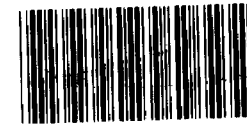


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Legislative Authorization, Program & Budget Information System



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The 1982 budget provides spending increases for major programs deemed to be priorities. Fiscal year 1982 spending will increase by \$76.6 billion, or about 12 percent, net of receipts. Increases in receipts are projected at \$104.3 billion.

Funding for programs, agencies, and budget functions under jurisdiction of the House Committee on Energy and Commerce reflects an increase of \$130 million in 1982 outlays, or 1 percent.

016291



UNITED STATES GENERAL ACCOUNTING OFFICE
WASHINGTON, D.C. 20548

PROGRAM ANALYSIS
DIVISION

February 26, 1981

B-197735

The Honorable John D. Dingell
Chairman, Committee on Energy
and Commerce
House of Representatives

Dear Mr. Chairman:

Subject: Program and Budgetary Information for
Committee's Views and Estimates on
President's Budget for Fiscal Year 1982
(PAD-81-42)

The enclosed report is forwarded for your information and use in preparation of your committee's views and estimates on the President's fiscal year 1982 budget proposals. The General Accounting Office has obtained this data from executive agencies and prepared it in this form for your use as part of our responsibility under Title VIII of the Congressional Budget Act of 1974. Specifically, the Comptroller General is required to: (1) develop and maintain standard classifications for fiscal, budgetary and program-related information and (2) assist committees in developing their information needs.

Accordingly, we have attempted to identify all the Federal programs and activities that are within your committee's legislative jurisdiction. We have used available source documents such as the Budget Appendix, agency budget justifications, and authorizing legislation and legislative histories. To a large extent, this information has been verified by your committee representatives and executive agency officials. We want to emphasize that the list of programs and activities in the enclosed document is an effort to display budgetary information at a level of detail most useful for your committee review and markup. The budgetary data included in the report was obtained directly from the agencies. Verification by us included comparison with data obtained from the Office of Management and Budget's Budget Information System and the Appendix to the President's 1982 Budget.

For each program or activity identified, we have displayed the authorizing legislation (public law and U.S. Code citation); the budgetary information (funding authorization, budget authority, obligations and outlays); and the funding expiration date as specified in the legislation. The budget data is provided for fiscal years 1980 (actual), 1981 (estimate) and 1982 (President's budget request). Enclosure I provides a further discussion of this listing.

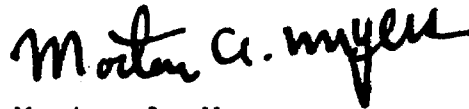
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B-197735

This year we plan to update our file to include President Reagan's budget revisions. This data will be available for programs under your committee's jurisdiction at a later date.

We appreciate the cooperation and assistance of your staff in developing this information and hope that it will assist you in the performance of your responsibilities under the Budget Act.

Sincerely yours,

A handwritten signature in black ink that reads "Morton A. Myers". The signature is written in a cursive style with a large, prominent "M" and "A".

Morton A. Myers
Director

Enclosures - 2

cc: Mr. Frank Potter
Mr. John Allen

C o n t e n t s

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PROGRAM AND BUDGETARY INFORMATION FOR
COMMITTEE VIEWS AND ESTIMATES ON PRESIDENT'S
BUDGET FOR FISCAL YEAR 1982 (PAD-81-42)

INTRODUCTION

The 1974 Congressional Budget Act requires authorizing committees to review the President's budget annually and furnish their views and estimates on matters under their jurisdiction to the respective Budget Committees. Committee views and estimates are submitted to the Budget Committees by March 15 of each year and are instrumental in developing the first concurrent resolution on the budget.

GAO has obtained data from the executive agencies and prepared information on the 1982 budget to help congressional authorizing committees complete their views and estimates reports. Because several committees asked for assistance in developing budgetary and authorizing information for their views and estimates reports, GAO provides this information annually from its Legislative, Authorization, Program and Budget Information System (LAPIS).

The following information is provided by GAO to assist in development of 1982 views and estimates.

