

United States General Accounting Office 130426

Fact Sheet for the Hono cable William Proxmire United States Senate

June 1986

TEMPORARY APPOINTMENTS

Extended Temporary Appointments to Positions Requiring Senate Confirmation





RESTRICTED——Not to be released outside the General Accounting Office except on the basis of specific approval by the Office of Congressional Relations. 535575



GAO/GGD-86-84FS

ι .

٠

•

• •

- .

GAO

United States General Accounting Office Washington, D.C. 20548

General Government Division

B-220522

June 4, 1986

The Honorable William Proxmire United States Senate

Dear Senator Proxmire:

This document responds to your request of September 25, 1985, that we review all Cabinet agency positions for which appointees require Senate confirmation. Specifically, you asked that we determine how many of these positions are "officially" vacant, whether individuals have been designated to fill the positions on an "acting" basis, how long they have served in that capacity, and whether nominations had been submitted to the Senate for the vacant positions. You also asked that we address the applicability of the Vacancies Act (5 U.S.C. 3345-3349) to the positions in each agency, particularly the provision of the act that places a 30-day limit on temporary appointments to positions that require Senate confirmation.

As agreed in meetings with a representative of your office, this document provides information on positions filled by temporary appointees or otherwise vacant as of January 1, 1986. As also agreed, separate reports will be issued on the applicability of Vacancies Act provisions to vacancies at the Departments of Health and Human Services, Education, and Labor.

We obtained information on all executive level and GS-16 to 18 positions requiring Senate confirmation from the personnel offices of each of the 13 cabinet departments. We obtained the title, pay grade, and name of the person occupying the position as of January 1, 1986. If the position was not occupied by a person confirmed by the Senate, we also ascertained when the last person confirmed for the position left. In addition, we asked if anyone had been nominated for any of the vacant positions.

Our findings for the 13 departments are contained in the appendixes. Appendix I is an overall summary of the findings. It shows that the 13 cabinet departments had 276 positions that required Senate confirmation. As of January 1, 1986, 229 were occupied by individuals confirmed by the Senate; 24 were occupied by individuals officially designated as acting, of whom 19 had been in an acting capacity more than 30 days; 22 were vacant (no one designated as acting) of which 18 had been vacant more than 30 days; and one position was occupied by a person appointed by the President while the Senate was in recess. Appendix II is a listing of the positions requiring Senate confirmation and the name of the persons occupying those positions as of January 1, 1986. Appendix III lists those positions not filled by persons confirmed by the Senate as of January 1, 1986, and provides information on the status of those positions as of April 15, 1986.

As of April 15, 1986, nominations had been submitted to the Senate for 25 of the 47 positions that were not occupied by confirmed persons as of January 1, 1986.

As arranged with your office, unless you publicly announce the contents of this document earlier, we plan no further distribution until 30 days from the date of this document. At that time we will send it to interested parties and make copies available to others upon request. If you have questions, please contact me at 275-6204.

Sincerely yours,

i.

i

Konslyn S. Kleeman Rosslyn S. Kleeman

Senior Associate Director

CONTENTS

APPENDIX

1 .

ł

| I | Overall Results by Department on Positions Requiring Senate Confirmation of Presidential Appointees, January 1, 1986 | 4 |
|-----|--|----|
| II | Positions Reguiring Senate Confirmation | 5 |
| III | Positions Not Occupied By Person Confirmed By Senate, January 1, 1986 | 25 |

Page

۸

| Requiring Senate Confirmation of Presidential Appointees | | | | | | | |
|--|--|--|---|---|------------------|--|---|
| | Requir | | anuary 1, 198 | | Appointee. | 5 | |
| | | <u> </u> | andary 1, 170 | <u> </u> | | | |
| Department | Number of positions requiring Senate confirmation of appointees | Number occupied by confirmed person | Number occupied by person designated acting | Number occupied by acting person for more than 30 days | Number vacant | Number vacant more than 30 days | Number of positions for which nominee has been submitted to Senate ^a |
| Agriculture | 16 | 15 ^b | 0 | 0 | 0 | 0 | 0 |
| Commerce | 26 | 22 | 2 | 2 | 2 | 2 | 3 |
| Defense | 37 | 33 | 2 | 1 | 2 | 1 | 3 |
| Education | 17 | 11 | 5 | 3 | 1 | 1 | 4 |
| Energy | 20 | 15 | 4 | 4 | 1 | 1 | 2 |
| Health and Human | n | | | | | | |
| Services | 17 | 9 | 7 | 7 | 1 | 1 | 3 |
| Housing and Urb | an | | | | | | |
| Development | 14 | 11 | 0 | 0 | 3 | 3 | |
| Interior | 18 | 14 | 0 | 0 | 4 | 4 | 3 |
| Justice | 34 | 31 | 2 | 1 | 1 | 1 | 1 |
| Labor | 14 | 10 | 1 | 1 | 3 | 2 | 4 |
| State | 27 | 27 | 0 | 0 | 0 | 0 | 0 |
| Transportation | 17 | 15 | 0 | 0 | 2 | 1 | 1 |
| Treasury | <u> 19 </u> | <u>16</u> | _1 | _0 | $\frac{2}{22}$ | _1 | _1 |
| Total | 276 ==== | 229 ==== | 24 === | 19 === | 22 === | 18 === | 25 === |

Overall Results by Department on Positions

^aThrough April 15, 1986.

ī. .

^bOne position occupied by a recess appointee. Whenever the Senate recesses for more than 30 days or adjourns, the President may make a recess appointment. A recess appointment expires at the end of the next session of the Senate.

