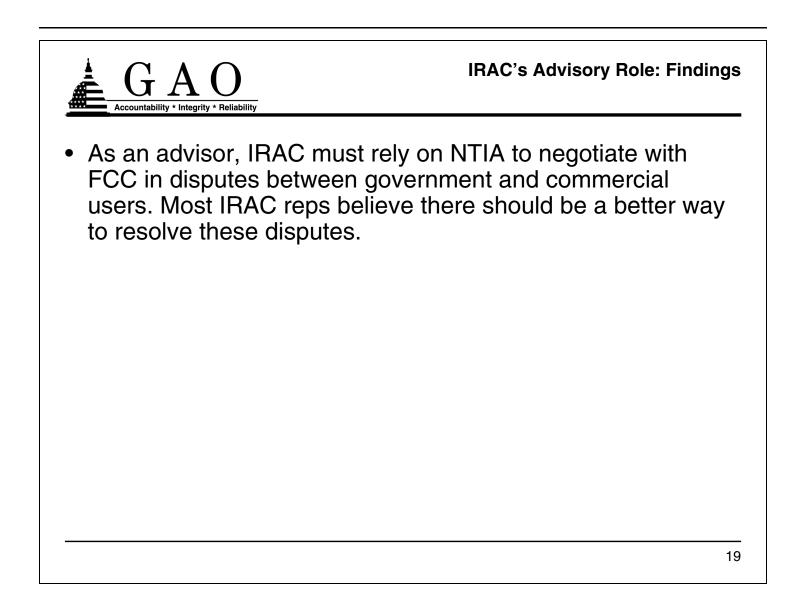


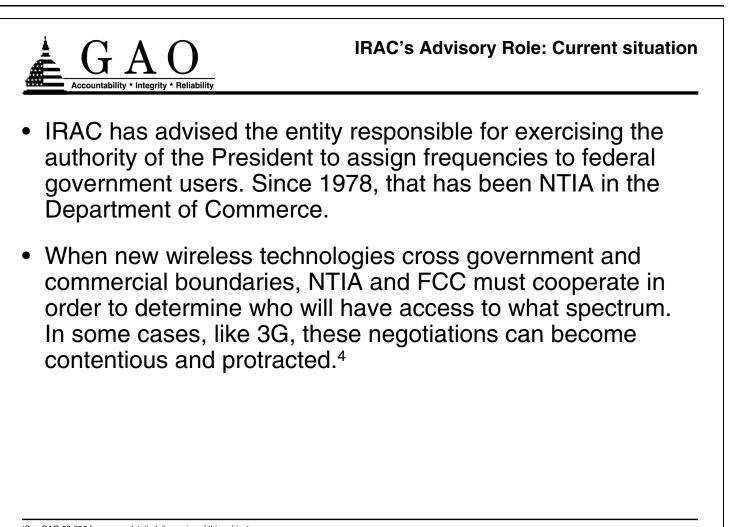


³3G and UWB are new commercial, wireless services that required the use of government spectrum.