- a summary analysis of fiscal year 1982 funding and the program impact (p. 2),
- a profile of data by number of program records, agency, bureau, account and budget function and subfunction (p.11), and
- a computer listing containing budgetary data and authorizing information for programs and activities under the committee's jurisdiction (Appendix II).

GAO has also provided a description of the Legislative, Authorization, Program and Budget Information System (Appendix I).

Authorization and budgetary data to assist committees in preparation of views and estimates reports is collected upon release of the President's budget each January. This data is not updated to reflect congressional action or revisions to the President's estimates which occur during the year. However, this year we plan to update our file to include President Reagan's budget estimates. This data will be available for programs under your committee's jurisdiction at a later date.

SUMMARY ANALYSIS OF AGENCY
PROGRAM AND BUDGET DATA

The Federal budget presents budgetary resources, in terms of national needs and the administration's policies in meeting the needs of the nation. The budget also presents estimates of resources and expenditures at the appropriation account level, and provides limited information on some of the major programs by account. However, budgetary data is not generally available at varying levels of detail by Committee of jurisdiction and is not tied to authorizing legislation.

GAO has obtained data from the executive agencies and prepared a detailed list of programs displaying relevant budgetary and authorization data to assist congressional committees in meeting their information needs for views and estimates reporting as required by the 1974 Budget Act.

Data pertaining to programs in the 1982 budget under the jurisdiction of the House Committee on Energy and Commerce is displayed in the computer listing provided in Appendix II.

The following analysis is provided to highlight the most significant programs and funding changes displayed in Appendix II. The analysis does not necessarily cover all the items which the committee may want to consider.

Budget Overview for Major Functions,
Agencies, and Accounts Under the
Jurisdiction of the House Committee on
Energy and Commerce

The 1982 budget provides spending increases for major programs deemed to be priorities. Fiscal year 1982 spending will increase by \$76.6 billion or about 12 percent net of receipts. Increases in receipts are projected at \$104.3 billion.

Funding for programs, agencies, and budget functions under jurisdiction of the House Committee on Energy and Commerce reflects an increase of \$130 million in 1982 outlays or 1 percent.

The transportation function of the budget shows an overall reduction of \$2.5 billion or about 10 percent in outlays in fiscal year 1982. The decreases are in ground transportation (about \$2.6 billion) and water transportation (about \$.2 billion). Air transportation shows an increase of \$.2 billion.

Decreases in ground transportation spending include reductions in programs for highway improvement and construction, rail service and labor assistance, and settlements of railroad litigation. Decreases in water transportation reflect a reduction for a fiscal year 1981 one time appropriation for initial start up capital for the Panama Canal Commission Fund.

Air transportation increases for fiscal year 1982 include \$14 million in outlays for NASA's aeronautical research and technology programs and a net increase of \$210 million for the Federal Aviation Administration (FAA). FAA's Federal funds outlays will decrease by \$741 million reflecting transfers from the trust funds to the operations account. Outlays for FAA trust funds will increase by \$951 million to provide capital for airport facilities and equipment.

The Environmental Protection Agency shows an increase of \$570 million in fiscal 1982 funding. Of this increase \$395 million is requested for waste treatment construction grants. Outlays for fiscal 1982 construction grants will increase by \$30 million or .7 percent. In addition, a request for \$250 million in budget authority and \$164 million in outlays is requested for the new hazardous substance response fund in fiscal 1982.

Federal Trade Commission outlays for other advancement and regulation of commerce will increase by \$3.2 million in fiscal 1982. The increased spending includes expansion of consumer protection, education, and marketplace monitoring programs.

Outlays in the health function of the budget will increase \$8.6 billion or 13 percent in fiscal year 1982. Outlays for health care services in the Department of Health and Human Services will increase \$13.3 billion and offsetting receipts will increase by \$4.9 billion in fiscal 1982. Outlays for health research will increase by \$281 million in fiscal 1982 while outlays for education and training of the health care workforce will decrease by \$106 million. Outlays for consumer and occupational health and safety will increase by \$86 million in fiscal 1982. Of this increase, \$51 million is for consumer safety and \$35 million is for occupational safety and health.

