



**Court Services and Offender Supervision Agency
for the District of Columbia**

Office of the Director

March 2, 2011

Honorable Gene L. Dodaro
Comptroller General of the United States
General Accountability Office
441 G Street, NW
Room 7100
Washington, D.C. 20548

Dear Mr. Dodaro:

This letter is to report a violation of the Antideficiency Act, as required by section 1517(b) of Title 31, United States Code.

A violation of section 1517 occurred in account 95_10_1734 [Salaries and Expenses, Federal Payment to the Court Services and Offender Supervision Agency for the District of Columbia] in the total amount of \$4,369,832. The violation occurred in FY 2010 in connection with the Court Services and Offender Supervision Agency (CSOSA). Mr. James E. Williams, CSOSA's Associate Director of Management and Administration, is the officer responsible for directing and overseeing implementation of agency funds control, and is therefore the party responsible for the violation.

The CSOSA Appropriation consists of two programs, the Community Supervision Program (CSP) and the DC Pretrial Services Agency (PSA). CSOSA obligations exceeded its OMB-apportionment in the first quarter of FY 2010. The CSOSA Fund Control Policy restricts obligations to apportioned levels. However, financial management system fund controls were not in place to prevent the over-obligation of funds on a quarterly basis; systemic fund controls were in place at the annual apportionment level. In addition, the CSOSA Fund Control policy did not clearly specify responsibility for monitoring quarterly obligations in relation to approved authority.

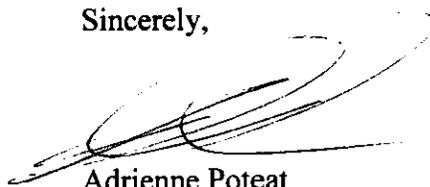
Mr. Williams was verbally counseled. The agency has determined that the violation contained no willful or knowing intent on the part of the responsible party to violate the Antideficiency Act.

CSOSA will ensure that this violation does not recur by enacting strict quarterly fund controls in the agency's financial management system that limit obligations to CSOSA quarterly apportioned and CSP and PSA allotted amounts. The CSOSA Fund Control policy will be

*633 Indiana Avenue, NW, Suite 1220, Washington, DC 20004-2902
Voice: (202) 220-5300 Fax: (202) 220-5350*

updated by August 2011 to reflect this systemic control requirement and to assign specific internal responsibilities for approving and monitoring quarterly apportionments.

Sincerely,

A handwritten signature in black ink, appearing to read 'Adrienne Poteat', written over a horizontal line.

Adrienne Poteat
Deputy Director