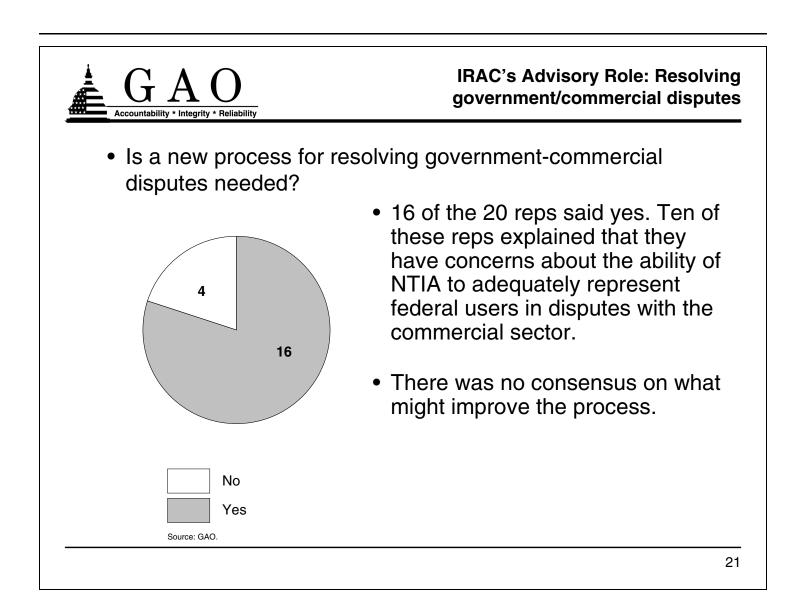
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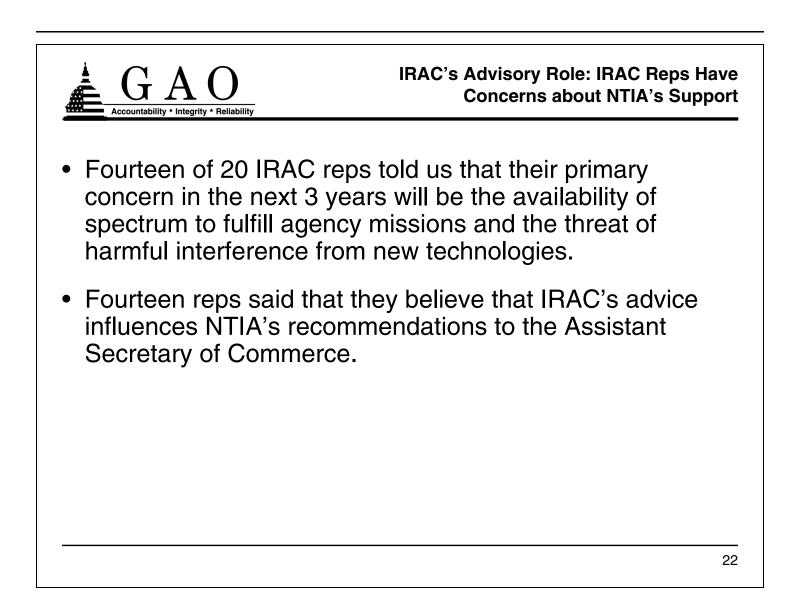