٠

Positions Requiring Senate Confirmation

| Department | Title Position and Executive Level or General Schedule Grade | Person Occupying Position as of January 1, 1986 |
|-------------|---|--|
| vepar cuent | | |
| Agriculture | | |
| | Secretary of Agriculture, EX I | John R. Block |
| | Deputy Secretary, EX II | John R. Norton III |
| | Under Secretary, International Affairs and Commodity Programs, EX III | Daniel G. Amstutz |
| | Under Secretary, Small Community and Rural Development, EX III | Frank W. Naylor, Jr. |
| | Assistant Secretary for Administration, EX IV | John J. Franke, Jr. |
| | Assistant Secretary for Natural Resources and Environment, EX IV | Peter C. Myers |
| | Assistant Secretary for Economics, EX IV | Robert Thompson |
| | Assistant Secretary for Marketing and Inspection Services, EX IV | Raymond D. Lett (Recess appointment, see app. III) |
| | Assistant Secretary for Food and Consumer Services, EX IV | John Bode |
| | Assistant Secretary for Governmental and Public Affairs, EX IV | Wilmer D. Mizell |
| | Assistant Secretary for Science and Education, EX IV | Orville G. Bentley |
| | General Counsel, EX IV | Daniel Oliver |
| | Inspector General, EX IV | John Graziano |
| | Administrator, Federal Grain Inspection Service, EX V | Kenneth A. Gilles |
| | Administrator, Farmers Home Administration, EX V | Vance Clark |

, <u>,</u>

Positions Requiring Senate Confirmation

| Department | Title Position and Executive Level or General Schedule Grade | Person Occupying Position as of January 1, 1986 |
|-------------------------|--|--|
| | | |
| Agriculture (continued) | | |
| 0 | Administrator, Rural Electrification Administration, EX V (10-year term appointment) | Harold V. Hunter |
| Commerce | | |
| | Secretary of Commerce, EX I | Malcolm Baldrige |
| | Deputy Secretary of Commerce, EX II | Clarence J. Brown |
| | Under Secretary for Travel and Tourism, EX III | Donna Tuttle |
| | Under Secretary for Economic Affairs, EX III | Bruce D. Merrifield (Acting, see app. III) |
| | Under Secretary for International Affairs, EX III | Bruce S. Smart, Jr. |
| | Administrator, National Oceanic and Atmospheric Administration, EX III | Anthony J. Calio |
| | Assistant Secretary for Economic Development, EX IV | Orson G. Swindle, III |
| | Assistant Secretary for Congressional and Intergovernmental Affairs, EX IV | Paul A. Vander Myde |
| і | General Counsel, EX IV | Douglas A. Riggs |
| | Assistant Secretary for Administration, EX IV | Katherine M. Bulow |
| | Assistant Secretary for Productivity, Technology and Innovation, EX IV | Bruce D. Merrifield |
| | Assistant Secretary for Telecommunications and Information, EX IV | Rodney L. Joyce (Acting, see app. III) |
| | Director General of the U.S. and Foreign Commercial Services, EX IV | Alexander H. Good |

.

.

| Department | Title Position and Executive Level or General Schedule Grade | Person Occupying Position |
|-----------------------------|--|-----------------------------------|
| | Level of General Schedule drade | as of January 1, 1986 |
| <u>Commerce</u> (continued) | | |
| | Assistant Secretary for International Economic Policy, EX IV | Joseph F. Dennin |
| | Assistant Secretary for Trade Development, EX IV | Harold P. Goldfield |
| | Commissioner-General of the 1984 Louisiana Exposition, EX IV | Vacant (S ee app. III) |
| | Assistant Secretary for Trade Administration, EX IV | Paul Freedenberg |
| | Deputy Administrator, National Oceanic and Atmospheric Administration, EX IV | James C. Mack, II |
| | Associate Administrator, National Oceanic and Atmospheric Administration, EX IV | James W. Winchester |
| | Director, Bureau of the Census, EX V | John G. Keane |
| | Inspector General, EX V | Sherman M. Funk |
| | Director, National Bureau of Standards, EX V | Ernest Ambler |
| 1 | Assistant Secretary and Commissioner, Patent and Trademark Office, EX V | Donald J. Quigg |
| | Deputy Assistant Secretary and Deputy Commissioner, Patent and Trademark Office, GS-18 | Vacant (S ce app. III) |
| | Assistant Commissioner for Patents, GS-18 | Rene D. Tegtmeyer |
| | Assistant Commissioner for Trademarks, GS-17 | Margaret M. Laurence |

۵

Positions Requiring Senate Confirmation

| | Title Position and Executive | Person Occupying Position |
|------------|---------------------------------|---------------------------|
| Department | Level or General Schedule Grade | as of January 1, 1986 |

Defense

۱ ,

.

| Secretary of Defense, EX I | Caspar W. Weinberger |
|--|-----------------------|
| Deputy Secretary of Defense, EX II | William H. Taft, IV |
| Under Secretary of Defense for Policy, EX III | Fred C. Ikle |
| Under Secretary of Defense for Research and Engineering, EX III | Donald A. Hicks |
| Assistant Secretary of Defense (International Security Policy), EX IV | Richard N. Perle |
| Assistant Secretary of Defense (Research and Technology), EX IV | Vacant (See app. III) |
| Assistant Secretary of Defense (Acquisition and Logistics), EX IV | James P. Wade, Jr. |
| Assistant Secretary of Defense (Command, Control, Communications and Intelligence), EX IV | Donald C. Latham |
| Director, Operational Test and Evaluation, EX IV | John E. Krings |
| Assistant Secretary of Defense (Comptroller), EX IV | Robert W. Helm |
| Assistant Secretary of Defense (Health Affairs), EX IV | William E. Mayer |
| Assistant Secretary of Defense (Force Management and Personnel), EX IV | Chapman B. Cox |

ι 7

.

;

٠

| Department | Title Position and Executive Level or General Schedule Grade | Person Occupying Position as of January 1, 1986 |
|---------------------|--|--|
| Defense (continued) | | |
| | Assistant Secretary of Defense (Reserve Affairs), EX IV | James H. Webb, Jr. |
| | Assistant Secretary of Defense (Public Affairs), EX IV | Robert B. Sims |
| | General Counsel, EX IV | Leonard Niederlehner (Acting, see app. III) |
| | Assistant Secretary of Defense (Legislative Affairs), EX IV | Vacant (See app. III) |
| | Inspector General, EX IV | Joseph H. Sherick |
| | Chairman, Military Liaison Committee to the Department of Energy/Assistant to the Secretary of Defense (Atomic Energy), EX V | Richard L. Wagner |
| | Secretary of the Army, EX II | John O. Marsh, Jr. |
| | Under Secretary of the Army, EX IV | James R. Ambrose |
| | Assistant Secretary of the Army (Financial Management), EX IV | Wayne Grant (Acting, see app. III) |
| | Assistant Secretary of the Army (Manpower and Reserve Affairs), EX IV | Delbert L. Spurlock, Jr. |
| | Assistant Secretary of the Army (Research, Development and Acquisition), EX IV | Jay R. Sculley |
| | Assistant Secretary of the Army (Installations and Logistics), EX IV | John W. Shannon |
| | Assistant Secretary of the Army (Civil Works), EX IV | Robert K. Dawson |