Funding for the National Institutes of Health (NIH) will increase by \$255 million or 7 percent in fiscal year 1982. Outlays for NIH will increase by \$245 million or 7 percent. The increase will provide for 5,000 new grants for basic and clinical research.

Outlays in the energy budget function will increase by \$3.2 billion or 37 percent in fiscal year 1982. Department of Energy outlays for energy supply will increase by \$1 billion or 24 percent in fiscal 1982. An increase of \$249 million in offsetting receipts is estimated for fiscal 1982. Energy conservation spending will

increase by \$315 million or 42 percent in fiscal 1982. Emergency energy preparedness outlays will increase by \$2.2 billion or 190 percent in fiscal 1982. This, in part, results from a decrease of \$1.6 billion or 87 percent in offsetting receipts. Outlays for energy information, policy, and regulation will increase by \$172 million or 15 percent in fiscal 1982. Of this increase, Department of Energy spending will increase by \$110 million and Nuclear Regulatory Commission outlays will increase by \$47 million in fiscal 1982.

A summary highlighting data for agencies and programs under your committee's jurisdiction follows. This information has been prepared using data collected from Federal agencies for inclusion in the LAPIS Program Inventory File.

New Programs

New program initiatives are highlighted below.

- Purchase of Conrail stock - A settlement was reached between the U.S. Government and Penn Central and its affiliates over the value of property transferred to Conrail. As a result the Government acquired 80 percent of Conrail preferred B stock. Fiscal 1981 borrowing authority of \$2.1 billion is requested for settlement payments. This item is funded in a new account for settlements of railroad litigation (see account 21-30-0708 on pages 745-746 of Appendix II).
- Motor Carrier Ratemaking Study Commission - Establishment of the study commission is proposed in a fiscal year 1981 supplemental request for a one time appropriation of \$1.5 million in budget authority to remain available until expended. The Commission will study the ratemaking process for motor common carriers and the need for continued anti-trust immunity. No additional funding is requested, because the study is to be completed by July 1, 1983. Outlays are projected to be \$198 thousand in fiscal 1981 and \$834 thousand in fiscal 1982 (see account 33-12-2700, record 10877, on page 822 of Appendix II).
- Cooperative Automotive Research, Research and Special Programs Administration, DOT - Funding is requested to finance the Government share of a new joint automotive research program between the U.S. Government and the automotive industry. The program's purpose is to develop new technology for energy efficient motor vehicles. Budget authority of \$12 million is requested in fiscal year 1981 with an increase of \$5 million in fiscal year 1982. Outlays are projected at \$6 million in fiscal 1981 and \$12 million in fiscal 1982 (see account 21-37-0107, record 10971 on page 752 of Appendix II). This program was approved in a fiscal 1981 budget amendment.

- Hazardous Substance Response Fund - A new hazardous waste cleanup and emergency response fund was approved in December 1980. The 1982 budget includes a fiscal year 1981 supplemental request for \$78 million in budget authority and \$39 million in outlays to initiate the fund. This program will be staffed with 635 full time employees and anticipates funding needs of \$1.6 billion through 1986. Fiscal 1982 budget authority of \$250 million is requested. Appropriations will be offset by taxes to industry (see account 20-00-8145 on pages 709-711 of Appendix II).
- Love Canal - A fiscal year 1981 supplemental for \$3.9 million in budget authority is requested to support medical examinations and related health studies for former residents of New York's Love Canal area (see account 09-20-0943, record 10806 on page 129 of Appendix II).
- Spent Fuel Storage Fund - The fiscal 1982 budget again proposes establishment of a spent fuel storage fund to finance the acquisition and use of facilities to store spent nuclear fuel. Radioactive materials would be turned over to the Government by operators of public utilities who would pay a fee to cover the cost of acquiring and operating storage facilities. Fiscal year 1981 borrowing authority of \$300 million is requested to initiate operations (see account 19-20-4011, record 10132 on page 529 of Appendix II).
- Energy Management Partnership - The fiscal year 1982 budget again proposes passage of an Energy Management Partnership Act to provide financial and technical assistance to states to develop and broaden their energy planning and management capabilities. Fiscal 1982 anticipated outlays are \$101.5 million (see account 19-20-0215, record 06813 on page 541 of Appendix II).