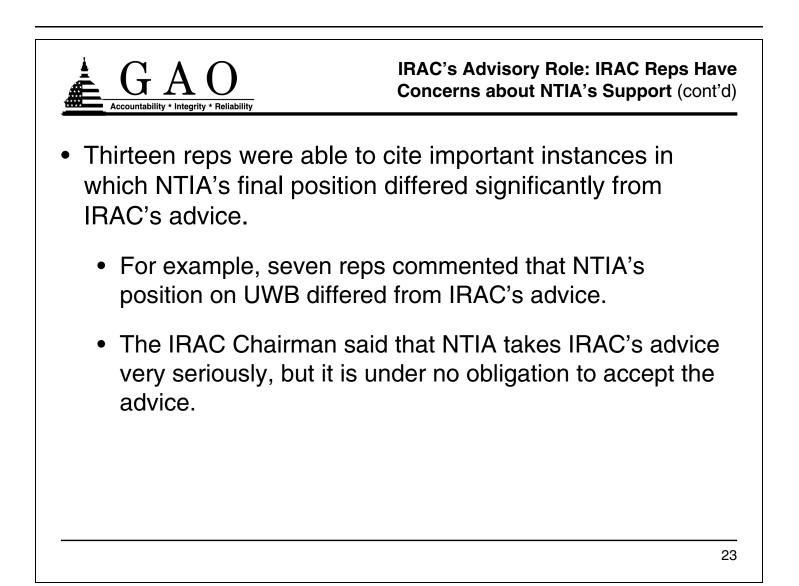


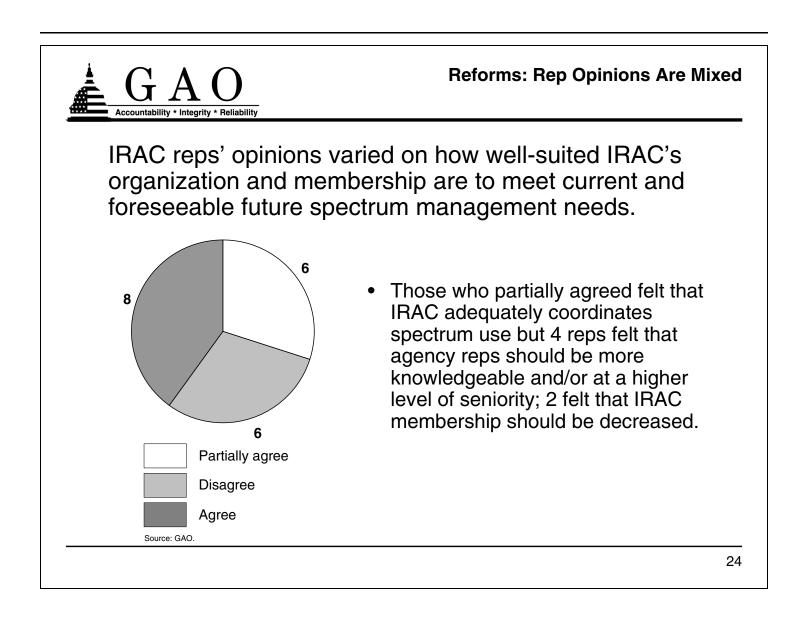


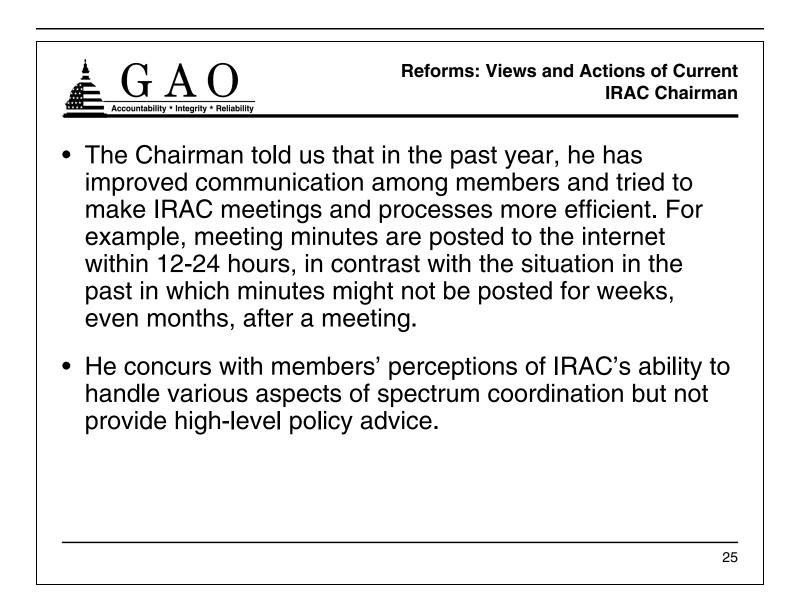
4See GAO-02-906 for a more detailed discussion of this subject.