Positions Requiring Senate Confirmation

| | Title Position and Executive | Person Occupying Position |
|------------|---------------------------------|---------------------------|
| Department | Level or General Schedule Grade | as of January 1, 1986 |

Defense (continued)

1 .

| | Secretary of the Navy, EX II | John Lehman |
|-----------|---|-----------------------|
| | Under Secretary of the Navy, EX IV | James F. Goodrich |
| | Assistant Secretary of the Navy (Manpower and Reserve Affairs), EX IV | Charles G. Untermeyer |
| | Assistant Secretary of the Navy (Research Engineering and Systems), EX IV | Mel Paisley |
| | Assistant Secretary of the Navy (Shipbuilding and Logistics, EX IV) | Everett A. Pyatt |
| | Assistant Secretary of the Navy (Financial Management), EX IV | Robert H. Conn |
| | Secretary of the Air Force, EX II | Russell Rourke |
| | Under Secretary of the Air Force, EX IV | E. C. Aldridge, Jr. |
| | Assistant Secretary of the Air Force (Research, Development and Logistics), EX IV | Thomas E. Cooper |
| | Assistant Secretary of the Air Force (Manpower, Reserve Affairs and Installations), EX IV | Tidal W. McCoy |
| | Assistant Secretary of the Air Force (Financial Management), EX IV | Richard E. Carver |
| Education | | |
| | Secretary of Education, EX I | William J. Bennett |
| | Under Secretary, EX III | Gary L. Bauer |
| | General Counsel, EX IV | Wendell L. Willkie |
| | Inspector General, EX IV | James B. Thomas, Jr. |

| Department | Title Position and Executive Level or General Schedule Grade | Person Occupying Position as of January 1, 1986 |
|-----------------------|--|--|
| Education (continued) | | |
| | Assistant Secretary for Elementary and Secondary Education, EX IV | Lawrence Davenport |
| | Assistant Secretary for Special Education and Rehabilitative Services, EX IV | Madeleine C. Will |
| | Assistant Secretary for Educational Research and Improvement, EX IV | Chester E. Finn, Jr. |
| | Assistant Secretary for Vocational and Adult Education, EX IV | John Wu (Acting, see app. III) |
| | Assistant Secretary for Postsecondary Education, EX IV | C. Ronald Kimberling (Acting, see app. III) |
| | Assistant Secretary for Civil Rights, EX IV | Vacant (See app. III) |
| | Deputy Under Secretary for Management, EX V | Linda M. Combs |
| | Deputy Under Secretary for Planning, Budget and Evaluation, EX V | Bruce M. Carnes |
| | Deputy Under Secretary for Intergovernmental and Interagency Affairs, EX V | A. Wayne Roberts |
| 1 | Assistant Secretary for Legislation and Public Affairs, EX V | Vacant (See app. III) |
| | Commissioner, Rehabilitative Services Administration, EX V | George Conn |
| | Director, National Institute of Handicapped Research, EX V | Richard LeClair (Acting, see app. III) |
| | Director, National Institute of Education, EX V | Vacant (See app. III) |

Positions Requiring Senate Confirmation

| an antmont | Title Position and Executive | Person Occupying Position |
|------------|---|--|
| Department | Level or General Schedule Grade | as of January 1, 1986 |
| nergy | | |
| | Secretary of Energy, EX I | John S. Herrington |
| | Deputy Secretary, EX II | Danny J. Boggs |
| | Under Secretary, EX III | Joseph F. Salgado |
| | Assistant Secretary for Management and Administration, EX IV | Martha O. Hesse |
| | Director, Office of Civilian Radioactive Waste Management, EX IV | Bernard C. Rusche |
| | General Counsel, EX IV | J. Michael Farrell |
| | Assistant Secretary for Conservation and Renewable Energy, EX IV | Donna R. Fitzpatrick |
| | Assistant Secretary for Congressional, Intergovernmental, and Public Affairs, EX IV | Theodore J. Garrish |
| | Assistant Secretary for Defense Programs, EX IV | Sylvester R. Foley, Jr. |
| ı | Assistant Secretary for Environment, Safety and Health, EX IV | Mary L. Walker |
| · | Administrator, Energy Information Administration, EX IV | Helmut A. Merklein |
| | Director, Office of Energy Research, EX IV | Alvin W. Trivelpiece |
| | Director, Office of Minority Economic Impact, EX IV | Rosslee G. Douglas |
| | Inspector General, EX IV | James R. Richards |
| | Administrator, Energy Regulatory Administration, EX IV | Marshall A. Staunton (Acting, see app. III) |

,

| Department | Title Position and Executive Level or General Schedule Grade | Person Occupying Position as of January 1, 1986 |
|------------------------------|---|--|
| | | |
| Energy (continued) | | |
| | Assistant Secretary for International Affairs and Energy Emergencies, EX IV | George J. Bradley, Jr. (Acting, see app. III) |
| | Assistant Secretary for Nuclear Energy, EX IV | James W. Vaughan (Acting, see app. III) |
| | Assistant Secretary for Fossil Energy, EX IV | Donald L. Bauer (Acting, see app. III) |
| | Director, Office of Alcohol Fuels, EX IV | David M. L. Lindahl (Confirmed for posi- tion on December 20, 1985. Illness delayed appointment until January.) |
| | Deputy Inspector General, EX V | Vacant (See app. III) |
| Health and Human Services | | |
| | Secretary of Health and Human Services, EX I | Otis R. Bowen, M.D. |
| | Under Secretary, EX III | Don M. Newman (Acting, see app. III) |
| і | Commissioner of Social Security, EX IV | Martha A. McSteen (Acting, see app. III) |
| | Administrator, Alcohol, Drug Abuse, and Mental Health Administration, EX IV | Donald I. Macdonald |
| | Director, National Institutes of Health, EX IV | James B. Wyngaarden |
| | Assistant Secretary for Health, EX IV | Donald I. Macdonald (Acting, see app. III) |
| | Assistant Secretary for Human Development Services, EX IV | Dorcas R. Hardy |