Program Increases

- Science and Technical Research - An increase of \$33 million in budget authority and \$31 million in outlays is requested for the research and services account in fiscal year 1982. An increase of \$5 million in budget authority is requested for National Bureau of Standards (NBS) measurement and research standards studies. An increase of \$3 million is requested to fund an automated discrete process manufacturing test facility and an increase of \$9 million is requested for capital investments to finance construction and improvements of buildings (see account 06-52-0500 on pages 23-33 of Appendix II).

- Highway safety research - An increase of \$22 million in budget authority is requested for National Highway Traffic Safety Administration (NHTSA) operations and research in fiscal year 1982. The increase will provide funds to continue the development of a national accident data collection program (see account 21-27-0650, record 08614 on page 719 of Appendix II). In addition, an increase of \$53 million in outlays is requested for the highway safety trust fund for transfer to the operations and research appropriation (see account 21-27-8016 on pages 725-728 of Appendix II).
- Amtrak - Additional funds are requested to increase the subsidy to cover operating losses. An increase of \$87 million or 10 percent is requested in budget authority for fiscal 1982 (see account 21-30-0704 on pages 742-745 of Appendix II).
- Rail Service Assistance - An overall increase of \$67 million in budget authority is requested for railroad restructuring assistance in fiscal 1982. A fiscal 1981 supplemental for \$60 million in budget authority is also requested for this program (see account 21-30-0122, records 09980 and 10973 on pages 732 and 733 of Appendix II).
- Conrail - The U.S. Railway Association is requesting a supplemental for \$350 million in fiscal 1981 budget authority and outlays to support requirements of P.L. 96-448, Staggers Rail Act for improvements in operations (see account 33-30-0111, record 09992 on page 826 of Appendix II).
- International trade - An overall increase of \$22 million in budget authority and \$25 million in outlays is requested for the International Trade Administration. An increase of \$3 million is requested for tourism programs (see account 06-25-1250, record 08546 on page 12 of Appendix II).
- Community Health Centers - An increase of about \$50 million in fiscal year 1982 budget authority is requested for community health centers. These centers provide health services primarily to low income people and their families (see account 09-15-0350, records 08975, 05942, 08363, 08364, 08973 on pages 87-89 of Appendix II).
- National Health Service Corps - An increase of \$32.5 million in fiscal year 1982 budget authority is requested to provide scholarships for health professions students in exchange for obligated services in shortage areas (see account 09-15-0350, record 05961 on page 101 of Appendix II). Also see the rescission proposal of \$5.5 million in fiscal 1982 budget authority (records 10807, 10808, 10809, 10810 on pages 108-110 of Appendix II).

- Indian Health Services - An increase of \$47 million in budget authority and \$43 million in outlays is requested for Indian health services in fiscal year 1982. An increase of \$44.4 million in budget authority is requested for clinical services and preventive health services in fiscal 1982 (see account 09-15-0390 on pages 111-121 of Appendix II).
- Indian Health Facilities - A fiscal year 1981 supplemental request for \$23 million in budget authority will fund construction of sanitation facilities for an additional 2,837 housing units (see account 09-15-0391, record 10805 on page 124 of Appendix II).
- National Institutes of Health - Budget authority and outlays will increase 7 percent in fiscal year 1982. The increase is primarily to provide funds for new health research grants. Major increases for fiscal 1982 occur in the following institutes:
 - National Cancer Institute - A funding increase of \$45.5 million is requested in fiscal 1982 including \$42.3 million for research (see account 09-25-0849 on pages 203 and 323 of Appendix II).
 - National Heart, Lung and Blood Institute - A funding increase of \$43 million is requested in fiscal 1982 including \$40 million for research (see account 09-25-0872 on pages 235 and 343 of Appendix II).
 - National Institute of Arthritis, Metabolism, and Digestive Diseases - A funding increase of \$19 million (and \$36 million in outlays) is requested in fiscal 1982 including \$17.6 million (and \$37 million in outlays) for research (see account 09-25-0884 on pages 262 and 363 of Appendix II).
 - National Institute of Neurological and Communicative Disorders and Stroke - A funding increase of \$27 million is requested in fiscal 1982 for research (see account 09-25-0886 on page 282 of Appendix II).
 - National Institute of General Medical Sciences - A funding increase of \$26 million is requested in fiscal 1982 for research (see account 09-25-0851 on page 214 of Appendix II).
 - Division of Research Resources - A funding increase of \$18 million (and \$22.5 million in outlays) is requested for fiscal 1982 for research (see account 09-25-0848 on page 173 of Appendix II).