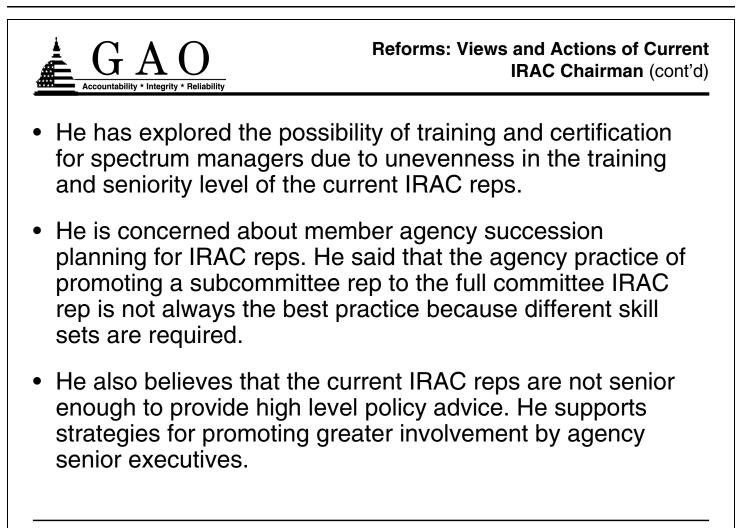




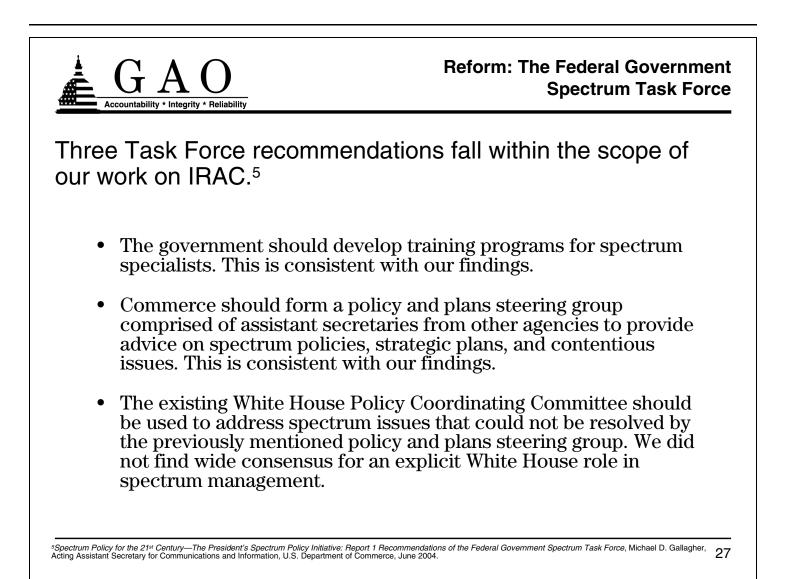


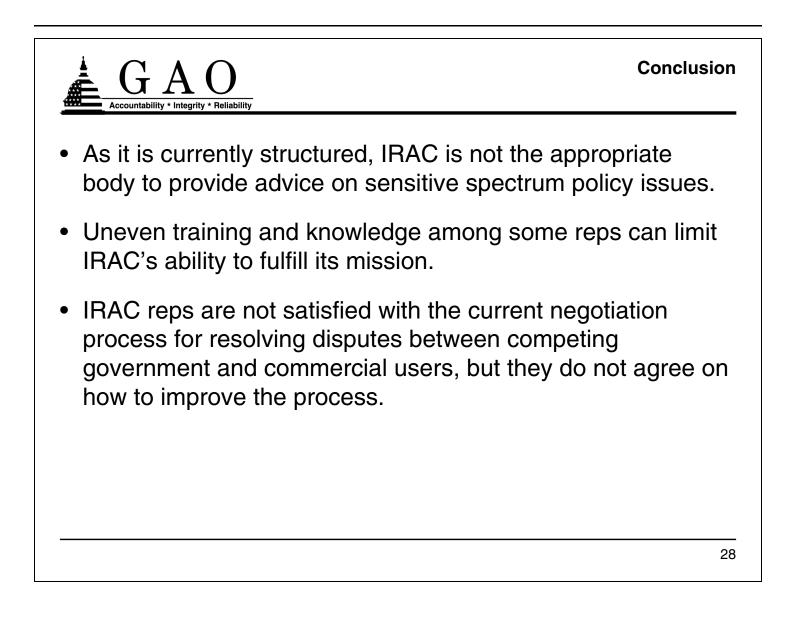


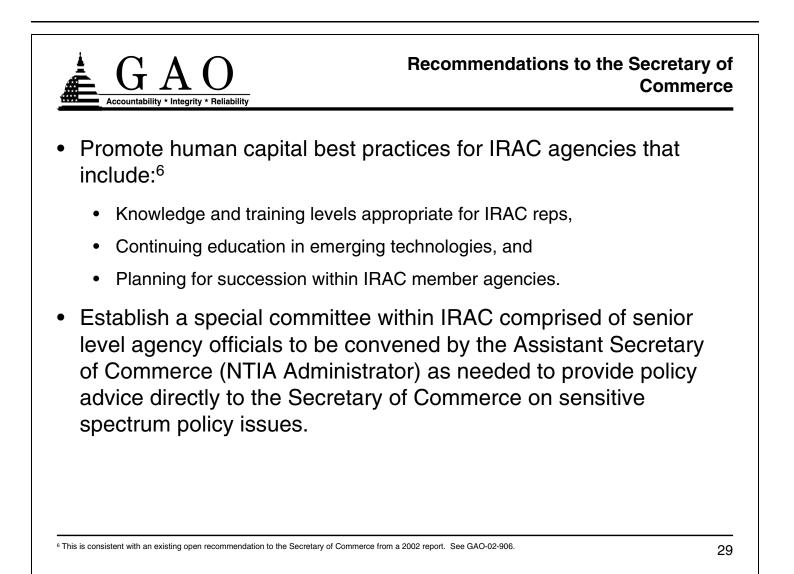




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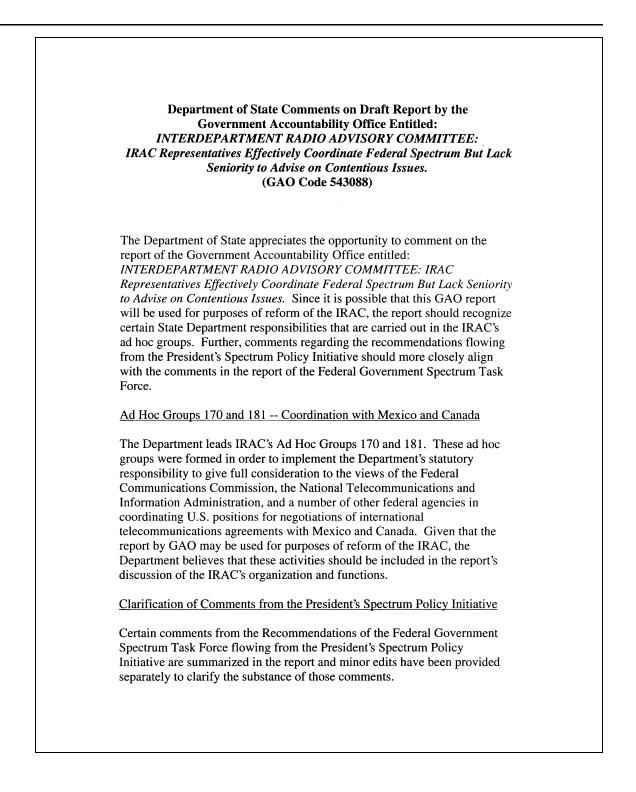




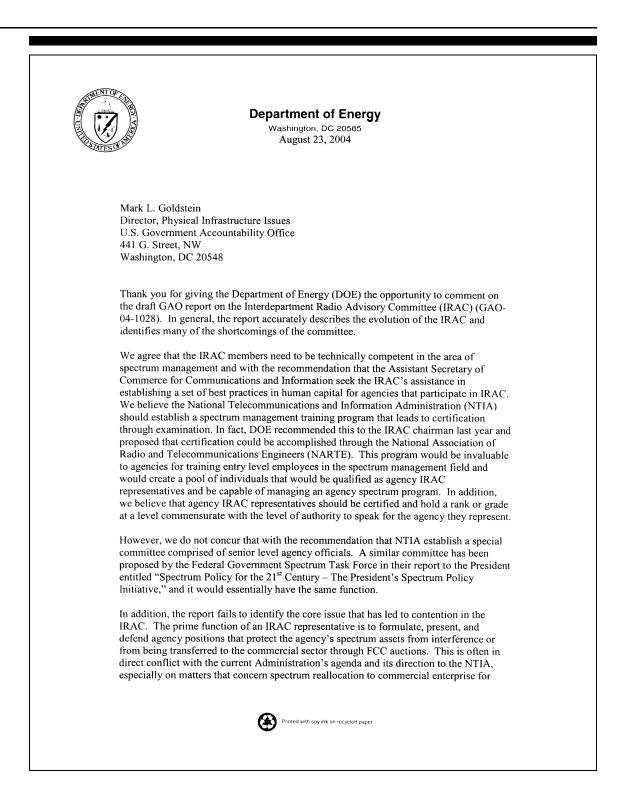


Comments from the Department of State

United States Department of State Assistant Secretary and Chief Financial Officer Washington, D.C. 20520 AUG 2 3 2004 Ms. Jacqueline Williams-Bridgers Managing Director International Affairs and Trade Government Accountability Office 441 G Street, N.W. Washington, D.C. 20548-0001 Dear Ms. Williams-Bridgers: We appreciate the opportunity to review your draft report, "INTERDEPARTMENT RADIO ADVISORY COMMITTEE: IRAC Representatives Effectively Coordinate Federal Spectrum But Lack Seniority to Advise on Contentious Issues," GAO Job Code 543088. The enclosed Department of State comments are provided for incorporation with this letter as an appendix to the final report. If you have any questions concerning this response, please contact Hal Grigsby, Director, Bureau of Economic and Business Affairs, Office of Telecommunication and Policy, at (202) 647-2723. Sincerely, Staflar for Christopher B. Burnham cc: GAO - Keith Cunningham EB - Shaun Donnelly State/OIG - Mark Duda