ø

.

| Department | Title Position and Executive Level or General Schedule Grade | Person Occupying Position as of January 1, 1986 |
|--|--|--|
| | | |
| Health and Human Services (continued) | | |
| | Administrator, Health Care Financing Administration, EX IV | C. McClain Haddow (Acting, see app. III) |
| | Assistant Secretary for Legislation, EX IV | Lawrence J. DeNardis (Acting, see app. III) |
| | Assistant Secretary for Planning and Evaluation, EX IV | Robert B. Helms (Acting, see app. III) |
| | Assistant Secretary for Public Affairs, EX IV | Stephanie E. Lee-Miller |
| | General Counsel, EX IV | Ronald E. Robertson |
| | Inspector General, EX IV | Richard P. Kusserow |
| | Commissioner on Aging, EX V | Carol Fraser Fisk (Acting, see app. III) |
| | Deputy Inspector General, EX V | Vacant (See app. III) |
| | Chief of the Children's Bureau, GS-18 | Dodie Truman Livingston |
| | Surgeon General (4-year term appointment). Incumbent is an officer in Commissioned Corps of Public Health Service | C. Everett Koop |
| Housing and Urban Development | | |
| | Secretary of Housing and Urban Development, EX I | Samuel R. Pierce, Jr. |
| | Under Secretary, EX III | Lee L. Verstandig |
| | Assistant Secretary for Administration, EX IV | Judith L. Tardy |
| | Assistant Secretary for Community Planning and Development, EX IV | Alfred C. Moran |

I.

| | Title Position and Executive | Person Occupying Position as of January 1, 1986 |
|---|--|--|
| Department | Level or General Schedule Grade | as of January 1, 1900 |
| Housing and Urban Development (continued) | | |
| | Assistant Secretary for Fair Housing and Equal Opportunity, EX IV | Antonio Monroig |
| | Assistant Secretary for Housing- Federal Housing Commissioner, EX IV | Vacant (See app. III) |
| | Assistant Secretary for Public and Indian Housing, EX IV | Warren T. Lindquist |
| | Assistant Secretary for Policy Development and Research, EX IV | June Q. Koch |
| | Assistant Secretary for Legislation and Congressional Relations, EX IV | Stephen May |
| | General Counsel, EX IV | John J. Knapp |
| | Inspector General, EX IV | Paul A. Adams |
| | President, Government National Mortgage Association, EX IV | Glenn R. Wilson, Jr. |
| I. | Assistant Secretary for Public Affairs, EX IV | Vacant (See app. III) |
| | President, Solar Energy and Energy Conservation Bank, EX V | Vacant (S e e app. III) |
| Interior | | |
| | Secretary of Interior, EX I | Donald Paul Hodel |
| | Under Secretary, EX III | Ann Dore McLaughlin |
| | Assistant Secretary-Indian Affairs, EX IV | Ross C. Swimmer |
| | Assistant Secretary-Fish and Wildlife and Parks, EX IV | William P. Horn |

1

٠

Positions Requiring Senate Confirmation

| Department | Title Position and Executive Level or General Schedule Grade | Person Occupying Position as of January 1, 1986 |
|----------------------|---|--|
| | | |
| Interior (continued) | | |
| | Assistant Secretary-Water and Science, EX IV | Robert N. Broadbent |
| | Assistant Secretary-Land and Minerals Management, EX IV | J. Steven Griles |
| | Assistant Secretary-Territorial and International Affairs, EX IV | Richard T. Montoya |
| | Assistant Secretary-Policy, Budget and Administration, EX IV | Gerald R. Riso |
| | Solicitor, EX IV | Ralph W. Tarr |
| | Director, U.S. Fish and Wildlife, EX V | Vacant (S ee app. III) |
| | Commissioner of Indian Affairs, EX V | Vacant (See app. III) |
| | Commissioner of Reclamation, EX V | C. Dale Duvall |
| | Director, Bureau of Mines, EX V | Robert C. Horton |
| | Director, Geological Survey, EX V | Dallas L. Peck |
| | Director, Bureau of Land Management, EX V | Robert F. Burford |
| 1 | Inspector General, EX V | Vacant (See app. III) |
| · | Director, Office of Surface Mining Reclamation and Enforcement, EX V | Vacant (S ee app. III) |
| | High Commissioner of the Trust Territory, GS-18 | Janet J. McCoy |
| Justice | | |
| | Attorney General, EX I | Edwin Meese, III |
| | Deputy Attorney General, EX II | D. Lowell Jensen |
| | Director, Federal Bureau of Investigation, EX II | William H. Webster |

16

1 1

٠

| | Positions Requiring Senate Confirmation | |
|----------------------------|---|--|
| Department | Title Position and Executive Level or General Schedule Grade | Person Occupying Position as of January 1, 1986 |
| | | |
| <u>Justice</u> (continued) | | |
| | Associate Attorney General, EX III | Arnold I. Burns |
| | Solicitor General, EX III | Charles Fried |
| | Administrator, Drug Enforcement Administration, EX III | John C. Lawn |
| | Assistant Attorney General (Antitrust), EX IV | Douglas Ginsburg |
| | Assistant Attorney General (Civil), EX IV | Richard Willard |
| | Assistant Attorney General (Civil Rights), EX IV | William Bradford Reynolds |
| | Assistant Attorney General (Criminal), EX IV | Stephen S. Trott |
| | Assistant Attorney General (Lands), EX IV | Frank Henry Habicht |
| | Assistant Attorney General (Tax), EX IV | Roger M. Olson (Acting, see app. III) |
| | Assistant Attorney General (Legal Counsel), EX IV | Charles J. Cooper |
| ı | Assistant Attorney General (Legal Policy), EX IV | Stephen J. Markman |
| | Assistant Attorney General (Legislative Affairs), EX IV | John R. Bolton |
| | Assistant Attorney General (Justice Programs), EX IV | Lois H. Herrington |
| | Director, National Institute of Justice, EX IV | James C. Stewart |
| | Director, Bureau of Justice Statistics, EX IV | Steven R. Schlesinger |

.