--Alcohol, Drug Abuse, and Mental Health Administration (ADAMHA) - An overall increase of \$90 million in budget authority and a decrease of \$59 million in outlays is requested for ADAMHA in fiscal year 1982. Major programs affected include:

-Mental Health - A funding increase of \$41.7 million is requested for mental health programs in fiscal 1982 (see account 09-30-1361 on pages 388-424 of Appendix II).

-Alcohol and Drug Abuse - A funding increase of \$47.5 million is requested for alcohol and drug abuse programs in fiscal 1982. The increase is a result of a fiscal 1981 rescission of \$76.5 million in budget authority (see account 09-30-1361, subfunctions 551, 552, 553 of Appendix II).

Program Decreases

--Conrail - Funding for the U.S. Railway Association will decrease by \$495 million in fiscal year 1982 as a result of settlement on the purchase of Conrail securities. Fiscal 1982 payments for securities are estimated to be \$50 million (see account 33-30-0111 on pages 825-826 of Appendix II).

--Rail Labor Assistance - No funding is requested in fiscal 1982 for this program. The Staggers Rail Act of 1980 modified wage guarantees to prevent excessive benefit payments (see 21-30-0707 on pages 749-750 of Appendix II).

--Rail Service Assistance - In fiscal year 1982, \$50 million will be transferred from the rail service assistance account (21-30-0122) in Department of Transportation to the U.S. Railway Association for a Conrail workforce reduction program (see account 33-30-0111 on pages 825-826 of Appendix II).

--Highway safety grants - No new funding is provided for these grants in fiscal year 1982. Safety grants will be funded from the Federal-aid highways appropriation in fiscal 1982 (see account 21-25-8019 on page 715 of Appendix II).

--State and community highway safety, NHTSA - Funding for these programs will decrease by \$10 million in fiscal year 1982 (see account 21-27-0651 on page 725 of Appendix II).

--Science and Technical Research - Funding for NBS cooperative research is not being continued in fiscal years 1981 and 1982 (see account 06-52-0500, record 06553 on page 30 of Appendix II).

- Alcohol and Drug Abuse - A fiscal year 1981 rescission of \$76.5 million in budget authority is proposed for alcohol and drug abuse State formula grants (see account 09-30-1361, record 10812 on page 410 of Appendix II).
- Health Resources Administration (HRA) - The fiscal year 1982 budget request includes a reduction of \$105 million in budget authority and \$143 million in outlays. Major decreases include:
- Health professions student assistance - This program is not being funded in fiscal 1982. Fiscal 1981 funding is \$107.5 million (see account 09-35-0712, records 05364, 05365, 05366, 05367 on pages 446-448 of Appendix II).
 - Health Professions Institutional Assistance - A funding decrease of \$74 million is shown in the fiscal 1982 budget. Fiscal 1981 funding is \$84 million (see account 09-35-0712 on pages 440-445 of Appendix II).
 - Nursing Assistance - A funding decrease of \$84 million is shown in the fiscal 1982 budget. Fiscal 1981 funding is \$106 million (see account 09-35-0712 on pages 448-454 of Appendix II).
- In addition, a fiscal year 1981 rescission of \$166.6 million in budget authority is proposed for health professions education (see account 09-35-0712, records 10813 and 10814 on pages 434 and 468 of Appendix II).
- Health Services Administration - A rescission of \$14 million in budget authority is proposed for fiscal 1981. The rescission includes phase-out of home health services, maternal and child health training and research, and a reduction for family planning (see account 09-15-0350 on page 110 of Appendix II). In addition, legislation is proposed to reduce funding for the National Health Services Corps by \$5.5 million in fiscal year 1982 (see account 09-15-0350, record 10824 on page 101 of Appendix II). The proposal would eliminate inequities in the scholarship program.
- Health Incentive Grants, Center for Disease Control - This program is not being funded in fiscal year 1982. In addition, a fiscal 1981 rescission of \$27 million in budget authority is being proposed due to other categorical grant programs available to states (see account 09-20-0943, record 08978 and 10811 on pages 128 and 136 of Appendix II).
- Smoking and Health - A fiscal year 1982 decrease of \$10 million in budget authority is proposed for this program (see account 09-37-1101, record 09883 on page 479 of Appendix II).