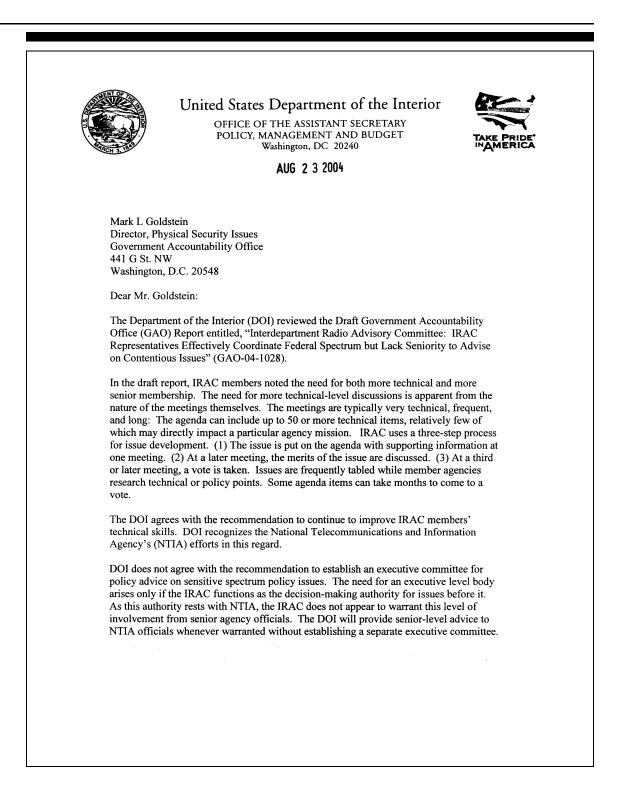


Comments from the Department of Energy



advanced wireless services or the development of new technology such as Ultra Wideband (UWB). IRAC representatives are evaluated on how effectively they can accomplish these objectives. Obviously, it is not in their best interest to support proposals that may jeopardize scarce spectrum resources or have the potential to cause interference with their agency's critical spectrum dependent systems. We recommend that GAO consider the establishment of a panel of independent experts that could make informed and unbiased decisions on these contentious matters based on the information presented by the impacted agencies and the proponents for the spectrum reallocation or the new wireless technologies. The panel would weigh the pros and cons of the proposal based on the information provided by both parties and render a decision that would be in the best interest of taxpayers. If you have any questions please contact Brian Klug of my staff on (202)-586-6095. Sincerely, Rosita O. Parkes Chief Information Officer

Comments from the Department of the Interior



Thank you for the opportunity to review the draft report. For additional information, please contact Mr. W. Hord Tipton at (202) 208-6194. Sincerely, P 0 P. Lynn Scarlett Assistant Secretary Policy, Management and Budget

Comments from the Department of the Navy

DEPARTMENT OF THE NAVY CHIEF INFORMATION OFFICER 1000 NAVY PENTAGON WASHINGTON, DC 20350-1000 18 August 2004 MEMORANDUM FOR DIRECTOR, PHYSICAL INFRASTRUCTURE ISSUES, U.S. GOVERNMENT ACCOUNTABILITY OFFICE GAO Draft Report: IRAC Representatives Effectively SUBJECT : Coordinate Federal Spectrum But Lack Seniority to Advise on Contentious Issues (GAO Code 543088/ GAO-04-1028) We have reviewed the subject GAO Draft Report 04-1028 and appreciate the opportunity to provide comment to the U.S. Government Accountability Office, Director for Physical Infrastructure Issues, Mr. Mark L. Goldstein. In spirit, we agree with both recommendations in the draft report for executive action. However, with respect to establishment of a special IRAC committee, we ask that consideration be given to the following revision: "Establish a special committee within IRAC comprised of senior executives from member agencies to be convened by the Assistant Secretary of Commerce for Communications and Information as needed to provide policy advice on contentious spectrum policy issues, such as those requiring either commercial or government entities to share or relinquish spectrum." You will note that our proposed change reflects terminology found on page 3 of the draft report under the subheading Results in Brief. We believe the phrase 'senior executives from member agencies' is an important distinction, ensuring Department of the Navy representation in the development of national spectrum policy and resolution of sensitive spectrum policy issues. The DON CIO point of contact for this matter is Mr. John J. Lussier, (703) 604-7050, john.lussier@navy.mil. M. Wennergren Copy to: Keith Cunningham (GAO)