4

| Department | Title Position and Executive Level or General Schedule Grade | Person Occupying Position as of January 1, 1986 |
|----------------------------|---|--|
| | | |
| <u>Justice</u> (continued) | | |
| | Administrator, Office of Juvenile Justice and Delinquency Prevention, EX IV | Alfred S. Regnery |
| | Commissioner, Immigration and Naturalization Service, EX IV | Alan C. Nelson |
| | Director, Community Relations Service, EX IV | Gilbert G. Pompa |
| | Deputy Administrator, Drug Enforcement Administration, EX V | Thomas C. Kelly (Acting, see app. III) |
| | Chairman, Foreign Claims Settlement Commission, EX V | Bohdan A. Futey |
| | Member, Foreign Claims Settlement Commission, EX V | Joseph Wentling Brown |
| | Member, Foreign Claims Settlement Commission, EX V | Frank H. Conway |
| | Chairman, U.S. Parole Commission, GS-18 | Benjamin F. Bear |
| | Commissioner, U.S. Parole Commission, GS-18 | Cameron M. Batjer |
| | Commissioner, U.S. Parole Commission, GS-18 | Victor M. F. Reyes |
| | Commissioner, U.S. Parole Commission, GS-18 | Carole M. Pavilack |
| | Commissioner, U.S. Parole Commission, GS-18 | Vincent Fechtel |
| | Commissioner, U.S. Parole Commission, GS-18 | Paul A. Tennant |
| | Commissioner, U.S. Parole Commission, GS-18 | Jasper R. Clay |

| Department | Title Position and Executive Level or General Schedule Grade | Person Occupying Positi as of January 1, 1986 |
|----------------------------|--|--|
| | | |
| <u>Justice</u> (continued) | | |
| | Commissioner, U.S. Parole Commission, GS-18 | Daniel Raul Lopez |
| | Commissioner, U.S. Parole Commission, GS-18 | Vacant (See app. III) |
| Labor | | |
| | Secretary of Labor, EX I | William E. Brock |
| | Under Secretary of Labor, EX III | Dennis E. Whitfield |
| | Assistant Secretary of Labor for Policy, EX IV | Michael E. Baroody |
| | Assistant Secretary of Labor for Employment and Training, EX IV | Roger D. Semerad |
| | Assistant Secretary of Labor for Mine Safety and Health, EX IV | David A. Zegeer |
| | Inspector General, EX IV | J. Brian Hyland |
| | Assistant Secretary of Labor for Veteran's Employment and Training, EX IV | Donald E. Shasteen |
| | Assistant Secretary of Labor for Pension and Welfare Benefit Programs, EX IV | Dennis M. Kass |
| | Solicitor of Labor, EX IV | Vacant (See app. III) |
| | Assistant Secretary of Labor, for Labor-Management Standards, EX IV | Ronald J. St. Cyr (Acting see app. III) |
| | Assistant Secretary of Labor for Occupational Safety and Health, EX IV | Vacant (See app. III) |

| Department | Title Position and Executive Level or General Schedule Grade | Person Occupying Position as of January 1, 1986 |
|-------------------|--|--|
| Labor (continued) | | |
| | Commissioner of Labor Statistics, EX V | Janet L. Norwood |
| | Wage and Hour Administrator, EX V | Vacant (See app. III) |
| | Director of the Women's Bureau, GS-17 | Lenora Cole Alexander |
| State | | |
| | Secretary of State, EX I | George P. Shultz |
| | Deputy Secretary, EX II | John C. Whitehead |
| | Ambassador at Large, EX II | Richard T. Kennedy |
| | Ambassador at Large for Cultural Affairs, EX II | Daniel J. Terra |
| | Ambassador at Large, EX II | Harry W. Shlaudeman |
| | Under Secretary for Political Affairs, EX III | Michael Hayden Armacost |
| | Under Secretary for Economic Affairs, EX III | W. Allen Wallis |
| I | Under Secretary for Security Assistance Programs, EX III | William Schneider, Jr. |
| | Under Secretary for Management, EX III | Ronald I. Spiers |
| | Counselor of the Department, EX III | Edward J. Derwinski |
| | Director General for the Foreign Service and Director of Personnel, SFS ^a | George Southall Vest |

Positions Requiring Senate Confirmation

^aOccupant of position is a member of the Senior Foreign Service (SFS). Level or grade not specified.

and the second second

Positions Requiring Senate Confirmation

| epartment | Title Position and Executive Level or General Schedule Grade | Person Occupying Positio as of January 1, 1986 |
|------------------|--|---|
| Char clifent | reset of meneral achenate along | as of January 1, 1706 |
| tate (continued) | | |
| | Inspector General of the Department of State and the Foreign Service, SFS ^a | William C. Harrop |
| | Assistant Secretary for Administration, EX IV | Donald J. Bouchard |
| | Assistant Secretary (Consular Affairs), EX IV | Joan M. Clark |
| | Assistant Secretary (Oceans and International Environmental and Scientific Affairs), EX IV | John D. Negroponte |
| | Assistant Secretary (African Affairs), EX IV | Chester A. Crocker |
| | Assistant Secretary (Legislative and Intergovernmental Affairs), EX IV | William Lockhart Ball, III |
| | Assistant Secretary (East Asian and Pacific Affairs), EX IV | Paul D. Wolfowitz |
| | Assistant Secretary (Economic and Business Affairs), EX IV | Douglas M. McMinn |
| | Assistant Secretary (European Affairs), EX IV | Rozanne L. Ridgway |
| | Assistant Secretary (Near East and South Asian Affairs), EX IV | Richard W. Murphy |
| | Assistant Secretary (Inter- American Affairs), EX IV | Elliott Abrams |
| | Assistant Secretary (International Organization Affairs), EX IV | Alan Lee Keyes |
| | Assistant Secretary (Public Affairs), EX IV | Bernard Kalb |

^aOccupant of position is a member of the Senior Foreign Service (SFS). Level or grade not specified.