Organizational Changes

- The U.S. Travel Service is being phased out. Funding for international tourism development will be transferred to the International Trade Administration in 1982 (see account 06-44-0700 on page 21 and account 06-25-1250, record 08546 on page 12 of Appendix II).
- The United States Metric Board has a new OMB bureau designation number. Its OMB bureau designation is now 33-27 instead of 33-18.
- The National Technical Information Service, (NTIS) Department of Commerce, collects and distributes scientific, technological, business, and demographic information generated by the Federal government and promotes and licenses Government-owned inventions. The 1982 budget incorporates the Science Information Exchange (SIE), a nonprofit corporation (formerly affiliated with the Smithsonian Institution) into the Federal system and develops an integrated information system between SIE and NTIS. The SIE collects, indexes, stores, retrieves, and disseminates information about ongoing scientific research. Budget authority for NTIS is projected to increase by \$5.4 million in fiscal 1982 and outlays by \$4.7 million for this item (see account 06-52-0500, record 00832 on page 33 of Appendix II).
- The Health Professions Graduate Student Loan Insurance Fund will be funded in the Health Services Administration in fiscal year 1982 (see account 09-15-4305, record 05501 on page 126 of Appendix II).
- The National Health Service Corps Scholarships program is being transferred from the Health Resources Administration (account 09-35-0712) to the Health Services Administration in fiscal year 1982 (see account 09-15-0350, record 10818 on page 102 of Appendix II).
- The Health Professions Student Assistance and Nursing Student Assistance Programs are also being transferred from the Health Resources Administration (account 09-35-0712) to the Health Services Administration in fiscal year 1982 (see account 09-15-0350, records 10819 and 10820 on pages 102 and 103 of Appendix II).

Unfunded Authorizations

The 1982 LAPIS report reflects several unfunded programs. These are programs which have current authorizations but have not been funded through appropriations.

| <u>Program</u> | <u>Account</u> | <u>Record</u> | <u>Page</u> |
|---|----------------|---------------|-------------|
| --Health Services Admin: -Emergency medical services-research | 09-15-0350 | 05967 | 100 |
| --Health Resources Admin: -National Advisory Council on Education for Health Professionals | 09-35-0712 | 05412 | 432 |
| -National Advisory Council on Health Research Facilities | 09-35-0712 | 07257 | 433 |
| -Occupational health training | 09-35-0712 | 05524 | 466 |
| -Lister Hill Medical Scholarships | 09-35-0712 | 05409 | 431 |
| --Northeast Corridor | 21-30-0123 | 04103 | 737 |
| --Environmental Protection Agency: | | | |
| -Emergency Assistance | 20-00-0108 | 06615 | 616 |
| -Emergency Assistance | 20-00-0200 | 09776 | 687 |

Terminations

--Authorization for programs of the National Transportation Safety Board expired September 30, 1980. Authorization for fiscal years 1981 and 1982 is pending.

--Authorization for programs of the Consumer Product Safety Commission will expire on September 30, 1981. Authorization for fiscal 1982 is pending.

PROFILE OF COMMITTEE 1982 LAPIS REPORT

Program/activity information is provided for 23 Federal agencies in the legislative and executive branches of Government, and off-budget entities representing a total of 1,294 records of data. A record may represent a program, an activity, or even an individual project. What is included as a record depends on the committee's expressed information needs, the data available from the agencies, and our perception of the level of detail that should be reported to enable the committees to perform an effective analysis of each agency's plans and activities. Summaries of budgetary data by agency, bureau, function and subfunction follow.