Comments from the Department of Commerce

and the second s	THE SECRETARY OF COMMERCE Washington, D.C. 20230
August 17, 2004	
Mr. Mark L. Goldstein Director, Physical Security Issues United States Government Accountability Office Washington, DC 20548	
Dear Mr. Goldstein:	
Thank you for providing the Department of Commerce with on the draft report entitled "Interdepartment Radio Advisory Comr Effectively Coordinate Federal Spectrum But Lack Seniority to Ad (GAO-04-1028). I commend the Government Accountability Offic independent review of government's processes for managing the us communications needs.	nittee – IRAC Representatives lvise on Contentious Issues" ce (GAO) for conducting an
As you note in your report, President Bush has undertaken in 21 st Century" initiative to promote the development and implement policy that will foster economic growth; ensure our national and he U.S. global leadership in communication technology; and satisfy U public safety, scientific research, federal transportation infrastructure a result of the initiative, I recently submitted two reports to the President and the president statistical commendations to improve spectrum management.	tation of a U.S. spectrum omeland security; maintain J.S. needs in areas such as are, and law enforcement. As
GAO recommends "that the Secretary of Commerce: (1) seek IRAC's assistance in establishing a set of best practices for training and succession planning to guide agencies' participation in IRAC; and (2) establish a special IRAC committee comprised of senior level agency officials as needed to provide policy advice on contentious spectrum policy issues." GAO's recommendations are similar to recommendations in the reports I submitted to the President. I recommended to the President that a career development program should be instituted to provide training for new spectrum management personnel (government and non- government) in need of technical disciplines or continued competency, and that a senior level group be established to provide advice to Commerce's Assistant Secretary for Communications and Information and to help resolve policy issues (not resolved by the current IRAC) that affect the use of spectrum by federal government and non-federal users. However, I recommended that the new advisory group would be better positioned to address unresolved issues if it is convened outside of the existing IRAC.	

Mr. Mark L. Goldstein Page 2 I hope you find these views helpful. If you have any additional questions about the Department of Commerce's role in spectrum management policy, please contact Michael D. Gallagher, Assistant Secretary for Communications and Information, at (202) 482-1840. Sincerely, Donald L. Evans

GAO Contacts and Staff Acknowledgments

GAO Contacts	Mark Goldstein (202) 512-2834 John Finedore (202) 512-6248
Acknowledgments	In addition to the individuals named above, Keith Cunningham, Brad Dubbs, Michele Fejfar, Alwynne Wilbur, and Nancy Zearfoss made key contributions to this report.

Related GAO Products

Spectrum Management: Better Knowledge Needed to Take Advantage of Technologies That May Improve Spectrum Efficiency. GAO-04-666. Washington, D.C.: May 28, 2004.

Spectrum Management in Defense Acquisitions. GAO-03-617R. Washington, D.C.: April 30, 2003.

Telecommunications: Comprehensive Review of U.S. Spectrum Management with Broad Stakeholder Involvement Is Needed. GAO-03-277. Washington, D.C.: January 31, 2003.

Telecommunications: Better Coordination and Enhanced Accountability Needed to Improve Spectrum Management. GAO-02-906. Washington, D.C.: September 30, 2002.

Telecommunications: History and Current Issues Related to Radio Spectrum Management. GAO-02-814T. Washington, D.C.: June 11, 2002.

Defense Spectrum Management: More Analysis Needed to Support Spectrum Use Decisions for the 1755-1850 MHz Band. GAO-01-795. Washington, D.C.: July 20, 2001.

Defense Spectrum Management: New Procedures Could Help Reduce Interference Problems. GAO-01-604. Washington, D.C.: May 17, 2001.

Telecommunications: Federal Communications Commission Spectrum Management. RCED-89-62. Washington, D.C.: January 12, 1989.

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