.

| Ocpartment | Title Position and Executive Level or General Schedule Grade | Person Occupying Positio as of January 1, 1986 |
|-------------------|---|---|
| | Ever of accelor schedule drage | as 01 January 1, 1700 |
| itate (continued) | | |
| | Assistant Secretary (Human Rights and Humanitarian Affairs), EX IV | Richard Schifter |
| | Assistant Secretary (International Narcotics Matters), EX IV | Jon R. Thomas |
| | Legal Adviser of the Department, EX IV | Abraham P. Sofaer |
| ransportation | | |
| | Secretary of Transportation, EX I | Elizabeth Hanford Dole |
| | Deputy Secretary, EX II | James H. Burnley |
| | Administrator, Federal Aviation Administration, EX II | Donald D. Engen |
| | Administrator, Federal Highway Administration, EX II | Ray A. Barnhart |
| | Administrator, National Highway Traffic Safety Administration, EX III | Diane K. Steed |
| | Administrator, Urban Mass Transportation Administration, EX III | Ralph L. Stanley |
| | Administrator, Federal Railroad Administration, EX III | John H. Riley |
| | General Counsel, EX IV | Jim J. Marquez |
| | Assistant Secretary for Governmental Affairs, EX IV | Rebecca G. Range |
| | Assistant Secretary for Budget and Programs, EX IV | Vacant (S c e app. III) |
| | Assistant Secretary for Policy and International Affairs, EX IV | Matthew V. Scocozza |

٠

Positions Requiring Senate Confirmation

| | Title Position and Executive | Person Occupying Positio |
|-------------------|---|--|
| Department | Level or General Schedule Grade | as of January 1, 1986 |
| | | |
| Transportation (c | ront Inued) | |
| | Assistant Secretary for Public | Jennifer A. Hillings |
| | Affairs, EX IV | |
| | Inspector General, EX IV | Vacant (See app. III) |
| | Deputy Administrator, Federal | Richard H. Jones |
| | Aviation Administration, EX IV | |
| | Administrator, Maritime | John A. Gaughan |
| | Administration, EX IV | |
| | Administrator, Saint Lawrence | James L. Emery |
| | Seaway Development Corporation, EX IV | |
| | Associate Deputy Secretary, EX V | Jennifer L. Dorn |
| reasury | | |
| | Secretary of the Treasury, EX I | James A. Baker, III |
| | Deputy Secretary, EX II | Richard G. Darman |
| | Under Secretary, EX III | Vacant (See app. III) |
| | Under Secretary, EX III | George D. Gould |
| 1 | Commissioner of Internal Revenue, EX III | Roscoe Egger |
| | Comptroller of the Currency, EX III | Robert L. Clarke |
| | Deputy Under SecretaryDesignated Assistant Secretary for Legislative Affairs, EX IV | Bruce E. Thompson, Jr. |
| | Assistant Secretary (Tax Policy), EX IV | Roger J. Mentz (Acting, see app. III) |
| | Assistant Secretary (Economic Policy), EX IV | Manuel H. Johnson, Jr. |

,

Positions Requiring Senate Confirmation

| epartment | Title Position and Executive Level or General Schedule Grade | Person Occupying Positio as of January 1, 1986 |
|---------------------|---|---|
| | | |
| reasury (continued) | | |
| | Assistant Secretary | Francis Anthony Keating |
| | (Enforcement and | |
| | Operations), EX IV | |
| | Assistant Secretary | Charles O. Sethness |
| | (Domestic Finance), EX IV | |
| | Assistant Secretary (Public | Margaret D. Tutwiler |
| | Affairs and Public Liaison), | |
| | EX IV | |
| | General Counsel, EX IV | Robert M. Kimmitt |
| | Assistant Secretary of the | John F. W. Rogers |
| | Treasury (Management), EX IV | · |
| | Assistant Secretary (Electronic | Vacant (See app. III) |
| | Systems and Information | |
| | Technology), EX IV | |
| | Deputy Under Secretary | David C. Mulford |
| | Designated Assistant | |
| | Secretary (International | |
| | Affairs), EX IV | |
| | Assistant General Counsel | Frederick Goldberg |
| | (Chief Counsel, Internal Revenue | |
| | Service), EX V | |
| | Treasurer of the United States | Katherine D. Ortega |
| | and National Director, U.S. | |
| | Savings Bonds Division, GS-18 | |
| | Director of the Mint, GS-18 | Donna M. Pope |

24

;

ľ

| Title and Executive Level or General Schedule Grade of Position | Date Last Occupied by Confirmed Person | Person Occupying Position ^a | Comments |
|--|---|--|---|
| Department of Agriculture | | | |
| Assistant Secretary for Marketing and Inspection Services, EX IV | March 2, 1985 | Raymond D. Lett (Recess Appoint- ment) | Mr. Lett nominated July 17, 1985. He received a recess appointment on August 9, 1985. |
| Department of Commerce | | | |
| Under Secretary for Economic Affairs EX III | November 2, 1985 | Bruce D. Merrifield ^b | Robert Ortner nominated March 10, 1986. |
| Assistant Secretary for Telecommuni- cations and In- formation, EX IV | November 8, 1985 | Rodney L. Joyce ^b | Alfred C. Sikes nominated February 19, 1986 and con- firmed March 14, 1986. |
| Commissioner-General of the 1984 Louisiana Exposition, EX IV | August 14, 1985 | Vacant | Public Law 97-25 estab- lished this position. Provisions of the law state that one year after clos- ing, all functions of exposition shall be termi- nated. Exposition closed November 11, 1984. Thus, this position will not be filled. |
| Deputy Assistant Secretary and Deputy Commissioner, Patent and Trademark Office, GS-18 | October 18, 1985 | Vacant | Donald W. Peterson nomi- nated March 10, 1986 and confirmed April 11, 1986. |

Positions Not Occupied By Person Confirmed By Senate January 1, 1986

^aFirst assistant or principal deputy unless otherwise noted.

 $^{\mathrm{b}}\mathsf{Position}$ occupied by person designated acting for more than 30 days.

 \mathbf{t}_i

| Title and Executive Level or General Schedule Grade of Position Department of | Date Last Occupied by Confirmed Person | Person Occupying Position ^a | Comments |
|---|---|---|---|
| Defense | | | |
| Assistant Secretary of Defense (Research and Technology), EX IV | July 6, 1985 | Vacant | Robert C. Duncan nominat e d April 9, 1986. |
| Assistant Secretary of Defense (Legislative Affairs), EX IV | December 9, 1985 | Vacant | |
| Assistant Secretary of the Army (Financial Management), EX IV | July 1, 1985 | Wayne Grant ^b | Michael Stone nominated January 27, 1986. |
| General Counsel, EX IV | December 17, 1985 | Leonard Niederlehner | Lawrence Garrett nominated December 10, 1985 and con- firmed January 3, 1986. |
| Department of Education | | | |
| Assistant Secretary for Vocational and Adult Education, EX IV | September 30, 1985 | John Wu ^b | |
| Assistant Secretary for Postsecondary Education, EX IV | June 15, 1985 | C. Ronald Kimberling ^b | Mr. Kimberling nominated for position on February 26, 1986 and con- firmed March 21, 1986. |
| Assistant Secretary for Civil Rights, EX IV | December 31, 1985 | Vacant on January 1, 1986. (Alicia Coro designated acting January 6, 1986) | Barbara Lerner nominated February 11, 1986. |

Positions Not Occupied By Person Confirmed By Senate January 1, 1986

^aFirst assistant or principal deputy unless otherwise noted.

I.

 $\ensuremath{\mathsf{bp}}\xspace{\mathsf{ostion}}$ occupied by person designated acting for more than 30 days.

· ,

| Positions Not Occupied By Person Confirmed By Senate | | | | |
|--|---|---|---|--|
| | <u>January 1, 1986</u> | | | |
| Title and Executive Level or General Schedule Grade of Position | Date Last Occupied by Confirmed Person | Person Occupying Position ^a | Comments | |
| Department of Education (continue | ed) | | | |
| Assistant Secretary for Legislation and Public Affairs, EX V | December 16, 1985 | Vacant on January 1, 1986 (Frances Norris designated acting January 6, 1986) | Ms. Norris nominated December 20, 1985 and confirmed March 19, 1986. | |
| Director, National Institute of Handicapped Research, EX V | December 31, 1984 | Richard LeClair (not first assistant), designated acting June 10, 1985. First assistant position (Deputy Director) was also vacant. ^b | David B. Gray nominated February 19, 1986 and con- firmed March 21, 1986. | |
| Director, National Institute of Education, EX V | March 31, 1986 | Vacant | Position proposed to be abolished as part of reorganization. | |
| Department of Energy | | | | |
| Administrator, Energy Regulatory Administration, , EX IV | October 1, 1985 | Marshall A. Staunton ^b | Mr. Staunton nominated March 24, 1986. | |
| Assistant Secretary for International Affairs and Energy Emergencies, EX IV | June 2, 1985 | George J. Bradley, Jr. ^b | David B. Waller nominated February 24, 1986. | |
| Assistant Secretary for Nuclear Energy, EX IV | September 1, 1984 | James W. Vaughan ^b | | |
| Assistant Secretary for Fossil Energy, EX IV | June 3, 1985 | Donald L. Bauer | | |
| ^a First assistant or p | rincipal deputy unle | ess otherwise noted. | | |
| he is a second | | | | |

 $^{\mbox{\scriptsize bP}}\mbox{ostion}$ occupied by person designated acting for more than 30 days.

27

*

| Po | | By Person Confirmed By | Senate |
|--|---|---|--|
| | Jan | wary 1, 1986 | |
| Title and Executive Level or General Schedule Grade of Position | Date Last Occupied by Confirmed Person | Person Occupying Position ^a | Comments |
| Department of Energy (continued) Deputy Inspector General, EX V | May 1, 1985 | Vacant | |
| Department of Health and Human Services | | | |
| Under Secretary, EX III | August 19, 1985 | Don M. Newman ^c | Mr. Newman nominated February 12, 1986. |
| Assistant Secretary for Legislation, EX IV | December 31, 1984 | Lawrence J. DeNardis ^b | |
| Assistant Secretary for Planning and Evaluation, EX IV | April 16, 1984 | Robert B. Helms ^b | |
| Administrator, Health Care Financing Administration, EX IV | See comments | C. McClain Haddow ^b | Prior to the passage of Public Law 98-369 on July 18, 1984, appointees to this position did not have to be confirmed by the Senate. The act re- quires that appointments to this position after the date of the act be confirmed by the Senate. William L. Roper nominated March 21, 1986 |
| Assistant Secretary for Health, EX IV | December 31, 1984 | Donald I. Macdonald ^d (not first assistant) | March 31, 1986. |

^aFirst assistant or principal deputy unless otherwise noted.

bPosition occupied by person designated acting for more than 30 days.

CJohn O'Shaughnessy served as Acting Under Secretary from September 25, 1985 until December 15, 1985. Don Newman was designated Acting Under Secretary on December 16, 1985.

, ,

^dJames O. Mason served as Acting Assistant Secretary for Health from February 19, 1985 to November 30, 1985. Donald I. Macdonald was designated Acting Assistant Secretary for Health on December 2, 1985.

÷

۵

| Positions Not | Occupied By | Person | Confirmed | By Senate |
|---------------|-------------|----------|-----------|-----------|
| | Januar | •y 1, 19 | 86 | _ |

| Title and Executive Level or General Schedule Grade of Position | Date Last Occupied by Confirmed Person | Person Occupying Position ^a | Comments |
|--|--|---|---|
| Department of Health and Human Services (continued) | | | |
| Commissioner of Social Security, EX IV | September 10, 1983 | Martha A. McSteen ^b | Dorcas Hardy nominated March 28, 1986. |
| Deputy Inspector General, EX V | January 22, 1981 | Vacant | |
| Commissioner on Aging, EX V | December 17, 1984 | Carol Fraser Fisk ^b (not first assistant) | |
| Department of Housing and Urban Development | | | |
| Assistant Secretary for Housing-Federal Housing Commissioner, EX IV | January 25, 1985 | Vacant | We were advised that HUD does not designate anyone acting. Instead, the first assistant signs off on matters affecting the unit using his own title. |
| Assistant Secretary for Public Affairs, EX IV | Position has never been filled. Public Law 93- 383 enacted August 22, 1974 authorized this position. | Vacant | Matters affecting this office are carried out by a Special Assistant to the Secretary. |
| President, Solar Energy and Energy Conservation Bank, EX V | January 20, 1981 | Vacant | Section 507 of Public Law 96-294 enacted June 30, 1980 established this position. The authority for this position expires, September 30, 1987. The first assistant signs off on matters affecting the unit. |

First assistant or principal deputy unless otherwise noted.

 $^{\mbox{\rm bp}}\mbox{\rm oscupied}$ by person designated acting for more than 30 days.

| Title and Executive Level or General Schedule Grade of Position | Date Last Occupied by Confirmed Person | Person Occupying Positiona | Comments |
|---|---|----------------------------------|---|
| Department of the Interior | | | |
| Director, U.S. Fish and Wildlife, EX V | September 28, 1985 | Vacant | We were told that Interior does not normally designate anyone acting. Instead, the first assistant signs off on matters affecting the unit using his own title. Frank H. Dunkle nominated March 25, 1986. |
| Commissioner of Indian Affairs, EX V | January 9, 1981 | Vacant | Interior does not intend to fill this position. The duties of the office have been assumed by the Assistant Secretary for Indian Affairs. |
| Director, Office of Surface Mining Reclamation and Enforcement, EX V | March 15, 1984 | Vacant | Jed Christensen nominated December 10, 1985. |
| Inspector General, EX V Department of | September 30, 1984 | Vacant | James Richards, formerly Inspector General at the Department of Energy, con- firmed for this position on December 19, 1985. How- ever, he did not enter on duty until January 6, 1986. He remained at Energy as Inspector General until that time. |
| Department of Justice | | | |
| Assistant Attorney General (Tax), EX IV | December 23, 1985 | Roger M. Olson | Mr. Olson nominated March 27, 1986. |

Positions Not Occupied By Person Confirmed By Senate Danuary 1, 1986

^aFirst assistant or principal deputy unless otherwise noted.

· · · ·

| - | <u> </u> | | |
|--|--|--|---|
| Title and Executive Level or General Schedule Grade of Position | Date Last Occupied by Confirmed <u>Person</u> | Person Occupying Position ^a | Comments |
| Department of Justice (continued) | | | |
| Deputy Administrator, Drug Enforcement Administration, EX V | July 18, 1985 | Thomas C. Kelly ^b | |
| Commissioner, U.S. Parole Commission, GS-18 | October 29, 1985 | Vacant | |
| Department of Labor | | | |
| Solicitor of Labor, EX IV | December 8, 1985 | Vacant | Principal or first assistant performs functions of unit but not officially designated act- ing. George R. Salem nominat- ed March 13, 1986. |
| Assistant Secretary of Labor for Labor-Management Standards, EX IV | March 7, 1983 | Ronald J. St. Cyr | Salvatore R. Martouche nomi- nated March 12, 1986. |
| Assistant Secretary of Labor for Occupational Safety and Health, EX IV | July 1, 1985 | Vacant | Principal or first assistant performs functions of unit but not officially designated acting. John A. Pendergrass nominated March 3, 1986. |
| Wage and Hour Administrator, EX IV | March 4, 1985 | Vacant | Principal or first assistant performs functions of unit but not officially designated acting. Paula Smith nominat- ed January 22, 1986. |

Positions Not Occupied By Person Confirmed By Senate January 1, 1986

^aFirst assistant or principal deputy unless otherwise noted.

L

 $^{\rm b}{\rm Position}$ occupied by persons designated acting for more than 30 days.

, d

| January 1, 1986 | | | | |
|---------------------|-------------|-----------------------|----------|--|
| Title and Executive | Date Last | | | |
| Level or General | Occupied by | Person | | |
| Schedule Grade of | Confirmed | Occupying | | |
| Position | Person | Position ^a | Comments | |

Positions Not Occupied By Person Confirmed By Senate January 1, 1986

Department of

State

1 . All positions were occupied by persons confirmed by the Senate as of January 1, 1986.

Department of Transportation

| Assistant Secretary for Budget and Programs, EX IV | September 1, 1985 | Vacant | No one was officially desig- nated acting Assistant Secretary for Budget and Programs. The Deputy Assistant Secretary for Budget and Programs (first assistant) assumed responsi- bility for the office in the absence of the Assistant Secretary. Janet Hale was nominated January 16, 1986 and confirmed March 14, 1986. |
|--|-------------------|----------------------------|---|
| Inspector General, EX IV | December 31, 1985 | Vacant | Assistant Inspector General for Auditing was designated as Acting Deputy Inspector General by the outgoing Inspector General and given full authority to carry out the functions of the Inspector General. |
| Department of Treasury | | | |
| Assistant Secretary (Tax Policy), EX IV | December 20, 1985 | Roger J. Me ntz | Mr. Mentz nominated March 26, 1986 and confirmed April 11, 1986. |

^aFirst assistant or principal deputy unless otherwise noted.

32

÷

| <u>P</u> | Positions Not Occupie | | Irmed By Senate |
|---|---|--|---|
| | Ja | nuary 1, 1986 | |
| Title and Executive Level or General Schedule Grade of Position | Date Last Occupied by Confirmed Person | Person Occupying Position ^a | Comments |
| Department of Treasury (continued) | | | |
| Under Secretary, EX III | See comments | Vacant | Public Law 99-190 enacted December 19, 1985 authorized a new Under Secretary position. As of January 1, 1986, the position had not been filled. This same act abolished the position of Under Secretary for Monetary Affairs. The last person confirmed for that position left on April 17, 1985. |
| Assistant Secretary (Electronic Systems and Information Technology), EX IV | October 20, 1984 | Vacant | |

^aFirst assistant or principal deputy unless otherwise noted.

(966241)

1 .

33

Г .

J

•

 $\frac{A}{M_{\rm ext}} = \frac{1}{2} \int_{-\infty}^{\infty} \int_{-\infty$

Requests for copies of GAO reports should be sent to:

U.S. General Accounting Office Post Office Box 6015 Gaithersburg, Maryland 20877

Telephone 202-275-6241

ł.

The first five copies of each report are free. Additional copies are \$2.00 each.

There is a 25% discount on orders for 100 or more copies mailed to a single address.

Orders must be prepaid by cash or by check or money order made out to the Superintendent of Documents. United States General Accounting Office Washington, D.C. 20548

Official Business Penalty for Private Use \$300

Address Correction Requested

1

ļ

First-Class Mail Postage & Fees Paid GAO Permit No G100

1